



Highway Safety Improvement Program  
*Data Driven Decisions*

New York  
Highway Safety Improvement Program  
2014 Annual Report

Prepared by: NY

## Disclaimer

### **Protection of Data from Discovery & Admission into Evidence**

23 U.S.C. 148(h)(4) states “Notwithstanding any other provision of law, reports, surveys, schedules, lists, or data compiled or collected for any purpose relating to this section [HSIP], shall not be subject to discovery or admitted into evidence in a Federal or State court proceeding or considered for other purposes in any action for damages arising from any occurrence at a location identified or addressed in the reports, surveys, schedules, lists, or other data.”

23 U.S.C. 409 states “Notwithstanding any other provision of law, reports, surveys, schedules, lists, or data compiled or collected for the purpose of identifying, evaluating, or planning the safety enhancement of potential accident sites, hazardous roadway conditions, or railway-highway crossings, pursuant to sections 130, 144, and 148 of this title or for the purpose of developing any highway safety construction improvement project which may be implemented utilizing Federal-aid highway funds shall not be subject to discovery or admitted into evidence in a Federal or State court proceeding or considered for other purposes in any action for damages arising from any occurrence at a location mentioned or addressed in such reports, surveys, schedules, lists, or data.”

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## Executive Summary

### Executive Summary

This report is intended to satisfy reporting requirements under Section 148 of Title 23, United States Code (23 U.S.C. 148) regulated under 23 CFR 924. MAP-21 reinforces the importance of the Highway Safety Improvement Program (HSIP). The goal of the program is to achieve a significant reduction in traffic fatalities and serious injuries on all public roads, including non-State-owned public roads and roads on tribal lands. Thus, the HSIP remains New York State Department of Transportation's core program to proactively identify and correct high accident locations and progress safety projects that facilitate the goal of the program.

### Emphasis Areas

The New York State Department of Transportation continues to concentrate on the emphasis areas outlined in the Strategic Highway Safety Plan (SHSP) including pedestrian safety, improving safety at highway intersections, decreasing the number of crashes resulting from lane departures and enhancing safety in work zones. Site specific projects at high accident locations as well as low cost safety measures implemented widely across the network such as Center Line Audible Roadway Delineators (CARDS) and Pedestrian Countdown Timers are being implemented to meet crash goals.

### HSIP Fund Administration

NYS DOT is using a hybrid approach to manage the Highway Safety Improvement Program which has essentially doubled in size under MAP-21. Approximately half of the funds have been provided to the NYS DOT regions according to existing safety planning target formulas. The remaining half is administered centrally by the Statewide Safety and System Optimization Team (SSO) who oversee a statewide solicitation for regionally significant safety projects. The statewide solicitation program funds the most cost effective safety projects and directs HSIP funds where they are most needed regardless of ownership, mode or geographic restriction. In FFY13 and FFY14, the statewide program funded 10 local and 27 state projects for a total of approximately \$82M. The FFY15-17 Statewide program is funding 14 local projects and 23 state projects for a total of about \$80M for the 3 federal fiscal years.

### All Public Roads

The mandate to address the safety of *all public roads* has broadened the scope of work of the Department of Transportation and our partners, requiring a greater focus on key "priority result" or "emphasis" areas in order to utilize our fiscal and staff resources to greatest effect. The following initiatives support the "all public roads" mandate.

- Locally owned and state owned projects complete equally for funds in the statewide solicitation program

- Crash data on the local system is available through New York's Safety Information Management System (SIMS)
- Plans are underway to build a local GIS route system
- Enhancements to the Accident Location Information System (ALIS), the Safety Information Management System (SIMS) and a new Enterprise Linear Referencing System (ELRS) will provide functionality that allows safety problem identification and countermeasure analysis to be done on the local system in the same way as the state system
- Additional traffic counts are being taken on local roads

#### Performance Indicators

The MAP-21 legislation integrates performance into the HSIP program. The number of fatalities and serious injuries and their associated rates have been on a general downward trend over the last 10 years as can be seen below.

#### Annual - Number of Crashes and Crash Rates

	2004	2005	2006	2007	2008	2009
Number of Fatalities	1,495	1,434	1,454	1,332	1,238	1,158
Number of Serious Injuries	14,466	14,120	13,660	13,689	13,370	13,561
Fatality Rate	1.08	1.03	1.03	0.97	0.92	0.87
Serious Injury Rate	10.52	10.14	9.66	10.01	10.00	10.16

#### 5 yr rolling average - Number of Crashes and Crash Rates

	2009	2010	2011
Number of Fatalities	1,323	1,277	1,220
Number of Serious Injuries	13,680	13,531	13,300
Fatality Rate	.96	.94	.92
Serious Injury Rate	10	10	10.03

#### *Data Sources:*

*Fatality Data 2004-2012: FARS*

*Fatality Data 2013: SIMS (preliminary)*

*Injury Data 2004-2013: SIMS*

*Fatality Data for 2012 and 2013 is preliminary throughout the report*

*Injury Data for 2013 is preliminary throughout the report*

## Introduction

The Highway Safety Improvement Program (HSIP) is a core Federal-aid program with the purpose of achieving a significant reduction in fatalities and serious injuries on all public roads. As per 23 U.S.C. 148(h) and 23 CFR 924.15, States are required to report annually on the progress being made to advance HSIP implementation and evaluation efforts. The format of this report is consistent with the HSIP MAP-21 Reporting Guidance dated February 13, 2013 and consists of four sections: program structure, progress in implementing HSIP projects, progress in achieving safety performance targets, and assessment of the effectiveness of the improvements.

## Program Structure

### Program Administration

**How are Highway Safety Improvement Program funds allocated in a State?**

Central

District

Other - Approximately 50% of the HSIP funds were provided to the Regions according to a safety planning target formula. Most of the remaining funds were allocated to projects via a competitive application process administered by the central office.

**Describe how local roads are addressed as part of Highway Safety Improvement Program.**

Safety projects on all public roads in New York State including local roads are eligible to receive HSIP funds. In FFY13 approximately 50% of the available HSIP funds were allocated to the 11 regions in New York state based on a formula that included VMT, population and crashes. Fifty percent of the Region 11 allocation was provided to New York City for safety projects on local roads owned by New York City. The competitive application component of the HSIP program in New York States awarded funding to 24 local projects to be let between FFY 2013 - FFY 17 for a total of about \$51M in HSIP

funding. In addition, 111 Capital Projects and/or Safety Capital Projects contained a local roads component. Approximately \$4.5 million was spent in local funds on safety projects in 2013. Project improvements on local projects by type in 2013 are shown below.

<b>Safety Improvement</b>	<b>Number of Projects</b>
Pedestrian (non-SRTS)	2
Bicycle	2
Highway Reconstruction/Widening/Overlay/New Construction	5
Intersection Improvements	5
Traffic Signal Improvements	12
Pavement Markings/Resurfacing	1
Shared Path Usage	1
Signing	3
Clear Zone/Median barrier	2
Sight Distance Improvements/Drainage Rehab	2
RR Crossings	7

Projects included above are those that utilized the E09, Local Government Unit funds.

**Identify which internal partners are involved with Highway Safety Improvement Program planning.**

Design

Planning

Maintenance

Operations

Governors Highway Safety Office

Other:

**Briefly describe coordination with internal partners.**

The New York State Department of Transportation formed a Statewide Safety System and Optimization team (SSO) with expertise in highway safety and system optimization. The multi disciplinary team is comprised of members from various Division and Regional Offices including Safety Program Management and Coordination, System Optimization, Local Programs, Integrated Modal Services, Planning, Design and Transportation Maintenance. The SSO team is responsible for the following:

- Providing long term guidance on safety and system optimization to ensure consistency with program update strategies;
- Providing clarification and guidance to the 11 NYSDOT regions;
- Developing technical guidance for safety strategies described in the program update;
- Developing support materials for NYSDOT Regions in preparing safety program proposals;
- Reviewing safety program proposals; and
- Monitoring regional programs over the life of the program to ensure safety and optimization goals are met.

**Identify which external partners are involved with Highway Safety Improvement Program planning.**

Metropolitan Planning Organizations

Governors Highway Safety Office

Local Government Association

Other:

**Identify any program administration practices used to implement the HSIP that have changed since the last reporting period.**



Multi-disciplinary HSIP steering committee

Other: Other-A competitive application program was established in FFY13. The program is continuing for projects let through FFY 17 assuming MAP 21 funding remains available.

**Describe any other aspects of Highway Safety Improvement Program Administration on which you would like to elaborate.**

NYSDOT is using a hybrid approach to manage the Highway Safety Improvement Program (HSIP) which has essentially doubled in size under MAP-21. Approximately half of the funds have been provided to the NYSDOT Regions according to existing safety planning target formulas. The remaining half is being administered centrally through initiatives managed by the Statewide Safety and System Optimization Team. In June 2014, approximately \$79.3M of the HSIP funds managed centrally was awarded to projects which are scheduled to be let between FFY15 to FFY17. The projects were selected via a competitive statewide application process. The statewide solicitations support safety specific projects that direct safety funds where they are most needed by targeting locations, corridors, or areas demonstrating an advantageous benefit-cost ratio to reduce fatal and severe injury crashes. Funding has been awarded based on an evaluation of these projects to maximize investment in the most cost-effective safety projects. Successful proposals are consistent with the strategies and emphasis areas identified in the NYS Strategic Highway Safety Plan.

### Program Methodology

**Select the programs that are administered under the HSIP.**

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Median Barrier               | <input checked="" type="checkbox"/> Intersection               | <input checked="" type="checkbox"/> Safe Corridor                    |
| <input checked="" type="checkbox"/> Horizontal Curve  | <input checked="" type="checkbox"/> Bicycle Safety             | <input checked="" type="checkbox"/> Rural State Highways             |
| <input checked="" type="checkbox"/> Skid Hazard       | <input checked="" type="checkbox"/> Crash Data                 | <input type="checkbox"/> Red Light Running Prevention                |
| <input checked="" type="checkbox"/> Roadway Departure | <input checked="" type="checkbox"/> Low-Cost Spot Improvements | <input checked="" type="checkbox"/> Sign Replacement And Improvement |
| <input checked="" type="checkbox"/> Local Safety      | <input checked="" type="checkbox"/> Pedestrian Safety          | <input checked="" type="checkbox"/> Right Angle Crash                |
| <input type="checkbox"/> Left Turn Crash              | <input type="checkbox"/> Shoulder Improvement                  | <input checked="" type="checkbox"/> Segments                         |
| <input type="checkbox"/> Other:                       |  |  |

---

**Program:** Intersection

**Date of Program Methodology:** 11/1/1989

**What data types were used in the program methodology?**

*Crashes*

All crashes

Fatal crashes only

Fatal and serious injury  
crashes only

Other-Priority Investigation  
Locations (PILS)

*Exposure*

Traffic

Volume

Population

Lane miles

Other

*Roadway*

Median width

Horizontal curvature

Functional classification

Roadside features

Other

**What project identification methodology was used for this program?**

Crash frequency

Expected crash frequency with EB adjustment

Equivalent property damage only (EPDO Crash frequency)

EPDO crash frequency with EB adjustment

Relative severity index

Crash rate

Critical rate

- Level of service of safety (LOSS)
- Excess expected crash frequency using SPFs
- Excess expected crash frequency with the EB adjustment
- Excess expected crash frequency using method of moments
- Probability of specific crash types
- Excess proportions of specific crash types
- Other

**Are local roads (non-state owned and operated) included or addressed in this program?**

- Yes
- No

If yes, are local road projects identified using the same methodology as state roads?

- Yes
- No

If no, describe the methodology used to identify local road projects as part of this program.

Local road projects are typically identified via local municipalities and the MPO planning process.

**How are highway safety improvement projects advanced for implementation?**

- Competitive application process
- Selection committee
- Other
- Other-Priority Investigation Locations (PILS) are identified where the crash rate is greater than the average for a similar road type. An annual work program is developed to investigate a percentage of the PILS and recommend safety counter measures.

**Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical**

**rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).**

Relative Weight in Scoring

Rank of Priority Consideration

Ranking based on B/C                      2

Available funding                              1

Incremental B/C

Ranking based on net benefit

Cost Effectiveness                              2

**Program:**    **Safe Corridor**

**Date of Program Methodology:**    **1/1/2012**

**What data types were used in the program methodology?**

*Crashes*

All crashes

Fatal crashes only

Fatal and serious injury crashes only

Other-Priority Investigation Locations (PILS)

*Exposure*

Traffic

Volume

Population

Lane miles

Other

*Roadway*

Median width

Horizontal curvature

Functional classification

Roadside features

Other

**What project identification methodology was used for this program?**

- Crash frequency
- Expected crash frequency with EB adjustment
- Equivalent property damage only (EPDO Crash frequency)
- EPDO crash frequency with EB adjustment
- Relative severity index
- Crash rate
- Critical rate
- Level of service of safety (LOSS)
- Excess expected crash frequency using SPFs
- Excess expected crash frequency with the EB adjustment
- Excess expected crash frequency using method of moments
- Probability of specific crash types
- Excess proportions of specific crash types
- Other

**Are local roads (non-state owned and operated) included or addressed in this program?**

- Yes
- No

If yes, are local road projects identified using the same methodology as state roads?

- Yes
- No

If no, describe the methodology used to identify local road projects as part of this program.

Local road projects are typically identified via local municipalities and the MPO planning process.

**How are highway safety improvement projects advanced for implementation?**

- Competitive application process
- Selection committee
- Other-The Priority Investigation Location process mentioned above.

**Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).**

- Relative Weight in Scoring
- Rank of Priority Consideration
- Ranking based on B/C                      2
- Available funding                              1
- Incremental B/C
- Ranking based on net benefit
- Cost Effectiveness                              2

---

**Program:**    **Horizontal Curve**

**Date of Program Methodology:**    **11/1/1989**

**What data types were used in the program methodology?***Crashes**Exposure**Roadway* All crashes Traffic Median width

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Fatal crashes only                                | <input checked="" type="checkbox"/> Volume | <input checked="" type="checkbox"/> Horizontal curvature |
| <input type="checkbox"/> Fatal and serious injury crashes only             | <input type="checkbox"/> Population        | <input type="checkbox"/> Functional classification       |
| <input checked="" type="checkbox"/> Other-Priority Investigation Locations | <input type="checkbox"/> Lane miles        | <input checked="" type="checkbox"/> Roadside features    |
|  | <input type="checkbox"/> Other             | <input type="checkbox"/> Other                           |

**What project identification methodology was used for this program?**

- Crash frequency
- Expected crash frequency with EB adjustment
- Equivalent property damage only (EPDO Crash frequency)
- EPDO crash frequency with EB adjustment
- Relative severity index
- Crash rate
- Critical rate
- Level of service of safety (LOSS)
- Excess expected crash frequency using SPFs
- Excess expected crash frequency with the EB adjustment
- Excess expected crash frequency using method of moments
- Probability of specific crash types
- Excess proportions of specific crash types
- Other

**Are local roads (non-state owned and operated) included or addressed in this program?**

- Yes
- No

If yes, are local road projects identified using the same methodology as state roads?

Yes

No

If no, describe the methodology used to identify local road projects as part of this program.

Local road projects are typically identified via local municipalities and the MPO planning process.

**How are highway safety improvement projects advanced for implementation?**

Competitive application process

Selection committee

Other-The Priority Investigation Location process mentioned above.

**Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).**

Relative Weight in Scoring

Rank of Priority Consideration

Ranking based on B/C                      2

Available funding                              1

Incremental B/C

Ranking based on net benefit

Cost Effectiveness                              2



**Program:** **Bicycle Safety**

**Date of Program Methodology:** **1/1/2010**

**What data types were used in the program methodology?**

*Crashes*

All crashes

Fatal crashes only

Fatal and serious injury crashes only

Other-Priority Investigation Locations (PILS)

*Exposure*

Traffic

Volume

Population

Lane miles

Other

*Roadway*

Median width

Horizontal curvature

Functional classification

Roadside features

Other

**What project identification methodology was used for this program?**

Crash frequency

Expected crash frequency with EB adjustment

Equivalent property damage only (EPDO Crash frequency)

EPDO crash frequency with EB adjustment

Relative severity index

Crash rate

Critical rate

Level of service of safety (LOSS)

Excess expected crash frequency using SPFs

Excess expected crash frequency with the EB adjustment

Excess expected crash frequency using method of moments

Probability of specific crash types

Excess proportions of specific crash types

Other

**Are local roads (non-state owned and operated) included or addressed in this program?**

Yes

No

If yes, are local road projects identified using the same methodology as state roads?

Yes

No

If no, describe the methodology used to identify local road projects as part of this program.

Local road projects are typically identified via local municipalities and the MPO planning process.

**How are highway safety improvement projects advanced for implementation?**

Competitive application process

Selection committee

Other-The Priority Investigation Location process mentioned above.

**Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).**

Relative Weight in Scoring

Rank of Priority Consideration

Ranking based on B/C                      2

Available funding                              1

- Incremental B/C
- Ranking based on net benefit
- Cost Effectiveness 2

**Program:** Rural State Highways

**Date of Program Methodology:** 1/1/2010

**What data types were used in the program methodology?**

*Crashes*

- All crashes
- Fatal crashes only
- Fatal and serious injury crashes only
- Other-Implementing CARDS on rural highways with specific characteristics.
- Other-Priority Investigation Locations (PILS)

*Exposure*

- Traffic
- Volume
- Population
- Lane miles
- Other

*Roadway*

- Median width
- Horizontal curvature
- Functional classification
- Roadside features
- Other

**What project identification methodology was used for this program?**

- Crash frequency
- Expected crash frequency with EB adjustment
- Equivalent property damage only (EPDO Crash frequency)
- EPDO crash frequency with EB adjustment

- Relative severity index
- Crash rate
- Critical rate
- Level of service of safety (LOSS)
- Excess expected crash frequency using SPFs
- Excess expected crash frequency with the EB adjustment
- Excess expected crash frequency using method of moments
- Probability of specific crash types
- Excess proportions of specific crash types
- Other

**Are local roads (non-state owned and operated) included or addressed in this program?**

- Yes
- No

If yes, are local road projects identified using the same methodology as state roads?

- Yes
- No

If no, describe the methodology used to identify local road projects as part of this program.

Local road projects are typically identified via local municipalities and the MPO planning process.

**How are highway safety improvement projects advanced for implementation?**

- Competitive application process
- Selection committee
- Other-The Priority Investigation Location process mentioned above.

Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).

Relative Weight in Scoring

Rank of Priority Consideration

Ranking based on B/C 2

Available funding 1

Incremental B/C

Ranking based on net benefit

Cost Effectiveness 2

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**Program:** Skid Hazard

**Date of Program Methodology:** 1/1/1995

**What data types were used in the program methodology?**

*Crashes*

All crashes

Fatal crashes only

Fatal and serious injury crashes only

Other

Other- Locations are identified where the percentage

*Exposure*

Traffic

Volume

Population

Lane miles

Other

*Roadway*

Median width

Horizontal curvature

Functional classification

Roadside features

Other

of wet road accidents is twice the normal proportion for the same county and facility type.

Other-Priority Investigation Locations (PILS)

**What project identification methodology was used for this program?**

- Crash frequency
- Expected crash frequency with EB adjustment
- Equivalent property damage only (EPDO Crash frequency)
- EPDO crash frequency with EB adjustment
- Relative severity index
- Crash rate
- Critical rate
- Level of service of safety (LOSS)
- Excess expected crash frequency using SPFs
- Excess expected crash frequency with the EB adjustment
- Excess expected crash frequency using method of moments
- Probability of specific crash types
- Excess proportions of specific crash types
- Other

**Are local roads (non-state owned and operated) included or addressed in this program?**

- Yes
- No

**How are highway safety improvement projects advanced for implementation?** Competitive application process Selection committee Other Other- Locations with  $\geq$  twice the normal percentage of wet road accidents are identified and friction tested. Tested locations which demonstrate one or more low friction test numbers (FN40R of 32) are treated.

**Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).**

 Relative Weight in Scoring Rank of Priority Consideration Ranking based on B/C Available funding Incremental B/C Ranking based on net benefit Other Locations with low friction test numbers (FN40R of 32) require treatment.

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**Program:** Crash Data**Date of Program Methodology:** 1/1/1989

**What data types were used in the program methodology?***Crashes* All crashes Fatal crashes only Fatal and serious injury  
crashes only Other-Priority Investigation  
Locations (PILS)*Exposure* Traffic Volume Population Lane miles Other*Roadway* Median width Horizontal curvature Functional classification Roadside features Other**What project identification methodology was used for this program?** Crash frequency Expected crash frequency with EB adjustment Equivalent property damage only (EPDO Crash frequency) EPDO crash frequency with EB adjustment Relative severity index Crash rate Critical rate Level of service of safety (LOSS) Excess expected crash frequency using SPFs Excess expected crash frequency with the EB adjustment Excess expected crash frequency using method of moments Probability of specific crash types Excess proportions of specific crash types Other



**Are local roads (non-state owned and operated) included or addressed in this program?** Yes No

If yes, are local road projects identified using the same methodology as state roads?

 Yes No

If no, describe the methodology used to identify local road projects as part of this program.

Local road projects are typically identified via local municipalities and the MPO planning process.

**How are highway safety improvement projects advanced for implementation?** Competitive application process Selection committee Other-The Priority Investigation Location process mentioned above.

**Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).**

 Relative Weight in Scoring Rank of Priority Consideration Ranking based on B/C 2 Available funding 1 Incremental B/C Ranking based on net benefit

Cost Effectiveness

2

**Program:** Roadway Departure**Date of Program Methodology:** 1/1/1989**What data types were used in the program methodology?***Crashes* All crashes Fatal crashes only Fatal and serious injury  
crashes only Other-Priority Investigation  
Locations (PILS)*Exposure* Traffic Volume Population Lane miles Other*Roadway* Median width Horizontal curvature Functional classification Roadside features Other**What project identification methodology was used for this program?** Crash frequency Expected crash frequency with EB adjustment Equivalent property damage only (EPDO Crash frequency) EPDO crash frequency with EB adjustment Relative severity index Crash rate Critical rate

- Level of service of safety (LOSS)
- Excess expected crash frequency using SPFs
- Excess expected crash frequency with the EB adjustment
- Excess expected crash frequency using method of moments
- Probability of specific crash types
- Excess proportions of specific crash types
- Other
- Other- CARDS are recommended for projects that will put  $\geq 40$  mm of asphalt and meet the following: 1) there is no raised median or TWLTL, 2) the CARD quantity is  $\geq 1500'$ ; 3) the posted speed  $\geq 45$  mph; 4) the AADT  $\geq 2,000$ ; and 4) the roadway width  $\geq 13'$ .
- Other-High risk factors for roadway departure crashes were identified in a statewide systemic analysis. Additional systemic programs will be investigated in the upcoming years to decrease roadway departures.
- Other-New York is currently working on a Lane Departure Action Plan. The plan will identify specific countermeasures for implementation under specific roadway conditions to decrease the number of lane departure crashes.

**Are local roads (non-state owned and operated) included or addressed in this program?**

- Yes
- No

If yes, are local road projects identified using the same methodology as state roads?

- Yes
- No

If no, describe the methodology used to identify local road projects as part of this program.

Local projects are usually identified when a municipality informs DOT of a safety issue or through MPO planning. Data that shows a safety issue is required to receive funding however a detailed analysis that identifies high accident locations is not.



**What data types were used in the program methodology?***Crashes* All crashes Fatal crashes only Fatal and serious injury  
crashes only Other-Priority Investigation  
Locations (PILS)*Exposure* Traffic Volume Population Lane miles Other*Roadway* Median width Horizontal curvature Functional classification Roadside features Other**What project identification methodology was used for this program?** Crash frequency Expected crash frequency with EB adjustment Equivalent property damage only (EPDO Crash frequency) EPDO crash frequency with EB adjustment Relative severity index Crash rate Critical rate Level of service of safety (LOSS) Excess expected crash frequency using SPFs Excess expected crash frequency with the EB adjustment Excess expected crash frequency using method of moments Probability of specific crash types Excess proportions of specific crash types Other

Other-A project review and windshield survey is conducted as required by the SAFETAP program. Qualified staff decide upon the safety work to be done before, during and after construction to ensure safety is incorporated into maintenance projects.

Other-Low cost spot improvements are often recommended as a result of a highway safety investigation.

**Are local roads (non-state owned and operated) included or addressed in this program?**

Yes

No

If yes, are local road projects identified using the same methodology as state roads?

Yes

No

If no, describe the methodology used to identify local road projects as part of this program.

Local road projects are typically identified via local municipalities or through the MPO planning process.

**How are highway safety improvement projects advanced for implementation?**

Competitive application process

Selection committee

Other

Other- Many nominal safety improvements are incorporated into maintenance work

Other-The Priority Investigation Location process mentioned above.

**Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).**

Relative Weight in Scoring

Rank of Priority Consideration

- Ranking based on B/C 2
- Available funding 1
- Incremental B/C
- Ranking based on net benefit
- Cost Effectiveness 2
- Many nominal safety items are incorporated into maintenance activities.

**Program:** Sign Replacement And Improvement

**Date of Program Methodology:** 1/1/1995

**What data types were used in the program methodology?**

- | <i>Crashes</i>  | <i>Exposure</i>                            | <i>Roadway</i>  |
|---|--|---|
| <input checked="" type="checkbox"/> All crashes                                   | <input type="checkbox"/> Traffic           | <input type="checkbox"/> Median width                         |
| <input type="checkbox"/> Fatal crashes only                                       | <input checked="" type="checkbox"/> Volume | <input type="checkbox"/> Horizontal curvature                 |
| <input type="checkbox"/> Fatal and serious injury crashes only                    | <input type="checkbox"/> Population        | <input checked="" type="checkbox"/> Functional classification |
| <input checked="" type="checkbox"/> Other-Priority Investigation Locations (PILS) | <input type="checkbox"/> Lane miles        | <input type="checkbox"/> Roadside features                    |
|   | <input type="checkbox"/> Other             | <input type="checkbox"/> Other                                |

**What project identification methodology was used for this program?**

- Crash frequency
- Expected crash frequency with EB adjustment
- Equivalent property damage only (EPDO Crash frequency)
- EPDO crash frequency with EB adjustment
- Relative severity index
- Crash rate
- Critical rate
- Level of service of safety (LOSS)
- Excess expected crash frequency using SPFs
- Excess expected crash frequency with the EB adjustment
- Excess expected crash frequency using method of moments
- Probability of specific crash types
- Excess proportions of specific crash types
- Other
- Other-Signs needing improvement can be identified during a SAFETAP review or a Highway Safety Investigation. Some regions have implemented a replacement program where signs are replaced on a defined schedule.

**Are local roads (non-state owned and operated) included or addressed in this program?**

- Yes
- No

If yes, are local road projects identified using the same methodology as state roads?

- Yes
- No

If no, describe the methodology used to identify local road projects as part of this program.

Local road projects are typically identified via local municipalities and the MPO planning process.



**How are highway safety improvement projects advanced for implementation?**

- Competitive application process
- Selection committee
- Other-The Priority Investigation Location process mentioned above.

**Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).**

- Relative Weight in Scoring
- Rank of Priority Consideration
- Ranking based on B/C                      2
- Available funding                              1
- Incremental B/C
- Ranking based on net benefit
- Cost Effectiveness                              2

---

**Program:**    **Local Safety**

**Date of Program Methodology:**    **1/1/2013**

**What data types were used in the program methodology?***Crashes**Exposure**Roadway*

- |  |  |  |
|--|--|--|
| <input checked="" type="checkbox"/> All crashes                | <input type="checkbox"/> Traffic           | <input type="checkbox"/> Median width              |
| <input type="checkbox"/> Fatal crashes only                    | <input checked="" type="checkbox"/> Volume | <input type="checkbox"/> Horizontal curvature      |
| <input type="checkbox"/> Fatal and serious injury crashes only | <input type="checkbox"/> Population        | <input type="checkbox"/> Functional classification |
| <input type="checkbox"/> Other                                 | <input type="checkbox"/> Lane miles        | <input type="checkbox"/> Roadside features         |
|  | <input type="checkbox"/> Other             | <input type="checkbox"/> Other                     |

**What project identification methodology was used for this program?**

- Crash frequency
- Expected crash frequency with EB adjustment
- Equivalent property damage only (EPDO Crash frequency)
- EPDO crash frequency with EB adjustment
- Relative severity index
- Crash rate
- Critical rate
- Level of service of safety (LOSS)
- Excess expected crash frequency using SPFs
- Excess expected crash frequency with the EB adjustment
- Excess expected crash frequency using method of moments
- Probability of specific crash types
- Excess proportions of specific crash types
- Other

**Are local roads (non-state owned and operated) included or addressed in this program?**

- Yes

No

If yes, are local road projects identified using the same methodology as state roads?

Yes

No

If no, describe the methodology used to identify local road projects as part of this program.

Local roads are always eligible for HSIP. Local roads are typically identified via local authorities or municipalities.

**How are highway safety improvement projects advanced for implementation?**

Competitive application process

Selection committee

Other

**Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).**

Relative Weight in Scoring

Rank of Priority Consideration

Ranking based on B/C 2

Available funding 1

Incremental B/C

Ranking based on net benefit

Cost Effectiveness 2

**Program:** Pedestrian Safety

**Date of Program Methodology:** 11/1/1989

**What data types were used in the program methodology?**

*Crashes*

- All crashes
- Fatal crashes only
- Fatal and serious injury crashes only
- Other-Crashes involving pedestrians
- Other-Priority Investigation Locations (PILS)

*Exposure*

- Traffic
- Volume
- Population
- Lane miles
- Other

*Roadway*

- Median width
- Horizontal curvature
- Functional classification
- Roadside features
- Other-Intersection features; crosswalk features; pedestrian islands etc.

**What project identification methodology was used for this program?**

- Crash frequency
- Expected crash frequency with EB adjustment
- Equivalent property damage only (EPDO Crash frequency)
- EPDO crash frequency with EB adjustment
- Relative severity index
- Crash rate
- Critical rate
- Level of service of safety (LOSS)
- Excess expected crash frequency using SPFs

- Excess expected crash frequency with the EB adjustment
- Excess expected crash frequency using method of moments
- Probability of specific crash types
- Excess proportions of specific crash types
- Other

**Are local roads (non-state owned and operated) included or addressed in this program?**

- Yes
- No

If yes, are local road projects identified using the same methodology as state roads?

- Yes
- No

If no, describe the methodology used to identify local road projects as part of this program.

Local road projects are typically identified via local municipalities or through the MPO planning process.

**How are highway safety improvement projects advanced for implementation?**

- Competitive application process
- Selection committee
- Other-The Priority Investigation Location process mentioned above.

**Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).**

- Relative Weight in Scoring
- Rank of Priority Consideration

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> Ranking based on B/C | 2 |
| <input checked="" type="checkbox"/> Available funding    | 1 |
| <input type="checkbox"/> Incremental B/C                 |   |
| <input type="checkbox"/> Ranking based on net benefit    |   |
| <input checked="" type="checkbox"/> Cost Effectiveness   | 2 |

**Program:** Right Angle Crash

**Date of Program Methodology:** 1/1/1989

**What data types were used in the program methodology?**

- | <i>Crashes</i>  | <i>Exposure</i>                            | <i>Roadway</i>  |
|---|--|---|
| <input checked="" type="checkbox"/> All crashes                                   | <input type="checkbox"/> Traffic           | <input type="checkbox"/> Median width   |
| <input type="checkbox"/> Fatal crashes only                                       | <input checked="" type="checkbox"/> Volume | <input type="checkbox"/> Horizontal curvature                                     |
| <input type="checkbox"/> Fatal and serious injury crashes only                    | <input type="checkbox"/> Population        | <input checked="" type="checkbox"/> Functional classification                     |
| <input checked="" type="checkbox"/> Other-Priority Investigation Locations (PILS) | <input type="checkbox"/> Lane miles        | <input type="checkbox"/> Roadside features  |
|   | <input type="checkbox"/> Other             | <input checked="" type="checkbox"/> Other-Intersection features; speed limit etc. |

**What project identification methodology was used for this program?**

- Crash frequency
- Expected crash frequency with EB adjustment
- Equivalent property damage only (EPDO Crash frequency)

- EPDO crash frequency with EB adjustment
- Relative severity index
- Crash rate
- Critical rate
- Level of service of safety (LOSS)
- Excess expected crash frequency using SPFs
- Excess expected crash frequency with the EB adjustment
- Excess expected crash frequency using method of moments
- Probability of specific crash types
- Excess proportions of specific crash types
- Other

**Are local roads (non-state owned and operated) included or addressed in this program?**

- Yes
- No

If yes, are local road projects identified using the same methodology as state roads?

- Yes
- No

If no, describe the methodology used to identify local road projects as part of this program.

Local road projects are typically identified via local municipalities and the MPO planning process.

**How are highway safety improvement projects advanced for implementation?**

- Competitive application process
- Selection committee
- Other-The Priority Investigation Location process mentioned above.

Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).

Relative Weight in Scoring

Rank of Priority Consideration

Ranking based on B/C 2

Available funding 1

Incremental B/C

Ranking based on net benefit

Cost Effectiveness 2

---

**Program:**

**Segments**

**Date of Program Methodology:** 11/1/1989

**What data types were used in the program methodology?**

*Crashes*

All crashes

Fatal crashes only

Fatal and serious injury crashes only

Other-Priority Investigation Locations (PILS)

*Exposure*

Traffic

Volume

Population

Lane miles

*Roadway*

Median width

Horizontal curvature

Functional classification

Roadside features



Other Other**What project identification methodology was used for this program?**

- Crash frequency
- Expected crash frequency with EB adjustment
- Equivalent property damage only (EPDO Crash frequency)
- EPDO crash frequency with EB adjustment
- Relative severity index
- Crash rate
- Critical rate
- Level of service of safety (LOSS)
- Excess expected crash frequency using SPFs
- Excess expected crash frequency with the EB adjustment
- Excess expected crash frequency using method of moments
- Probability of specific crash types
- Excess proportions of specific crash types
- Other

**Are local roads (non-state owned and operated) included or addressed in this program?**

- Yes
- No

If yes, are local road projects identified using the same methodology as state roads?

- Yes
- No

If no, describe the methodology used to identify local road projects as part of this program.

Local road projects are typically identified via local municipalities or through the MPO planning process.

**How are highway safety improvement projects advanced for implementation?**

- Competitive application process
- Selection committee
- Other-The Priority Investigation Location process mentioned above.

**Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).**

- Relative Weight in Scoring
- Rank of Priority Consideration

- Ranking based on B/C                      2
- Available funding                              1
- Incremental B/C
- Ranking based on net benefit
- Cost Effectiveness                              2

**What proportion of highway safety improvement program funds address systemic improvements?**

30

**Highway safety improvement program funds are used to address which of the following systemic**

**improvements?**

- |  |  |
|--|--|
| <input type="checkbox"/> Cable Median Barriers                               | <input checked="" type="checkbox"/> Rumble Strips                            |
| <input checked="" type="checkbox"/> Traffic Control Device Rehabilitation    | <input type="checkbox"/> Pavement/Shoulder Widening                          |
| <input type="checkbox"/> Install/Improve Signing                             | <input type="checkbox"/> Install/Improve Pavement Marking and/or Delineation |
| <input type="checkbox"/> Upgrade Guard Rails                                 | <input type="checkbox"/> Clear Zone Improvements                             |
| <input type="checkbox"/> Safety Edge   | <input type="checkbox"/> Install/Improve Lighting                            |
| <input checked="" type="checkbox"/> Add/Upgrade/Modify/Remove Traffic Signal | <input type="checkbox"/> Other   |

**What process is used to identify potential countermeasures?**

- Engineering Study
- Road Safety Assessment
- Other:

**Identify any program methodology practices used to implement the HSIP that have changed since the last reporting period.**

- Highway Safety Manual
- Road Safety audits
- Systemic Approach

Other: Other-We continue to conduct road safety audits on PILS during the year. We continue to implement both systemic and location specific counter measures to decrease fatal and serious injury crashes.

Other: Other-New York City implemented a Towards Zero Death action plan in 2014.

Other: Other-New York State is in the process of developing 3 action plans that incorporate specific plans to decrease Pedestrian, Intersection and Lane Departure crashes.

**Describe any other aspects of the Highway Safety Improvement Program methodology on which you would like to elaborate.**

Improving highway safety for the traveling public is defined as a key emphasis area in New York State's Strategic Highway Safety Plan and continues to be a high priority at NYSDOT. Safety objectives defined in the plan include improving safety for pedestrians, improving data analysis tools and capabilities, improving the design and operation of highway intersections, decreasing fatalities resulting from travel lane departures and improving work zone safety.

### **I. Pedestrian Safety**

Each year, pedestrians are involved in approximately one-quarter of the fatal motor vehicle crashes that occur on New York State roadways. NYSDOT continues to look for solutions to improving the safety of all roadway users including pedestrians.

#### Safer Corridors

In 2012 NYSDOT began developing a process to evaluate corridors to improve pedestrian safety. To maximize effectiveness, the process emphasizes coordination among the Department and other local, state and federal partners. Solutions involve not only engineering measures, but also enforcement campaigns and educational efforts.

The first project conducted was on the Hempstead Turnpike on Long Island. After a detailed study, improvements included: remarking and widening crosswalks, increasing pedestrian crossing times at signals, adding new crosswalks, adding latching pedestrian buttons, adding

new signals, signal timing changes, bus stop relocations and the installation of raised medians. These improvements were done in conjunction with an enforcement blitz and education campaign targeting the contributing behaviors determined in the crash data review.

Building on this success, the department is working on studies of the entire Sunrise Highway (Route 27) and Route 110 corridors from a pedestrian safety perspective as well as Route 5/Central Avenue and Hoosick Street (Route 7) in the Albany Capital District. The improvements and action plans are expected to be very similar to what is being done on Route 24 (Hempstead Turnpike).

The NYSDOT also has plans to introduce a high priority pedestrian safety concept throughout the state in major metropolitan areas.

### Complete Streets

On a statewide basis, the New York State Department of Transportation is currently applying Complete Street provisions in its project planning, programming and delivery processes. Complete Street design must be considered for county and local transportation projects that NYSDOT undertakes or for projects that receive federal and state funding and have NYSDOT oversight. Complete streets are designed and operated to enable safe access for all users including pedestrians, bicyclists, motorists and transit riders of all ages and abilities. An important component of the Complete Streets framework is a "Pedestrian Generator Checklist" which is used by planners and designers to identify a need for current or future pedestrian accommodations in our projects.

## **II. Improving Data Analysis Tools and Capabilities**

This report is based on crash data from the Fatality Accident Reporting System (FARS), NYSDOT's Safety Information System (SIMS) and NYSDMV's Accident Information System (AIS). Crash records and roadway characteristics are analyzed to identify Priority Investigation Locations (PIL's). A subset of PILS are investigated every year for the purpose of identifying safety improvements. Crash data has traditionally included fatal, injury, property damage crashes over \$1,000 (reportable PDO) and property damage accidents under \$1,000 (non-reportable). Additional factors used in developing the Priority Investigation Locations (PIL's) list are traffic volumes, divided or undivided and the number of travel lanes. All HSIP locations studied are on the "State System" with the exception of some New York City locations.

### Status of Crash Data

The Department continues to partner with the NYS Department of Motor Vehicles (NYSDMV), the Governor's Traffic Safety Committee, State Police and other key stakeholders to mutually re-engineer the accident and traffic violation records systems to address New York's data information needs. The State continues to use a strategic planning approach to improve its various information systems as articulated in the State's Traffic Safety Information Systems Strategic Plan. The status of improvements that directly affect the Department's SIMS are:

#### Crash Records

The fatal, injury, and reportable Property Damage Only (PDO) crash data is complete through 2012. NYSDOT continues to work with the NYSDMV, the official repository of crash data, to reduce the lag in the deferred non-reportable (property damage under \$1,000) crash records that NYSDMV traditionally processed. Both Departments continue to contract with outside vendors for record imaging and data entry services. The backlog of non-reportable accidents will continue to be reduced this year.

#### Traffic & Criminal Software (TraCS)

New York State continues as an active participating state in the development and further refinement of the nationally developed software for electronic collection of ticket and traffic records. Use and Dissemination Agreements for use of the software have been signed by more than 482 different police agencies across the state. This represents more than one-third of all law enforcement agencies in NYS who have committed to using the software. As of March 31, 2013, 459 agencies are transmitting data through the TraCS system. This number will increase steadily as the software is deployed to additional agencies in future years. Consistent funding will be vital to achieving this goal. The software will reduce the workload at NYSDMV decreasing the time it takes to process each crash report. An upgrade was implemented to the "Spider" process which improves the data transmittal and processing between the State Police and all ticket and crash data users. In addition, there is an ongoing upgrade to the TraCS software which should help to improve data quality and reduce errors.

#### Post-Implementation Evaluation System (PIES)

The Post-Implementation Evaluation System (PIES) allows for actual before and after project evaluations. The system allows for: verification that projected accident reductions reported as part of the Department's safety goal are reasonable and accurate; quantitative measurements of the effectiveness of the Department's overall capital program in improving highway safety (reducing accidents and safety benefit cost ratio); continued development of new accident reduction factors for accident countermeasures (shoulder rumble strips, roundabouts, and pavement surface treatments); and ensures that the mandated requirements are met.

### Accident Location Information System (ALIS)

ALIS is a GIS web based accident location analysis tool that allows for geographic based crash analysis. This tool is available to all DOT employees, MPO's, and county and local governments. All the MPO's as well as New York City are using the analysis tool. This year the analysis tool was upgraded to improve performance and update the reporting functions to better align with the Highway Safety Improvement Program process.

### Enterprise Linear Referencing System (ELRS)

The roads and highways implementation contract was approved in July 2013. The goal of the project is to build a statewide linear referencing network with maintenance workflows that are sustainable and integrate NYS business systems with the Enterprise Linear Referencing System. This will enhance the ability to perform crash analysis on all public roads.

### All Public Roads

MAP-21 requires that as part of a State's Highway Safety Improvement Program, a State shall have in place a safety data system with the ability to perform safety problem identification and countermeasure analysis to improve the timeliness, accuracy, completeness, uniformity, integration, and accessibility of the safety data on all public roads, including non-State owned public roads and roads on tribal land. A major element toward reaching this goal is the development of local crash rates in order to conduct equitable safety analysis for both the state and local systems. In addition, NY needs to address the issue of advancing the capabilities of our traffic records system for data collection, analysis, and integration with other sources of safety data. The State continues to use a number of methods to evaluate how to reach the goal of developing and maintaining crash data for all public roads.

### Accessing Crash Data

The Department currently has the ability to access crash data and analyze the crash experience on the local system through the SIMS data base.

### Traffic Counts

Traffic count AADT's are required in order to develop crash rates for the state and local system. The Department has complete traffic volume data for almost 42,000 miles of the approximately 115,000 miles of highway in New York. The remaining 73,000 miles are primarily local streets. In order to improve the ability to develop crash rates for the local system, data collected under the Department's legacy crash data system as well as the county traffic count program have been analyzed to determine the sample size and number of traffic count locations needed to develop a statistically valid average annual daily travel (AADT) or "exposure" rate for usage on the local road system. A contract to collect traffic counts on an extra 10,000 local (non-state,

non-Federal Aid) locations over the next few years was approved. The goal is to count 10% of the local mileage in every municipality in New York State. The sample will provide a good foundation for producing statistically valid VMT estimates and average AADT numbers for local roads. The counts will allow the Department to establish more accurate crash rates for the local system similar to that for the state system.

The Department and counties continue to partner in a statewide county traffic count program designed to capture traffic volume data on county owned roads.

The Department took over 5,300 traffic counts on locally owned roads in 2013 and will continue this effort for the next year. Also, the FHWA requirements to expand the national highway information data base, the Highway Performance Monitoring System (HPMS) to include traffic volume and physical characteristic data on all roads classified as Federal Aid eligible continues to add more counts and data elements to local federal aid eligible roads. Count stations are currently assigned to 19,000 miles (centerline) of roads on the non-federal aid local system.

#### Local Highway Route System

At this point in time, the Department does not have a complete and actively maintained Geographic Information System (GIS) for local roads. Without a local road based GIS route system, it is difficult to conduct an analysis of crash data on the local system with any parity to the state system. A project is currently underway to build a local GIS system.

#### Compatibility of State and Local Crash Data Analysis

The current analysis tools in the Department's Safety Information Management System (SIMS) need to be redesigned to work with a uniform GIS route system covering both state and local highways. The new analysis tools will need to be able to handle both local and state traffic volume data and highway characteristic information for all highways. Funding is in place to build these tools (SIMS-RIS-ALIS Integration Project). The redesigned system will be an interoperable system able to link crash and highway information to perform safety problem identification and countermeasure analysis on the local system as is currently being done with the State system.

#### New Data Projects

The New York State Department of Transportation's Office of Traffic Safety and Mobility is currently initiating several new projects designed to support our Highway Safety Improvement Program by expanding our analysis capabilities and methods to include all public roads in the state and to improve the accuracy and completeness of the safety data used. Much of this work is being accomplished through Section 402 grants received from the Governors Traffic Safety Committee (GTSC).



The first project involves modifications to the Departments existing Accident Location Information System (ALIS). These changes will integrate the ALIS system with the Departments Enterprise Linear Referencing System to provide the necessary traffic volume and highway characteristics needed for the network screening analysis that identifies High Accident Locations (HALS). Additional functionality will be added to incorporate analysis techniques being developed by Federal Highway Administration to identify “systemic” opportunities for improving safety in addition to the HAL locations being treated.

The second project involves the collection of up to date, accurate, reference marker and intersection locations and attributes. This data will be used to support the new crash querying and analysis processes being developed for the Accident Location Information System (ALIS).

The third project is a long term, multi-agency effort to analyze opportunities to create a more complete safety dataset, accessible to all the partner agencies. This project would determine what data could be linked between agencies, where redundant datasets or resources could be eliminated, and how access for additional users could be created. This project is designed to establish a strategic vision for the “Safety” related programs in New York State.

### III. Highway Intersections

Approximately 40% of the crashes statewide between 2008 and 2012 occurred at intersections. As such, improving safety at intersections continues to be an area of focus for NYSDOT. According to NYSDOT’s PSS system there were 14 HSIP intersection reconstruction and signal upgrade projects programmed in 2012. New York is also in the process of developing an Intersection Safety Action Plan with the goal of completing the plan in 2014. As can be seen from the graphic below, fatal and serious injury crashes at intersections have been on a general decline over the past 5 years.

<b>All public roads</b>	
<b>Year</b>	<b>Fatal/Serious Injuries -Intersection Crashes</b>
2008	6,101
2009	5,922
2010	5,662
2011	5,688
2012	5,686
2013	5,332

#### IV. Travel Lane Departures

Fatalities and Serious Injuries resulting from lane departure crashes have been on a general downward trend over the last 10 years as can be seen from the chart below.

Year	Fatalities – Lane Departures	Serious Injuries – Lane Departures
2004	451	3,381
2005	486	3,318
2006	463	3,110
2007	434	3,227
2008	401	3,080
2009	343	3,037
2010	428	3,052
2011	357	2,850
2012	365	2,971
2013	378	2,707

Despite the downward trend seen above, lane departure crashes still account for more than 25% of all fatal and serious injury crashes and remains an emphasis area for the department. NYSDOT continues to implement counter measures and programs to prevent lane departure crashes such as:

- Installing Centerline Audible Roadway Delineators (CARDS) on rural 2 lane roads that meet specific criteria
- Advancing shoulder improvement by incorporating the shoulder wedge joint requirement into Vendor Placed Paving contracts.
- Identifying and treating sections of pavement experiencing unusually high proportions of wet road accidents via the SKARP program
- Implementing site specific projects to correct geometric issues; and
- Identifying roadway characteristics that place roads at a higher risk for lane departure crashes with a goal of implementing additional systemic programs to prevent them. NYSDOT participated in a systemic analysis pilot with Cambridge Systematics. The pilot identified un-divided rural roads with 2 lanes, 55 mph speed, an AADT between 3000-6000, a shoulder width between 1-3' and a curve radius of 100-300 as having a high risk for lane departure crashes. As a result New York will be considering additional systemic

counter measures on curves such as true wet reflective pavement marking, enhanced chevrons and high friction surface treatments in the future.

- Developing a Lane Departure Action Plan with the goal of completing the plan in 2014.

## V. Work Zone Safety

In addition to regional and project based quality control and assurance activities, the Main Office conducts annual work zone safety inspections in each region to assess the overall quality of work zone traffic control statewide. Opportunities for improvement are identified and implemented via new policies, guidance, specifications or increased contract enforcement.

Accident data on construction and maintenance work zones are also tracked to help identify any accident trends. Work Zone Intrusions have varied over the last 5 years as shown in the table below.

<b>DOT Projects - Work Zone Intrusions</b>	<b>#</b>
2009	47
2010	21
2011	45
2012	51
2013	67

## VI. System-wide Treatments

### Centerline Audible Roadway Delineators

In 2010 the Department issued EI-10-030 - Rumble Strips - Centerline Audible Roadway Delineators (CARDS) - Guidance and Policy. This policy lays out the framework and criteria for installing centerline rumble-strips on eligible roads across the state. Any project that places at least 40mm of asphalt and meets the geometric/operating criteria is required to install CARDS as part of the project. Because of the low cost and proven effectiveness of centerline rumble strips, this new policy is an important tool in reducing both head-on and run-off road crashes. As of February 2014, approximately 1,075 miles of CARDS have been installed with a goal to install 3,000 miles by 2017.

### Pedestrian Countdown Timers

Pedestrian crashes account for about 25% of all fatal crashes in New York and remain an emphasis area in New York State's Strategic Highway Safety Program. The goal for pedestrian

countdown timers is to ensure that they are installed at ALL eligible state owned signals. As of February 2014, countdown timers have been installed at approximately 2056 (65%) of the 3,168 eligible signals.

## **VI. Other**

### Safety Appurtenance Program (SAFETAP)

The SAFETAP, based on a Road Safety Audit approach, is a Department Program designed to ensure that roadside safety considerations are incorporated in the Department's Preventive Maintenance single course overlay projects. Under SAFETAP, a team of agency experts conduct a project review of Preventive Maintenance Paving project sites for the purpose of deciding upon simple, low cost safety improvements to be implemented at the time of construction, or soon after construction. Over 5,000 safety recommendations have been made as a result of the SFY 12/13 and SFY 13/14 safety reviews and over 1,500 of the recommendations have been completed.

### Skid Accident Reduction Program (SKARP)

The SKARP program incorporates safety considerations into pavement maintenance activities. SKARP identifies sections of pavement experiencing an unusually high proportion of wet road accidents; friction tests them and schedules treatment for sections experiencing both high wet road accidents and low friction numbers. The treatment generally involves resurfacing with 1½" top course (or ½" micro surfacing) containing non-polishing aggregates. The integrated approach used by NYSDOT in implementing SKARP involves close coordination among the Office of Traffic and Safety which has overall program monitoring and evaluation responsibilities, the Technical Services Division, which has assumed responsibility for friction testing and materials issues, and the Department's eleven Regional Offices, which have responsibility for undertaking the remedial treatments.

The frictional quality of NYSDOT owned pavements has improved since the programs inception. A summary of PIL testing from 1996 through 2013 shows a decline in the number of sites requiring treatment, from 91 sites in 1996 to 22 sites in 2013.

### Shoulder Wedge Joints

NYSDOT has incorporated the shoulder wedge joint requirement into Vendor Place Paving contracts. The installation of shoulder wedge joints in paving applications provides a ramp type

pavement edge. The wedge reduces sudden loss of vehicle control by the driver due to vertical drop off.

### Traffic Control Signals

In addition to the Pedestrian Countdown timers noted above, NYSDOT continues to deploy "2070" traffic signal controllers. This allows the Department to adopt the National Transportation Communications for ITS Protocol (NTCIP) Standards, deploy closed loop systems to monitor/operate signals remotely from Transportation Management Centers as well as operate other communication technologies (variable message signs, radio, video cameras, etc.) to improve the safety and performance of the highway corridor.

### Short Term Accident Reduction Program (STAR)

The STAR program allows streamlining of the design process for intersection safety improvements. The scope of the design is limited to correcting the safety deficiencies at an intersection. Targeted projects generally require six to nine months to design as opposed to the usual three to seven years required for a full rehabilitation.

Source:

Intersection Data - SIMS where intersection\_ind = "Y"

Lane departure Data - SIMS

All 2013 data is preliminary

## Progress in Implementing Projects

### Funds Programmed

Reporting period for Highway Safety Improvement Program funding.

Calendar Year

State Fiscal Year

Federal Fiscal Year

Enter the programmed and obligated funding for each applicable funding category.

Funding Category	Programmed*		Obligated	
<b>HSIP (Section 148)</b>	85194576	36 %	76099390	36 %
<b>HRRRP (SAFETEA-LU)</b>	1338421	1 %	1338421	1 %
<b>HRRR Special Rule</b>				
<b>Penalty Transfer - Section 154</b>				
<b>Penalty Transfer - Section 164</b>				
<b>Incentive Grants - Section 163</b>				
<b>Incentive Grants (Section 406)</b>				
<b>Other Federal-aid Funds (i.e. STP, NHPP)</b>	48558715	21 %	43675715	21 %
<b>State and Local Funds</b>	100731689	43 %	90062689	43 %

<b>Totals</b>	235823401	100%	211176215	100%
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Funds included under the following conditions:

- Funds were HSIP or HRRR
- Funds other than HSIP and HRRR on projects where the primary work type is safety
- Funds other than HSIP and HRRR on projects where > 50% of the cost is safety related
- Funds were obligated or Advanced Constructed.

**How much funding is programmed to local (non-state owned and maintained) safety projects?**

\$54,220,274.00

**How much funding is obligated to local safety projects?**

\$45,629,274.00

Source: Included safety projects in PSS where LET\_BY\_DESC = Local and RESP\_ORG\_DESC <> Railroad.  
\$ includes HSIP, HRRR and other funds on safety projects

**How much funding is programmed to non-infrastructure safety projects?**

\$4,630,500.00

**How much funding is obligated to non-infrastructure safety projects?**

\$2,830,500.00

Includes HSIP funds only. Work types include Accident Investigations in Regions 10 and 11 and a Main Office Emergency Services contract that involves engineering functions in support of Regional corridor safety projects, miscellaneous safety studies and support for statewide program development.

**How much funding was transferred in to the HSIP from other core program areas during the reporting period?**

\$0.00

**How much funding was transferred out of the HSIP to other core program areas during the reporting period?**

\$0.00

**Discuss impediments to obligating Highway Safety Improvement Program funds and plans to overcome this in the future.**

Impediments to obligating HSIP funds include project delays for reasons not limited to just safety projects such as environmental approvals, right of way/easement issues, community issues, other funding needs, resource issues, historic issues, NYS permit issues etc. In addition, the Federal Obligation Limitation that exists on all Federal funding also serves as an impediment to obligating safety funds. The following describes some of the approaches used to overcome those obstacles for HSIP projects.

Statewide Solicitation Program

The application process for the statewide HSIP solicitation program, which currently accounts for 50% of the HSIP program, requires an applicant to identify all potential barriers to a timely implementation. The barriers are one of the factors taken into consideration during the project selection process. Thus, a project with good safety benefits but significant impediments to a timely implementation may be denied funding in favor of another safety project with less risk.

Design Services Agreement

Design resources are sometimes limited at the regional level especially for larger projects. The department implemented a statewide regional design services agreement that can be used to



fund contract services to assist with design or other urgent safety project needs. The contract will be funded via HSIP dollars specifically set aside for that purpose.

#### Marchiselli

The department will continue to support programs such as the Marchiselli Highway Improvement Program which provide funding assistance to local municipalities for approved projects. The Marchiselli program reimburses a portion of the "Non-Federal" share (up to 75%) for approved projects.

#### Low Cost Counter Measures

The NYSDOT is encouraging and implementing more low cost and systemic safety counter measures which typically have less impediments to a timely implementation.

**Describe any other aspects of the general Highway Safety Improvement Program implementation progress on which you would like to elaborate.**

No additional information regarding HSIP funding.

**General Listing of Projects**

List each highway safety improvement project obligated during the reporting period.

Project	Improvement Category	Output	HSIP Cost	Total Cost	Funding Category	Functional Classification	AADT	Speed	Roadway Ownership	Relationship to SHSP	
										Emphasis Area	Strategy
A listing of projects with authorized HSIP funds from 2006 to the present is attached. See main menu question #23 - General Listing of Projects.											

## Progress in Achieving Safety Performance Targets

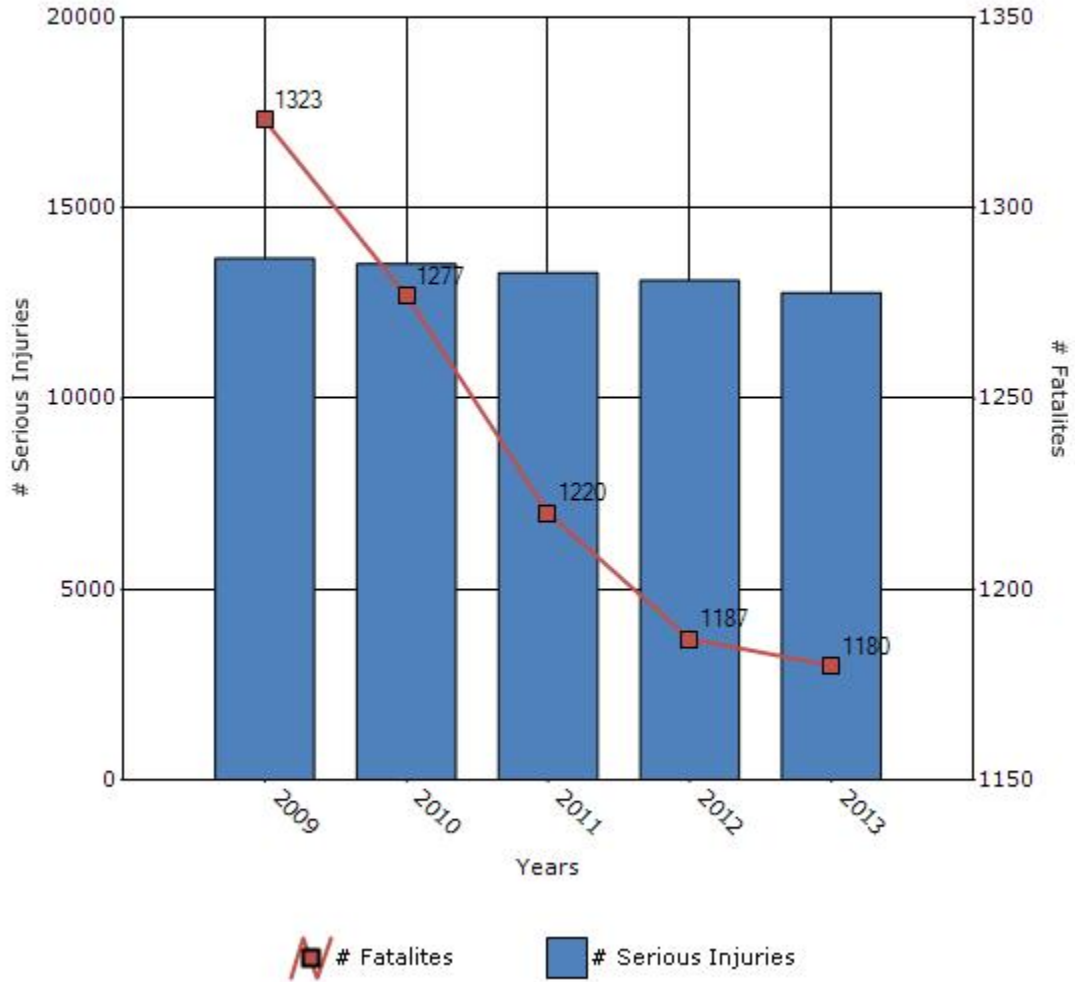
### Overview of General Safety Trends

Present data showing the general highway safety trends in the state for the past five years.

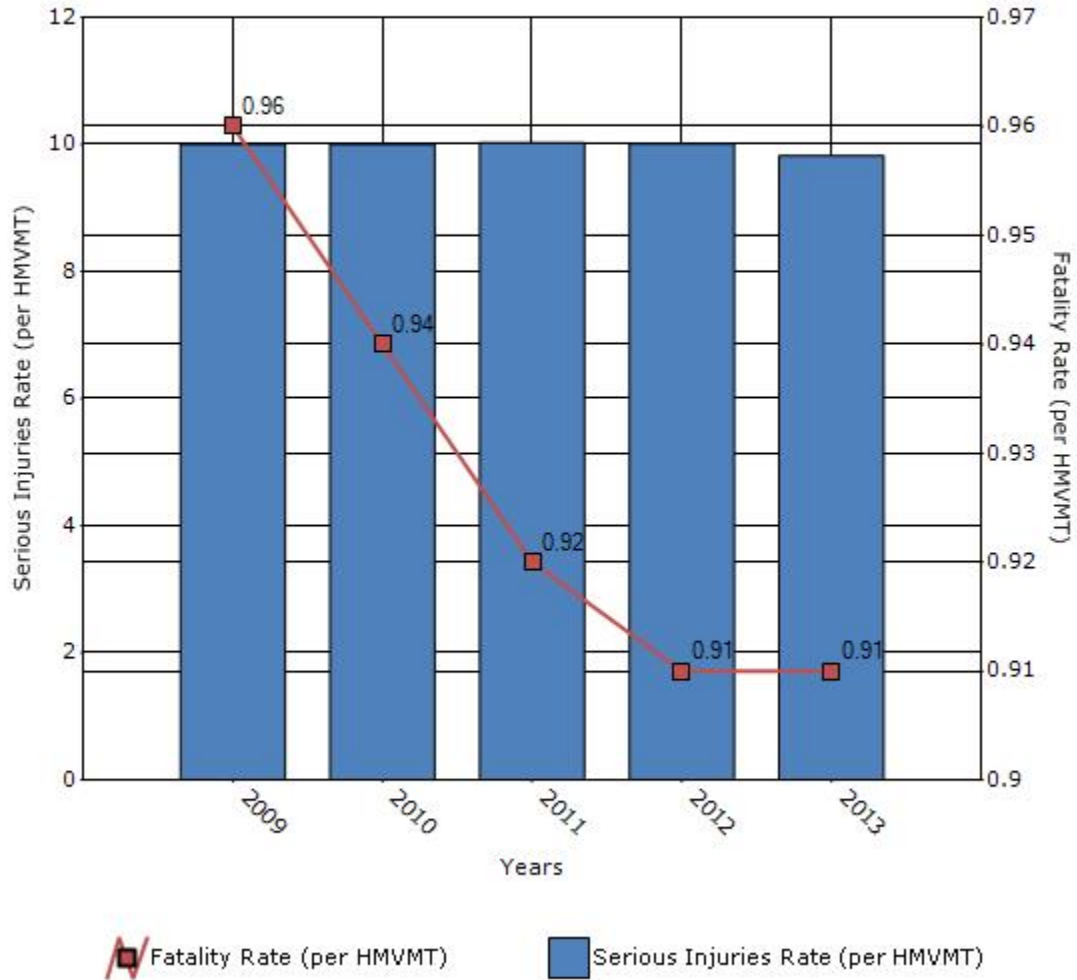
Performance Measures*	2009	2010	2011	2012	2013
<b>Number of fatalities</b>	1323	1277	1220	1187	1180
<b>Number of serious injuries</b>	13680	13531	13300	13100	12776
<b>Fatality rate (per HMVMT)</b>	0.96	0.94	0.92	0.91	0.91
<b>Serious injury rate (per HMVMT)</b>	10	10	10.03	10.01	9.82

\*Performance measure data is presented using a five-year rolling average.

### Number of Fatalities and Serious injuries for the Last Five Years



### Rate of Fatalities and Serious injuries for the Last Five Years



**Data Sources:**

2004-2012 fatality data: FARS

2013 fatality data: SIMS

Serious Injury Data: SIMS

Fatality data for 2012 and 2013 is estimated.

Serious injury data for 2013 is estimated.

**Functional Classification and Ownership:**

- The number of crashes and the accompanying rates by functional class and ownership are very general estimates. Functional class and ownership are not available on crash reports. Therefore a spatial join using GIS was done to join crash records to the Roadway Inventory System to obtain the Functional Class

and Ownership attributes. Since the linear referencing system is not yet available for the local system the majority of crashes on the local system will show up in the "Other" category using this method.

- Vehicle Miles Traveled (VMT) is not available by functional classification and ownership. Therefore, the statewide VMT was used in the denominator for all rate calculations.

To the maximum extent possible, present performance measure\* data by functional classification and ownership.

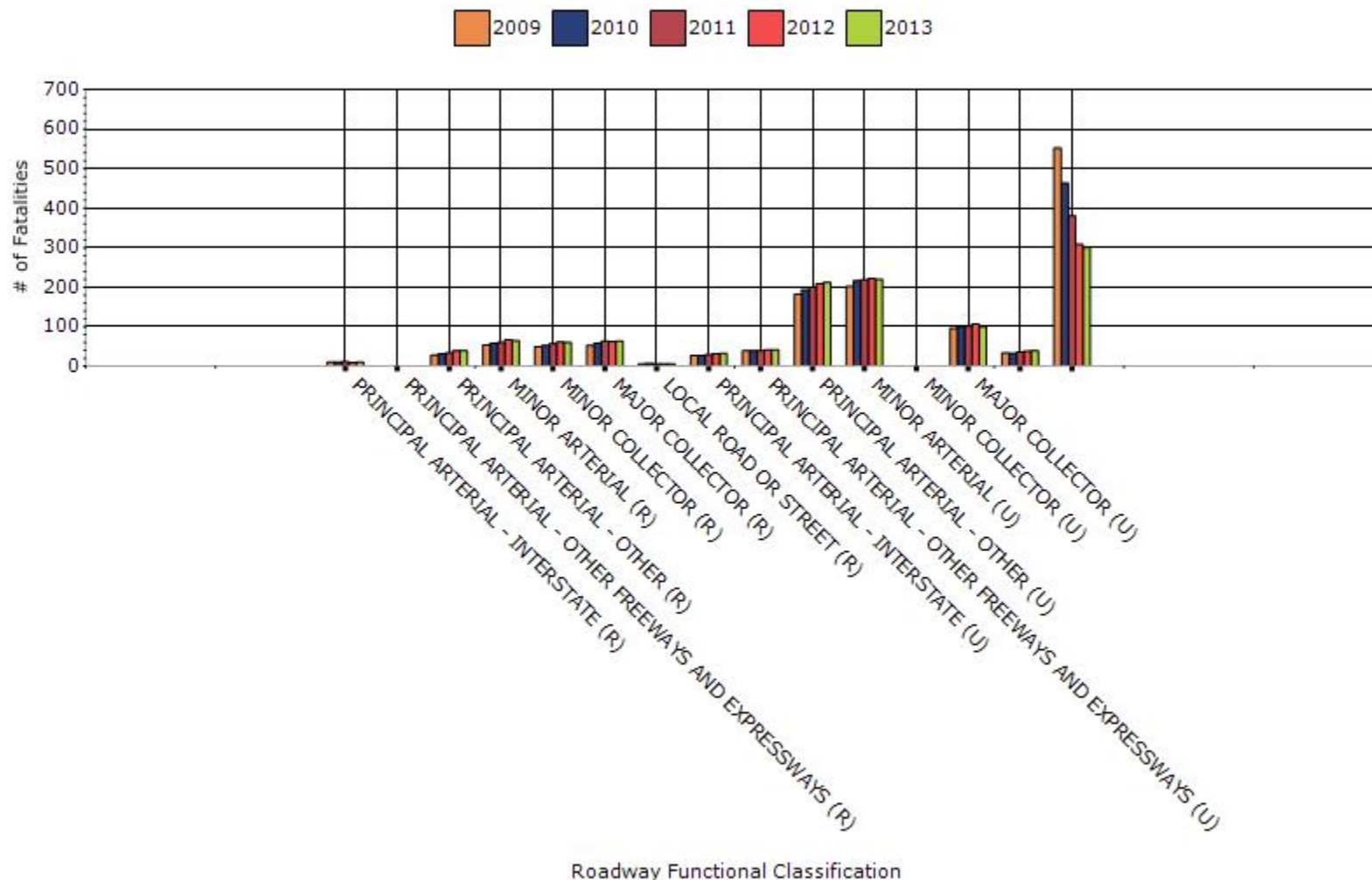
### Year - 2013

Function Classification	Number of fatalities	Number of serious injuries	Fatality rate (per HMVMT)	Serious injury rate (per HMVMT)
RURAL PRINCIPAL ARTERIAL - INTERSTATE	10.8	61.4	0.01	0.05
RURAL PRINCIPAL ARTERIAL - OTHER FREEWAYS AND EXPRESSWAYS	0.8	8.8	0	0.01
RURAL PRINCIPAL ARTERIAL - OTHER	39.8	224.4	0.03	0.17
RURAL MINOR ARTERIAL	64.8	436.4	0.05	0.34
RURAL MINOR COLLECTOR	60.4	374	0.05	0.29
RURAL MAJOR COLLECTOR	63.6	467	0.05	0.36
RURAL LOCAL ROAD OR STREET	6.2	56.2	0	0.04
URBAN PRINCIPAL	32.6	305.6	0.03	0.24

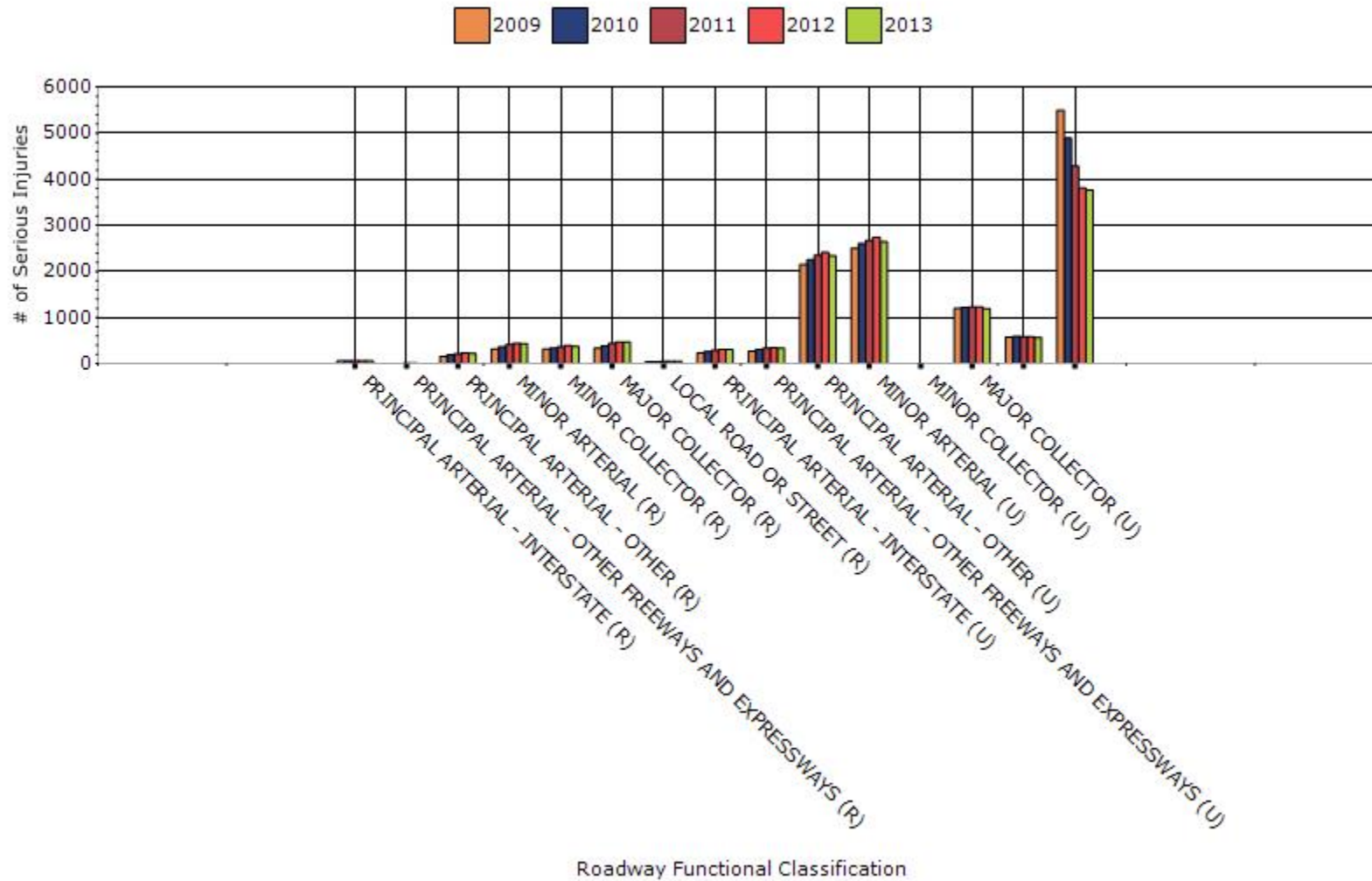
<b>ARTERIAL - INTERSTATE</b>				
<b>URBAN PRINCIPAL ARTERIAL - OTHER FREEWAYS AND EXPRESSWAYS</b>	41.8	336.2	0.03	0.03
<b>URBAN PRINCIPAL ARTERIAL - OTHER</b>	212.4	2335.4	0.16	1.8
<b>URBAN MINOR ARTERIAL</b>	220.4	2644	0.17	2.03
<b>URBAN MINOR COLLECTOR</b>	0	0	0	0
<b>URBAN MAJOR COLLECTOR</b>	100	1194	0.08	0.92
<b>URBAN LOCAL ROAD OR STREET</b>	39.8	567.2	0.03	0.44
<b>OTHER</b>	301.2	3765.6	0.23	2.9



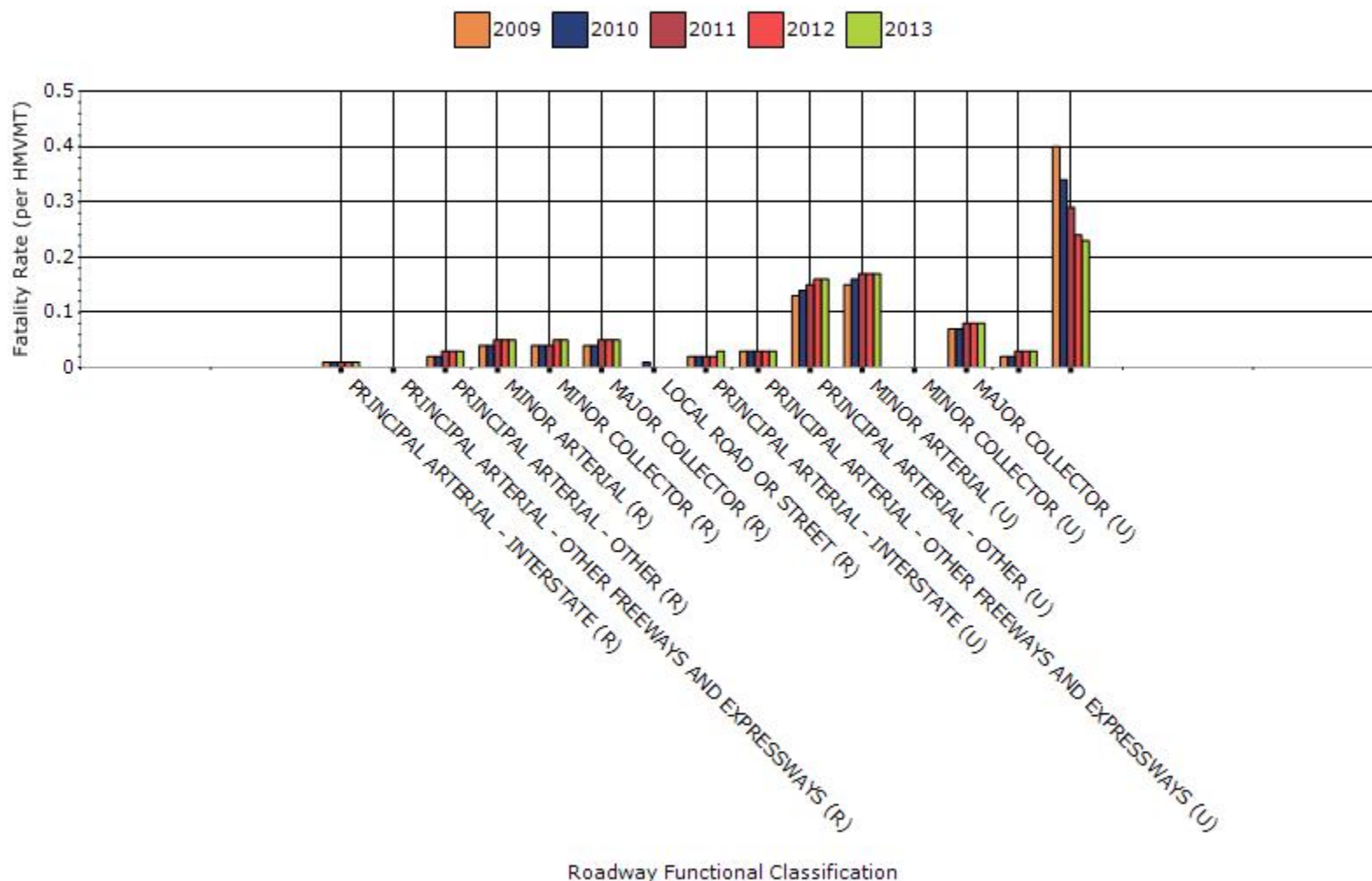
### # Fatalities by Roadway Functional Classification



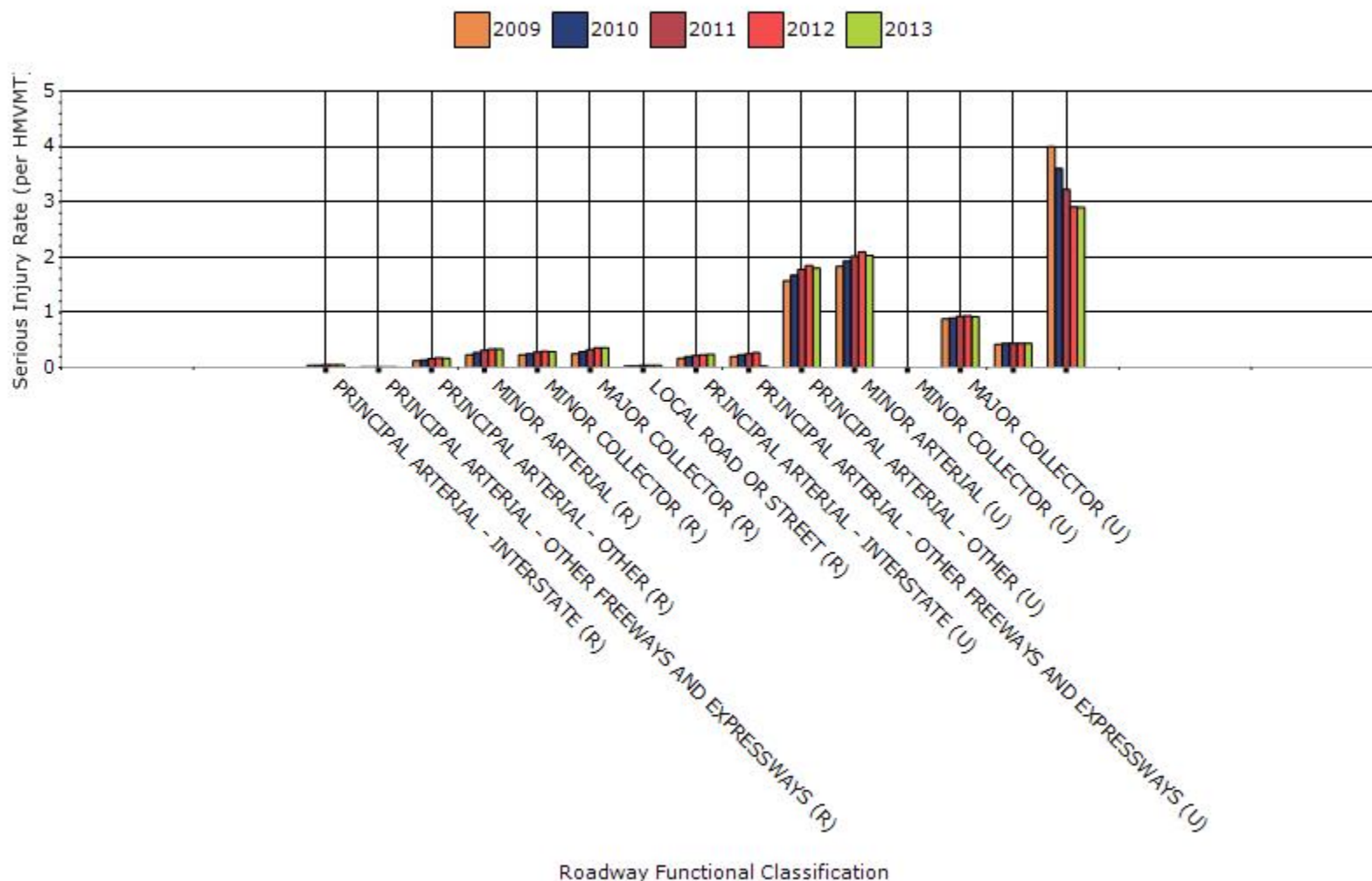
### # Serious Injuries by Roadway Functional Classification



### Fatality Rate by Roadway Functional Classification



### Serious Injury Rate by Roadway Functional Classification



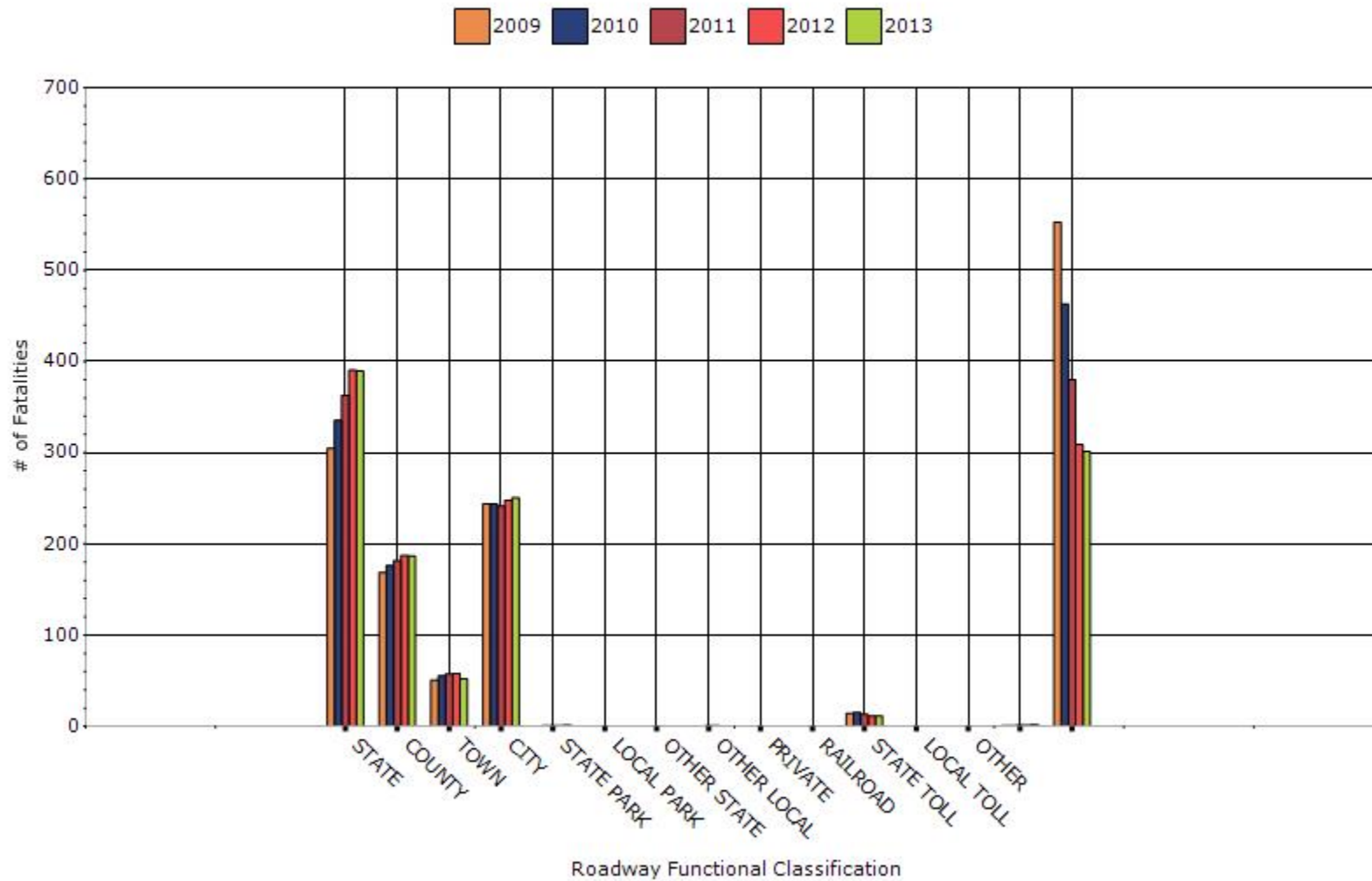
## Year - 2013

Roadway Ownership	Number of fatalities	Number of serious injuries	Fatality rate (per HMVMT)	Serious injury rate (per HMVMT)
STATE HIGHWAY AGENCY	389.4	3117	0.3	2.4
COUNTY HIGHWAY AGENCY	186.6	1469.6	0.14	1.13
TOWN OR TOWNSHIP HIGHWAY AGENCY	52.2	453	0.04	0.35
CITY OF MUNICIPAL HIGHWAY AGENCY	250.6	3856.2	0.19	2.96
STATE PARK, FOREST, OR RESERVATION AGENCY	1.2	4.2	0	0
LOCAL PARK, FOREST OR RESERVATION AGENCY	0	0.2	0	0
OTHER STATE AGENCY	0	0.6	0	0
OTHER LOCAL AGENCY	0.4	1.2	0	0
PRIVATE (OTHER THAN RAILROAD)	0	0	0	0
RAILROAD	0	0	0	0
STATE TOLL AUTHORITY	11.2	95.2	0.01	0.07
LOCAL TOLL AUTHORITY	0	7	0	0.01
OTHER PUBLIC INSTRUMENTALITY (E.G. AIRPORT, SCHOOL, UNIVERSITY)	0	0	0	0
INDIAN TRIBE NATION	1.8	6.4	0	0

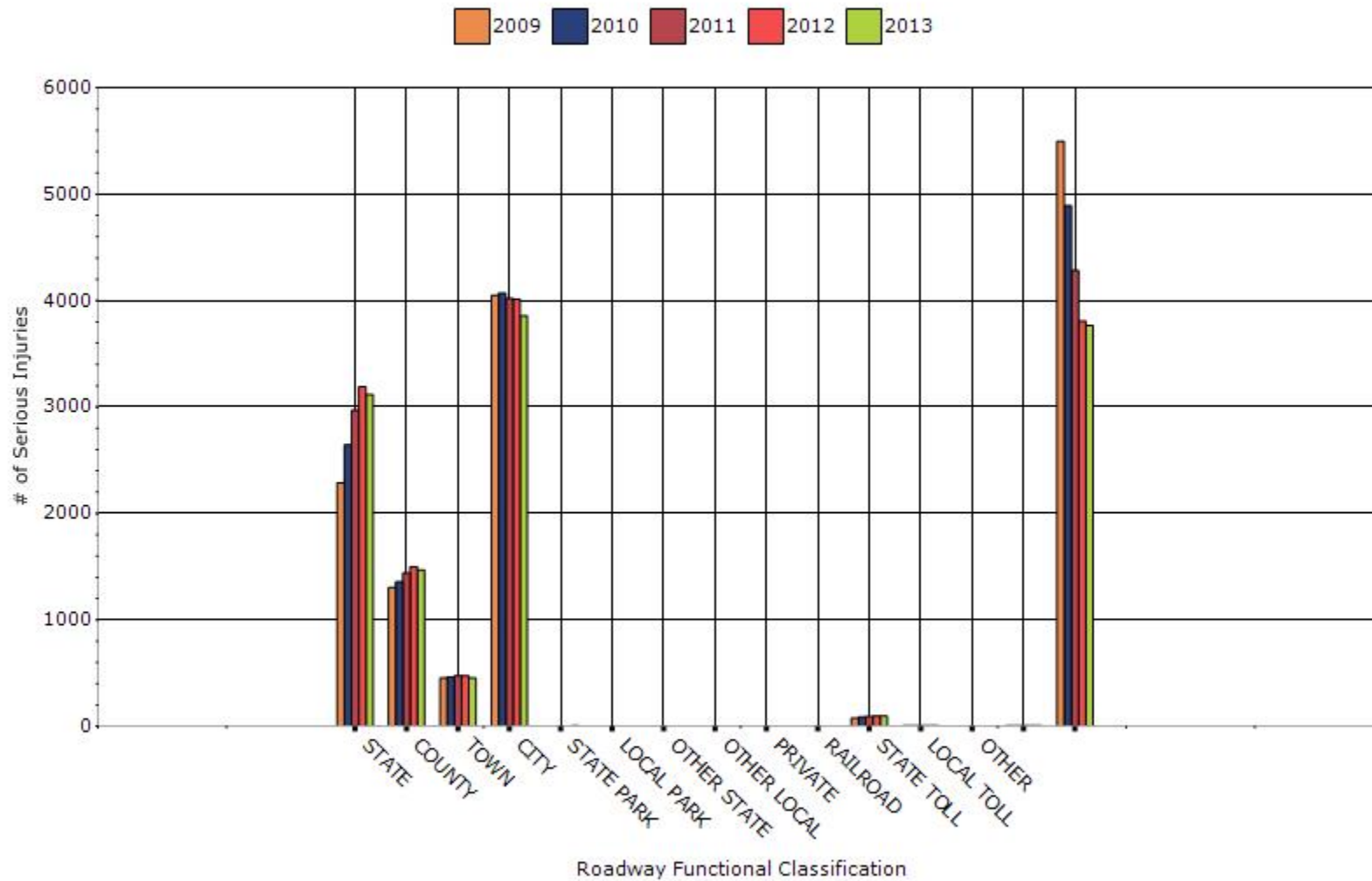
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<b>OTHER</b>	301.2	3765.6	0.23	2.9
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### Number of Fatalities by Roadway Ownership

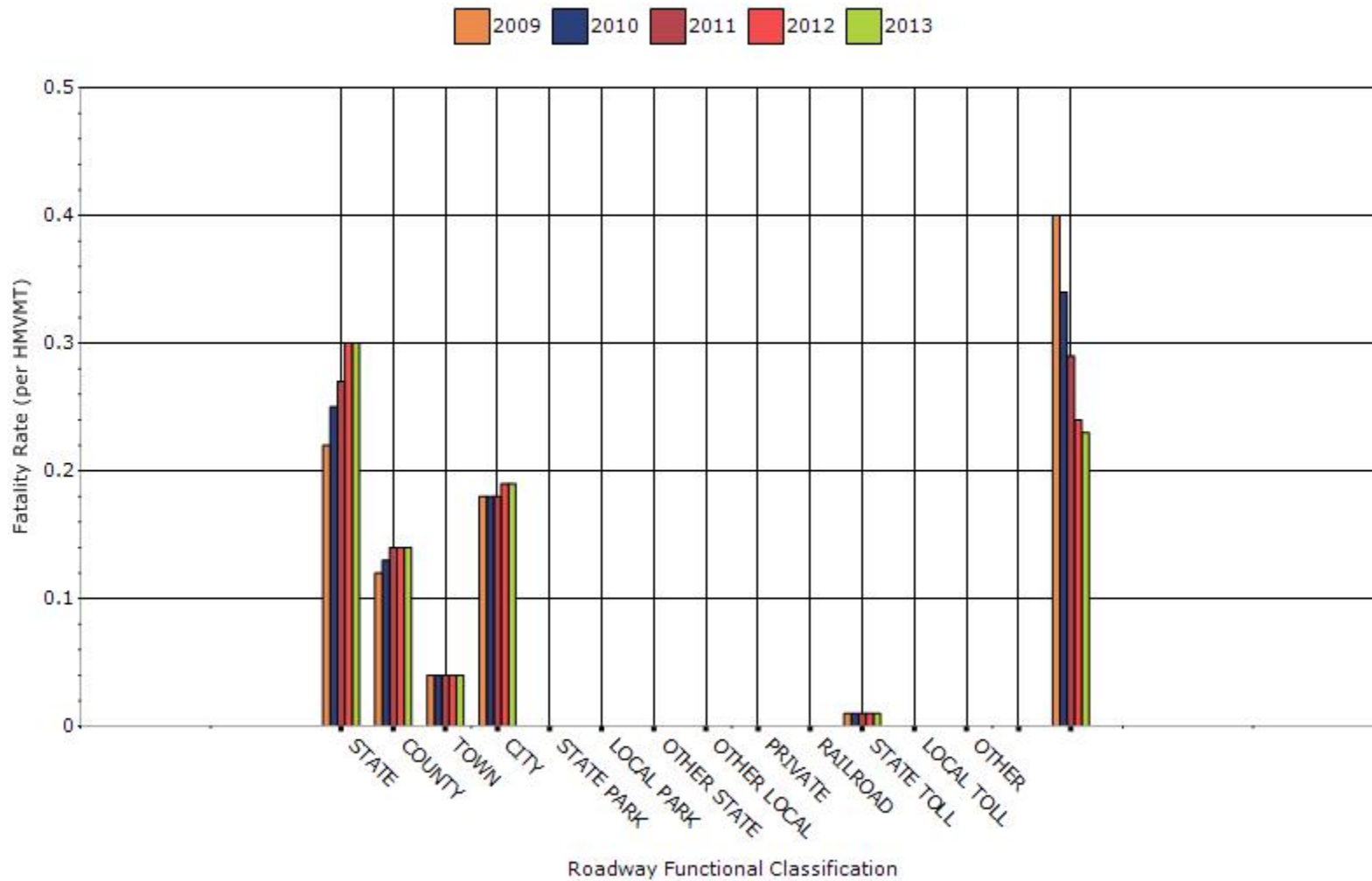


### Number of Serious Injuries by Roadway Ownership

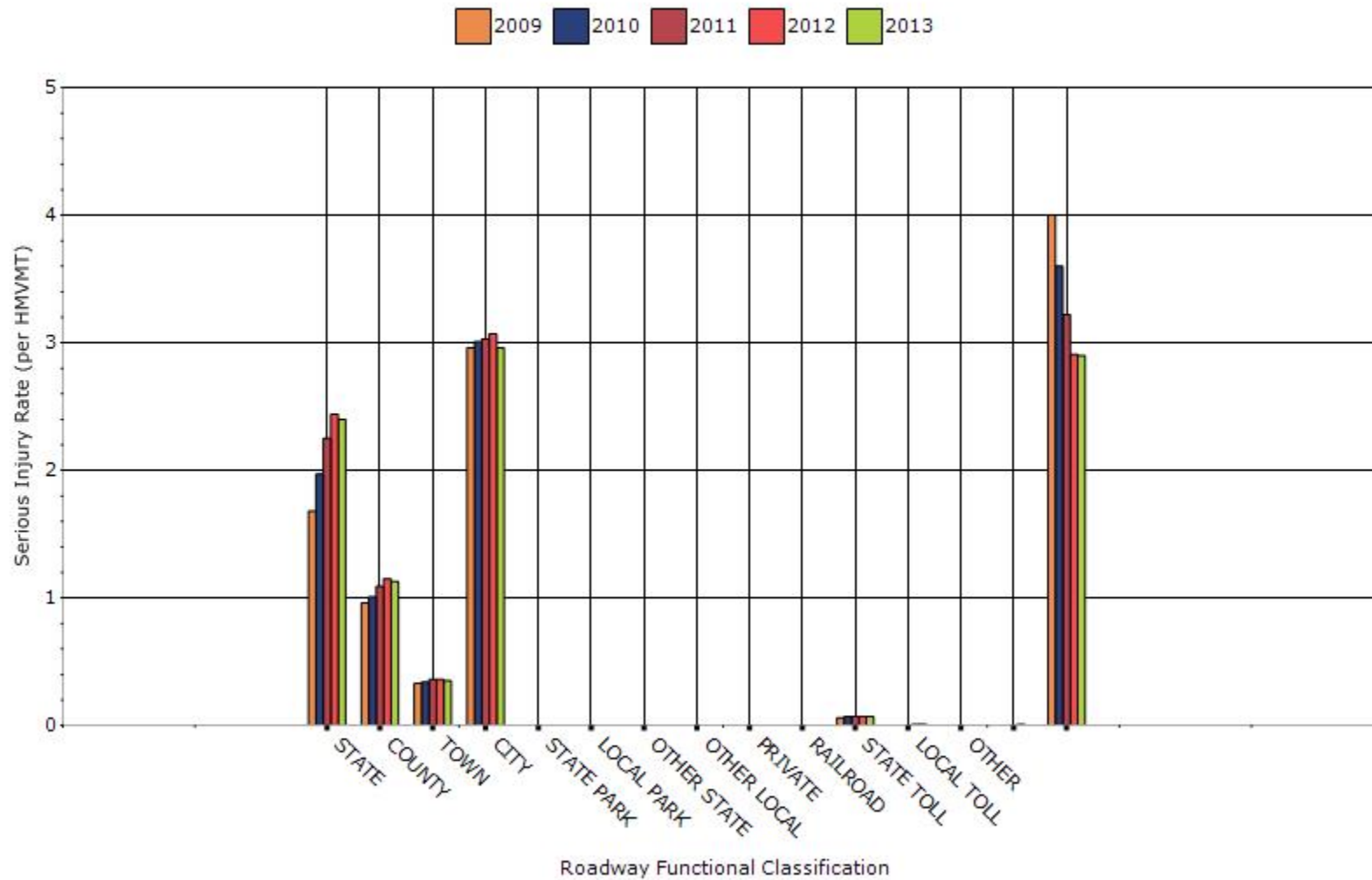




### Fatality Rate by Roadway Ownership



### Serious Injury Rate by Roadway Ownership



**Describe any other aspects of the general highway safety trends on which you would like to elaborate.**

Since 2000 the number of fatal crashes in New York State has been on a general downward trend. The number of fatalities dropped from 1,444 in 2000 to 1,168 in 2012. The fatality rate per 100 million vehicle miles traveled (VMT) decreased from 1.13 in 2000 to .91 in 2012. New York's fatality rate per 100 Million Vehicle Miles Traveled (MVMT) has been below the national level every year between 2000 and 2011.

The number of serious injuries has also been on a downward trend. The number of serious injuries in New York decreased from 14,466 in 2004 to 11,753 in 2013.

**Application of Special Rules**

**Present the rate of traffic fatalities and serious injuries per capita for drivers and pedestrians over the age of 65.**

<b>Older Driver Performance Measures</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>
<b>Fatality rate (per capita)</b>	1.74	1.68	1.58	1.54	0
<b>Serious injury rate (per capita)</b>	7.64	7.66	7.6	7.54	0
<b>Fatality and serious injury rate (per capita)</b>	9.38	9.3	9.14	9.04	0

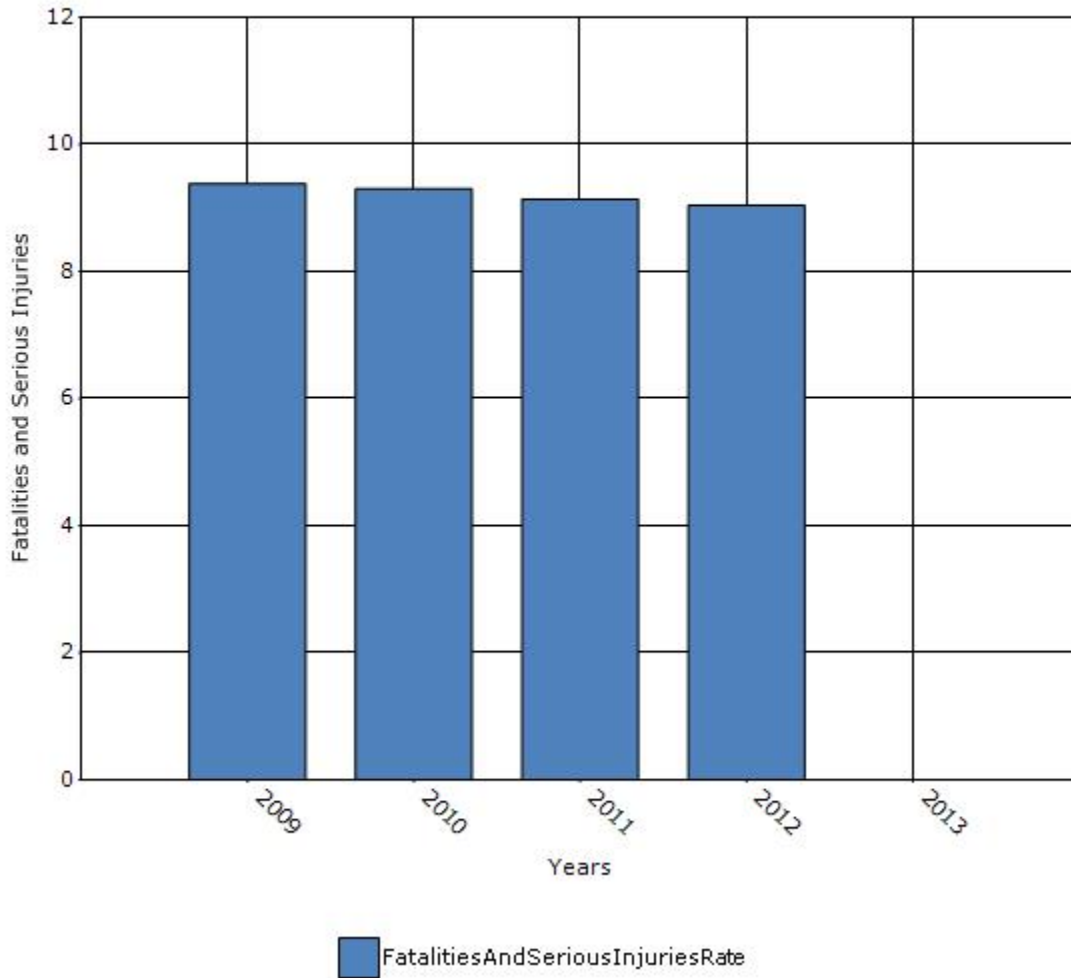
\*Performance measure data is presented using a five-year rolling average.

2012 Rolling Average Calculation:

$(F+SI\ 2012\ Drivers\ and\ Pedestrians\ 65\ years\ of\ age\ and\ older / 2012\ Population\ Figure) + (F+SI\ 2011\ Drivers\ and\ Pedestrians\ 65\ years\ of\ age\ and\ older / 2011\ Population\ Figure) + (F+SI\ 2010\ Drivers\ and\ Pedestrians\ 65\ years\ of\ age\ and\ older / 2010\ Population\ Figure) + (F+SI\ 2009\ Drivers\ and\ Pedestrians\ 65\ years\ of\ age\ and\ older / 2009\ Population\ Figure) + (F+SI\ 2008\ Drivers\ and\ Pedestrians\ 65\ years\ of\ age\ and\ older / 2008\ Population\ Figure) / 5 = 9.04$

Note: 2013 fatality and SI data is not yet available.

### Rate of Fatalities and Serious injuries for the Last Five Years



**Does the older driver special rule apply to your state?**

No

## Assessment of the Effectiveness of the Improvements (Program Evaluation)

**What indicators of success can you use to demonstrate effectiveness and success in the Highway Safety Improvement Program?**

- None
- Benefit/cost
- Policy change
- Other: Other-Decrease in Fatal and Serious Injury Crashes

**What significant programmatic changes have occurred since the last reporting period?**

- Shift Focus to Fatalities and Serious Injuries
- Include Local Roads in Highway Safety Improvement Program
- Organizational Changes
- None
- Other: Other-NYSDOT continues to include all local roads in the HSIP program; implement projects to decrease fatal and serious injuries and implement strategies outlined in the SHSP.
- Other: Other-A Vision Zero Action Plan was released by NYC in early 2014.

**Briefly describe significant program changes that have occurred since the last reporting period.**

New York State is in the process of developing the following three safety action plans. The recommendations and strategies from the action plans will be incorporated into the updated SHSP.

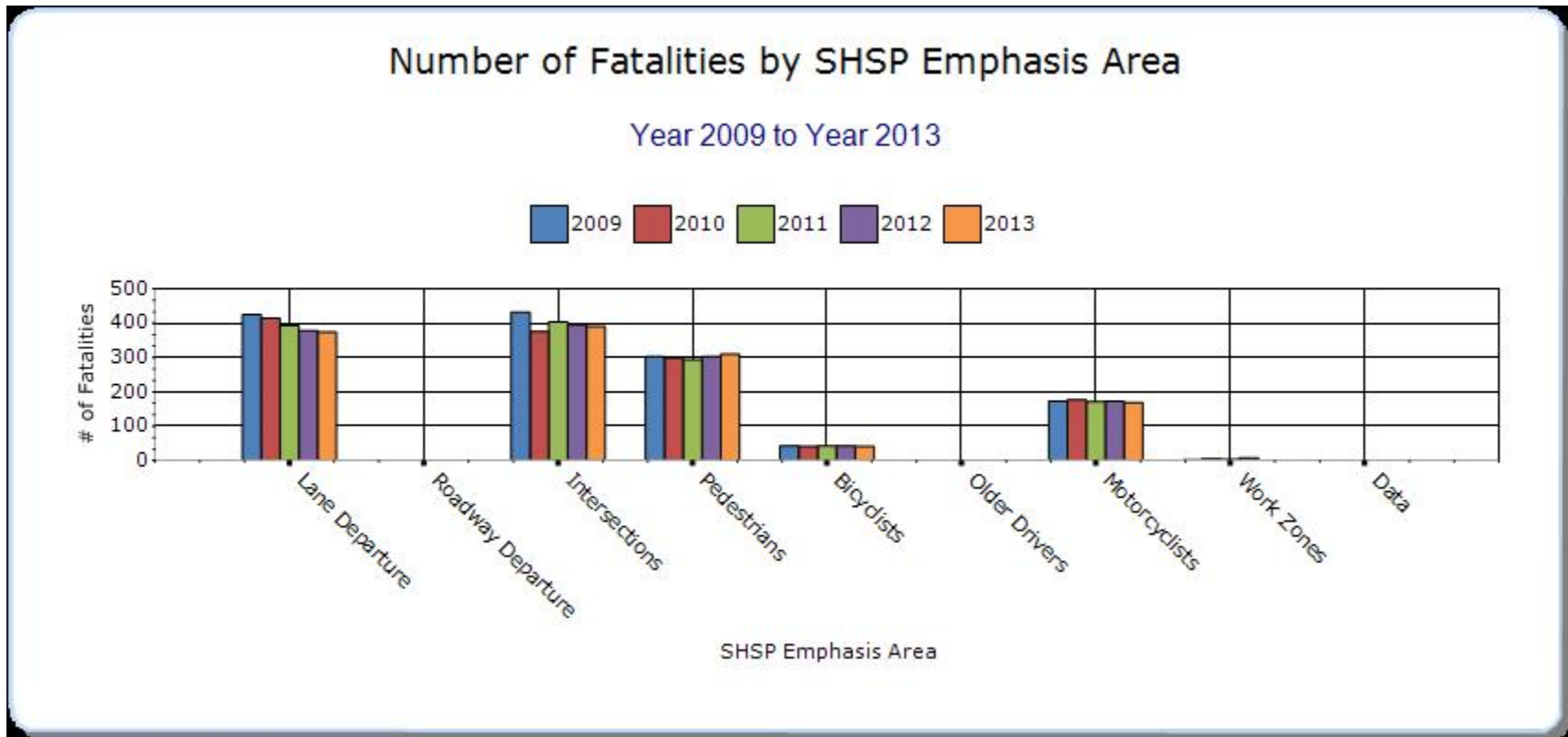
- Pedestrian Safety Action Plan
- Intersection Safety Action Plan
- Lane Departure Safety Action Plan

## SHSP Emphasis Areas

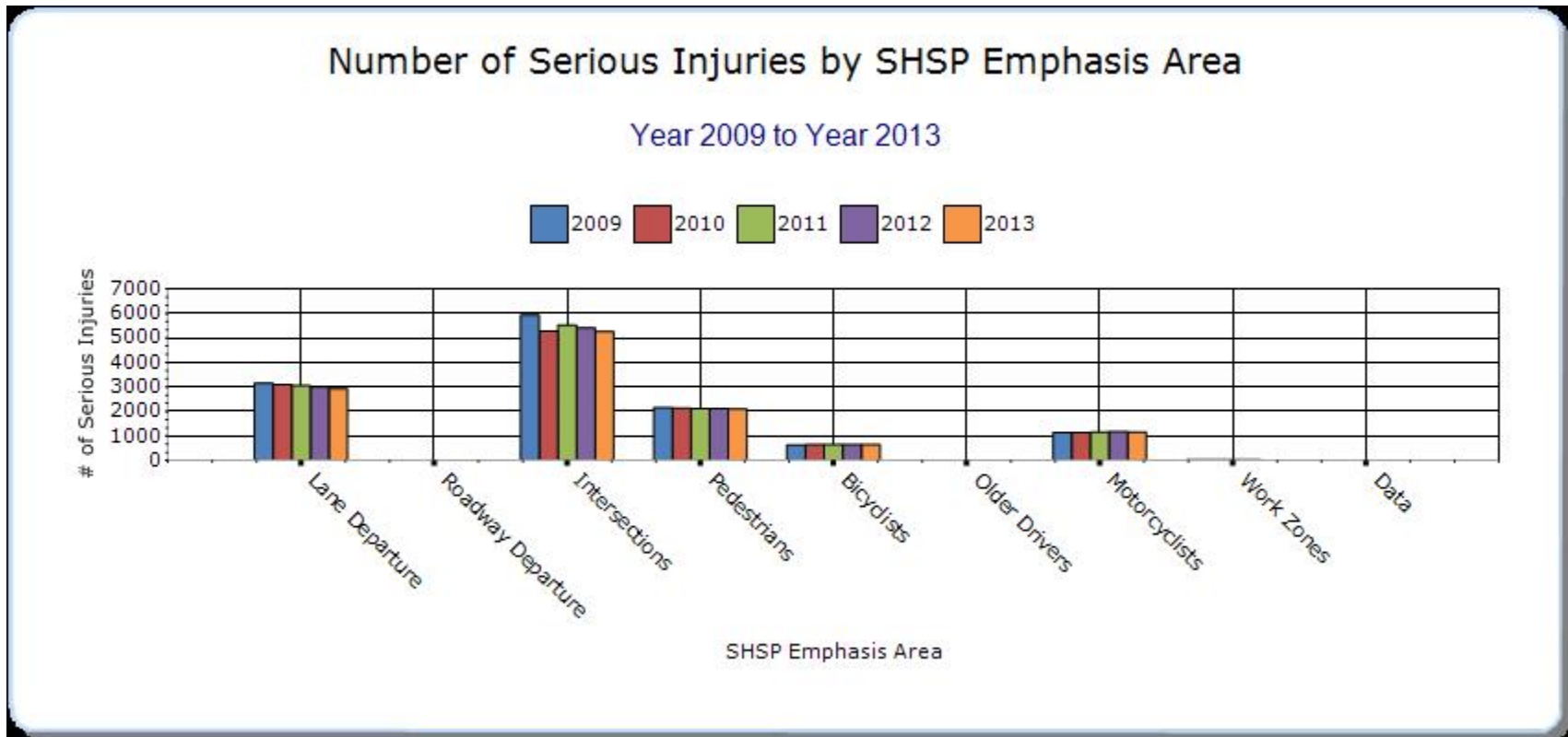
For each SHSP emphasis area that relates to the HSIP, present trends in emphasis area performance measures.

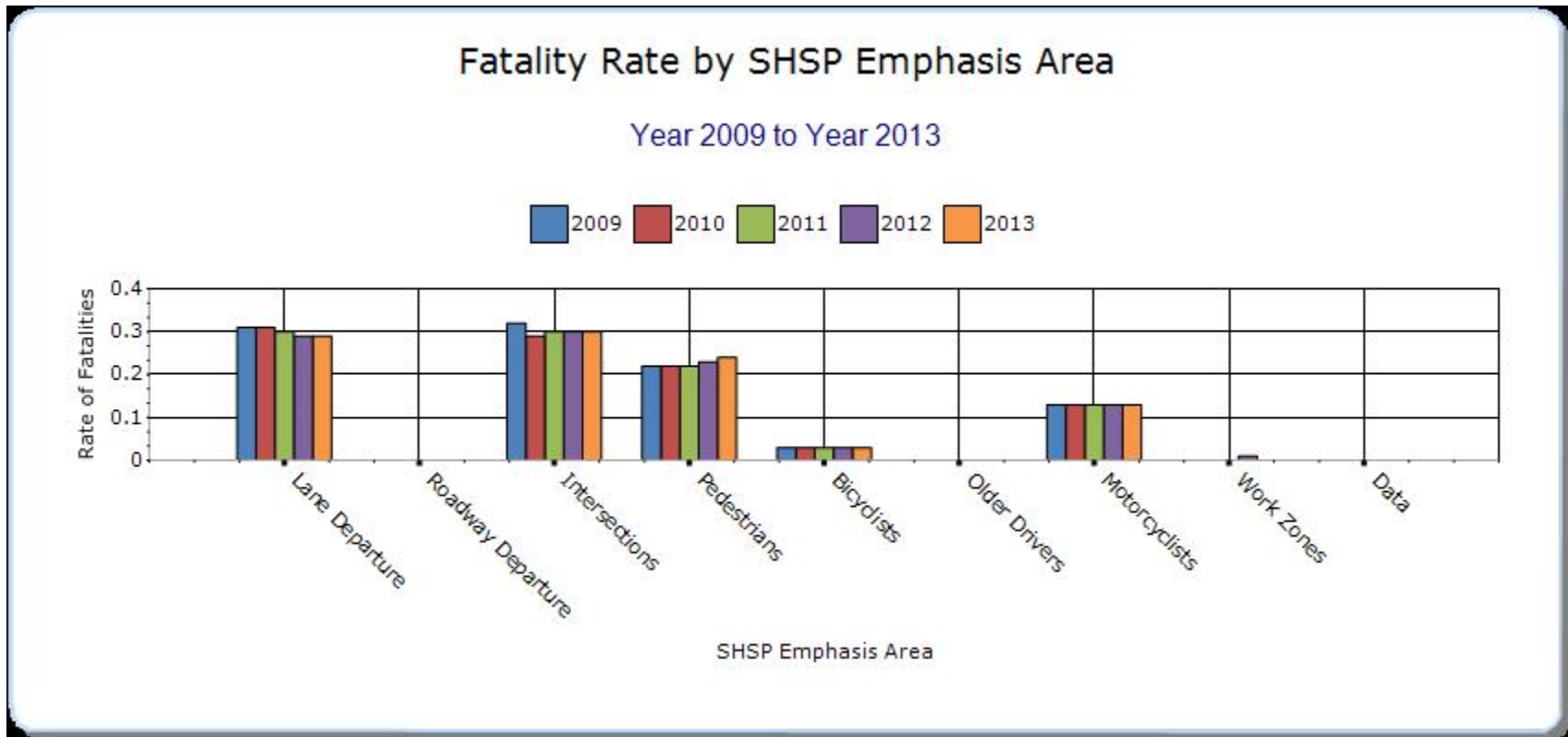
### Year - 2013

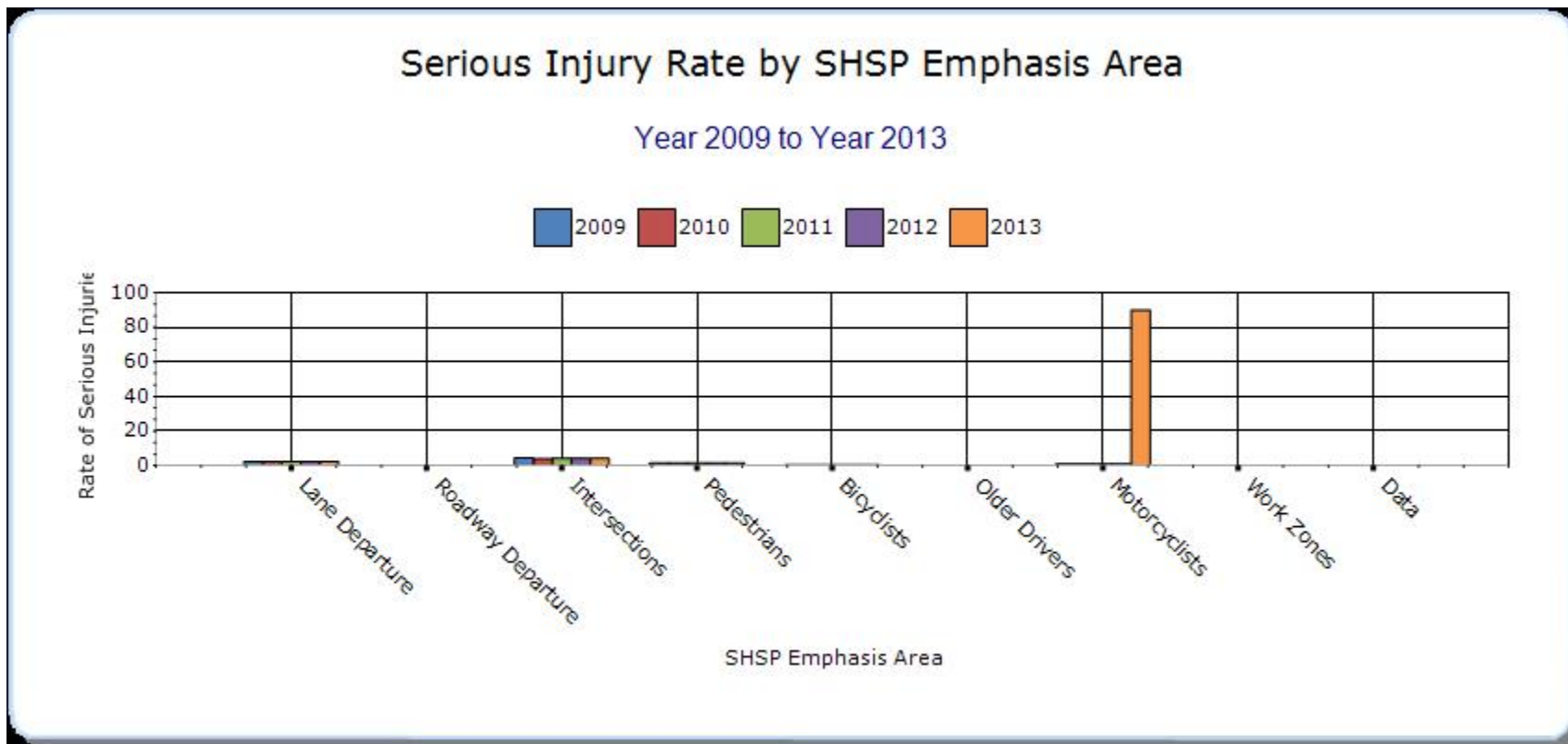
HSIP-related SHSP Emphasis Areas	Target Crash Type	Number of fatalities	Number of serious injuries	Fatality rate (per HMVMT)	Serious injury rate (per HMVMT)	Other-1	Other-2	Other-3
<b>Lane Departure</b>	Run-off-road	374	2923	0.29	2.25	0	0	0
<b>Intersections</b>	Intersection-related	390	5268	0.3	4.05	0	0	0
<b>Pedestrians</b>	Vehicle/pedestrian	310	2095	0.24	1.59	0	0	0
<b>Bicyclists</b>	Vehicle/bicycle	41	651	0.03	0.5	0	0	0
<b>Motorcyclists</b>	Motorcycle-related	169	1166	0.13	90	0	0	0











Data Sources:

Lane Departure and Intersection Crashes: SIMS

Pedestrian/Bicycle/Motorcycle Fatalities 2004-2011: FARS

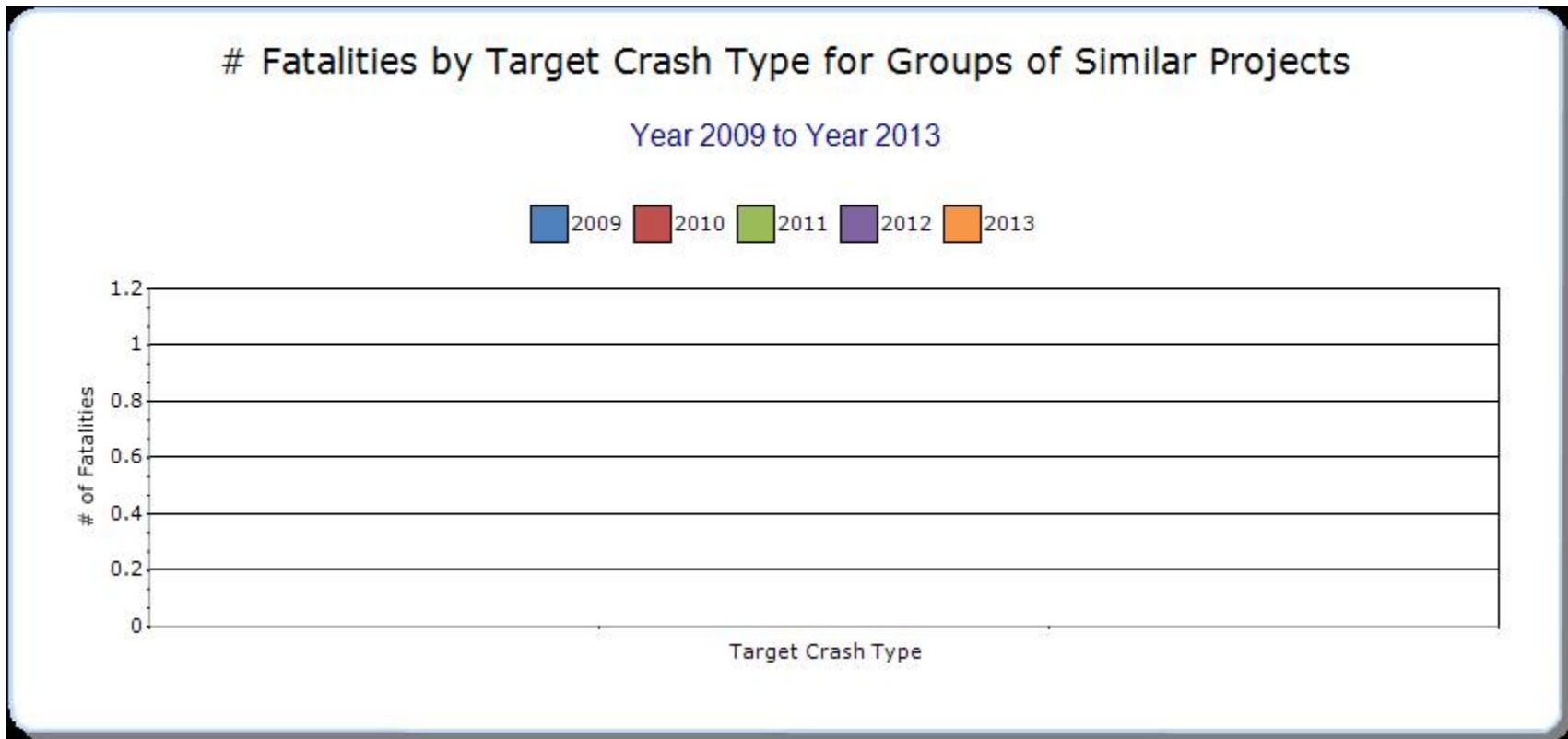
Pedestrian/Bicycle/Motorcycle Fatalities 2012-2013: SIMS. 2012 and 2013 fatality statistics are not available in FARS yet and should be considered draft.

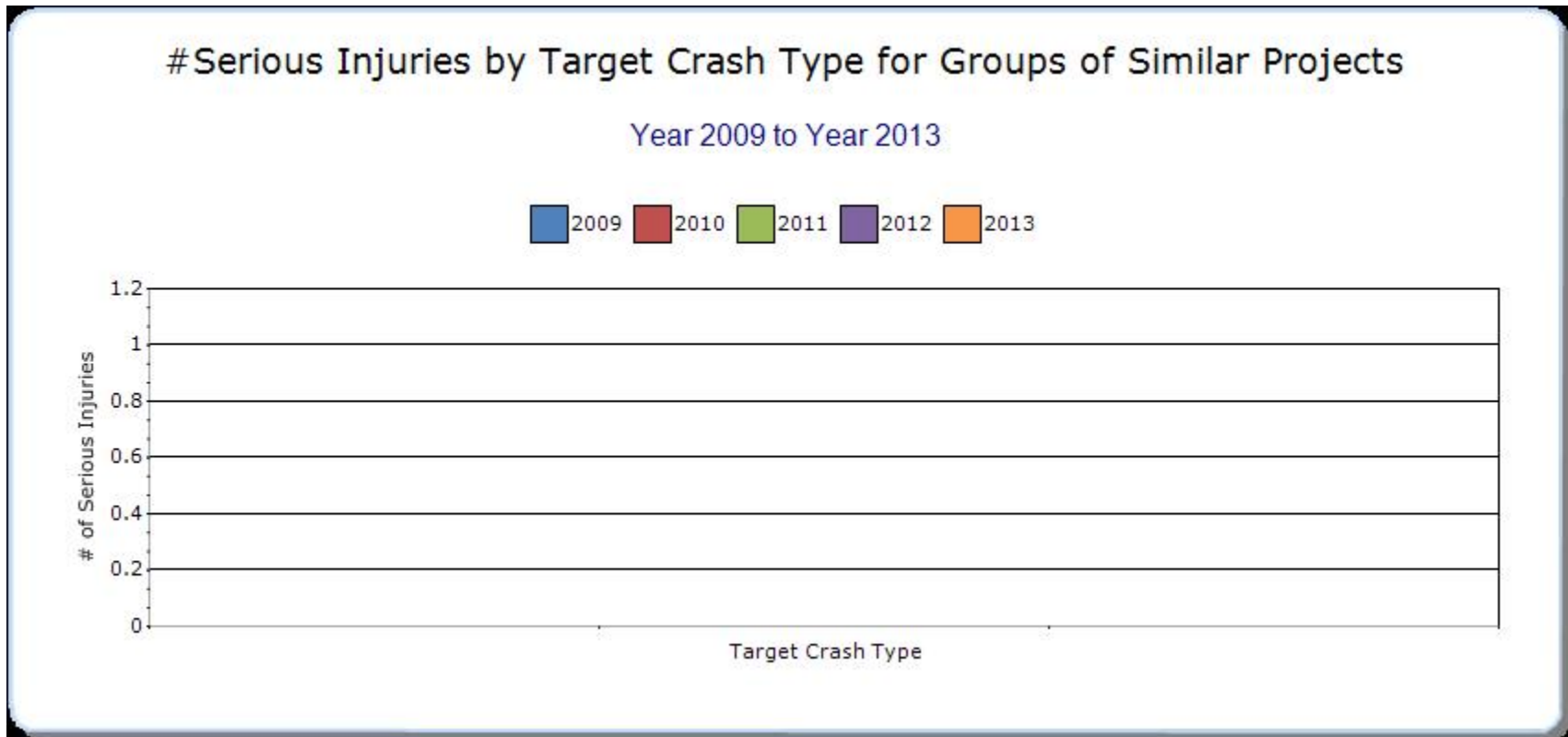
Pedestrian/Bicycle/Motorcycle Serious Injury Crashes: SIMS. 2013 serious injury statistics should be considered draft.

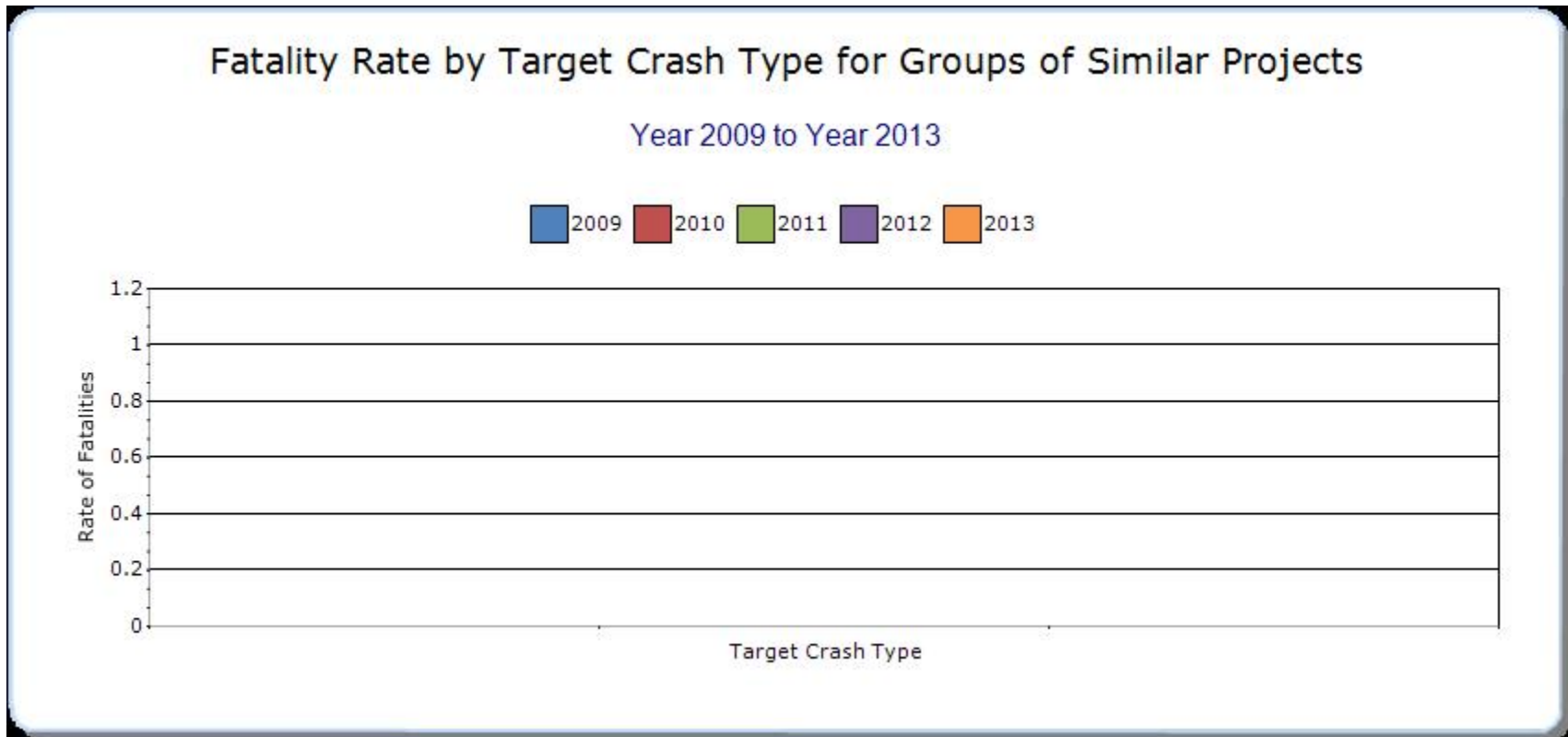
### Groups of similar project types

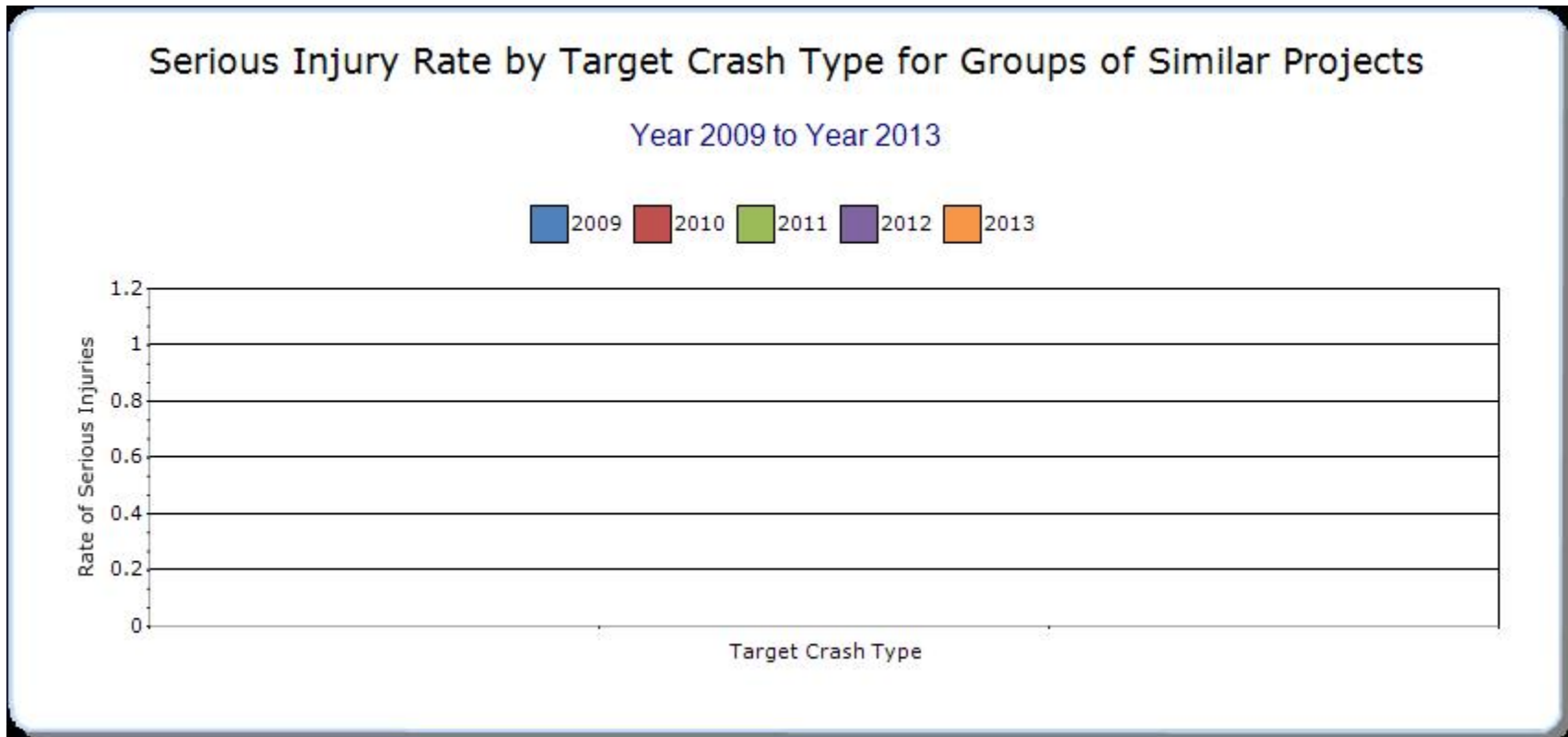
Present the overall effectiveness of groups of similar types of projects.

HSIP Sub-program Types	Target Crash Type	Number of fatalities	Number of serious injuries	Fatality rate (per HMVMT)	Serious injury rate (per HMVMT)	Other-1	Other-2	Other-3







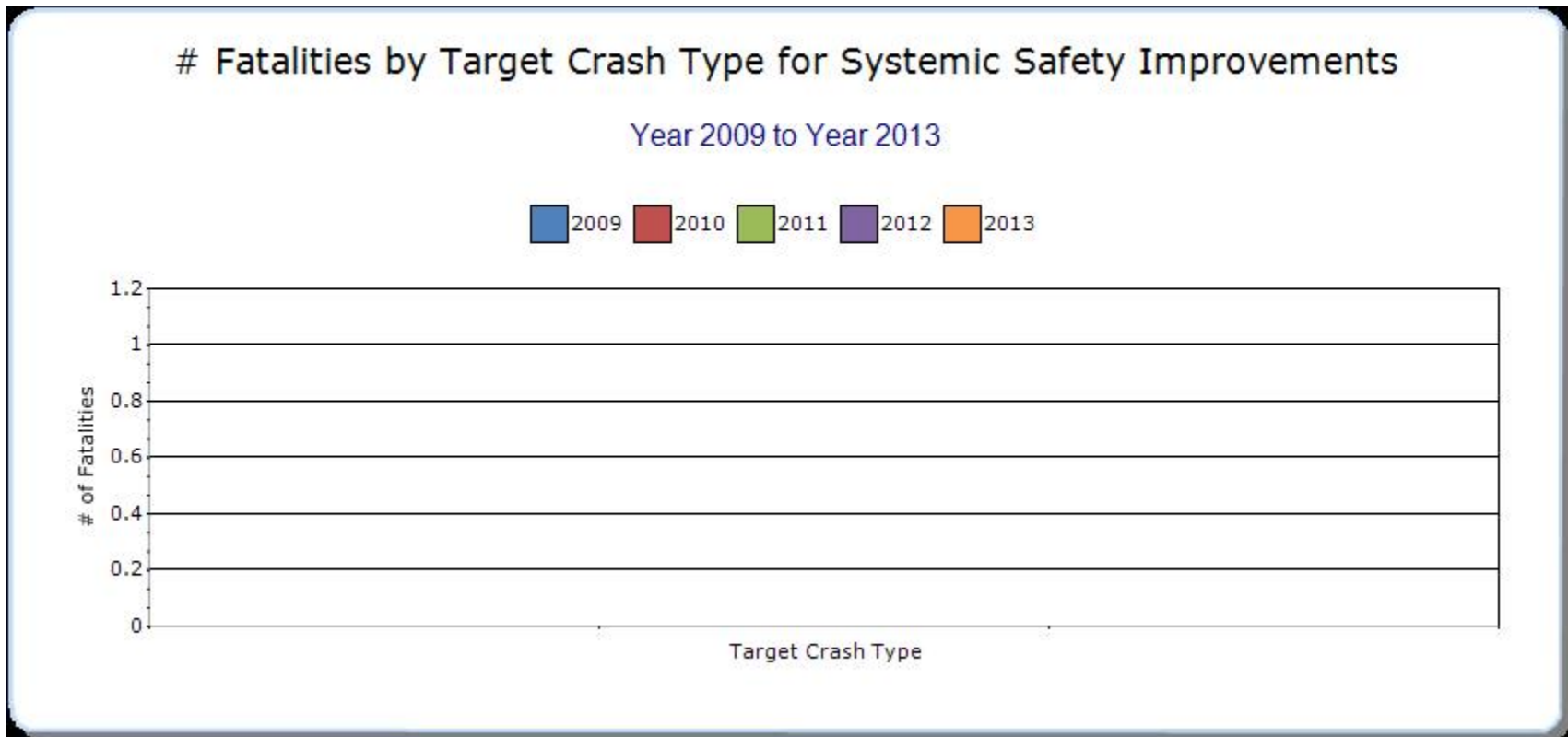


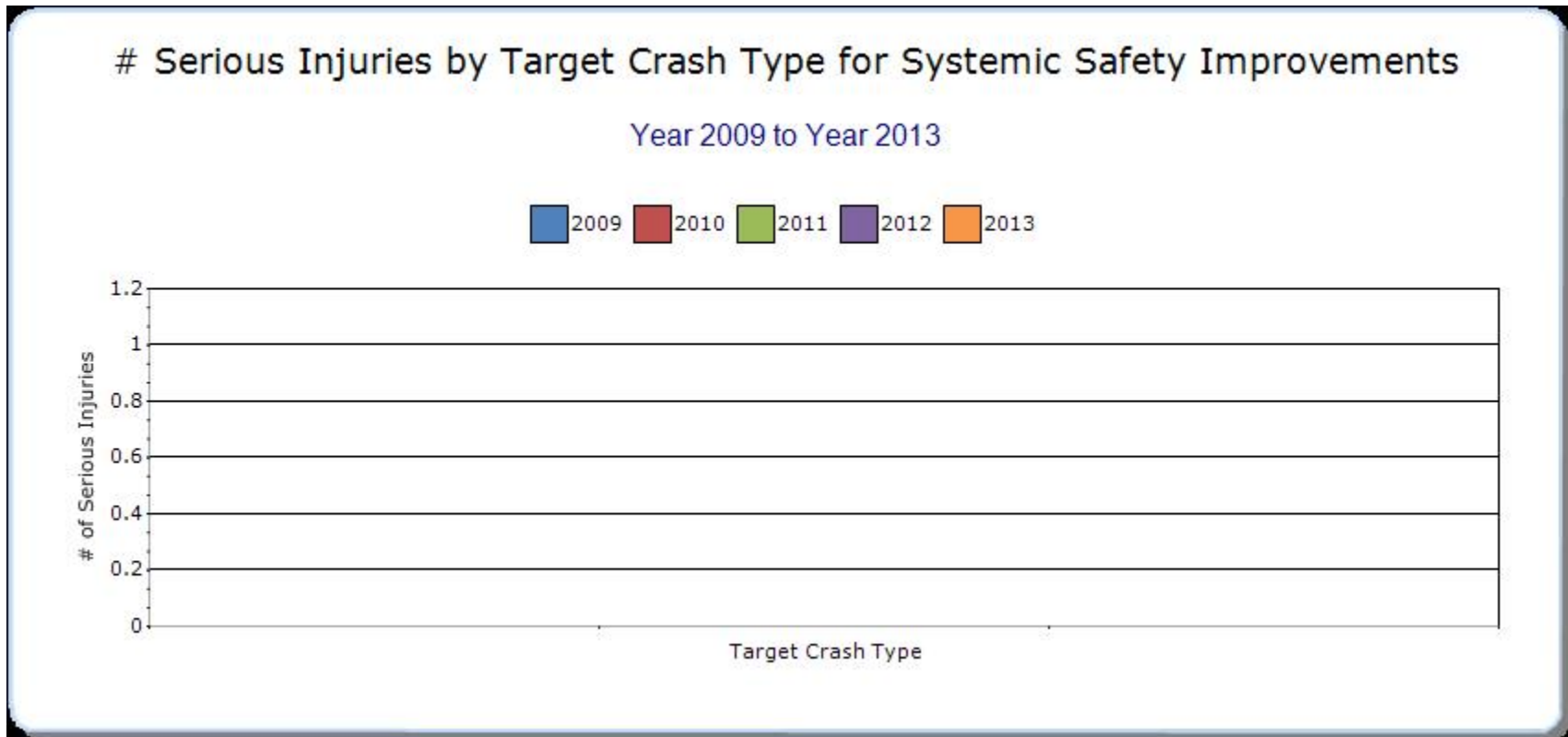


### Systemic Treatments

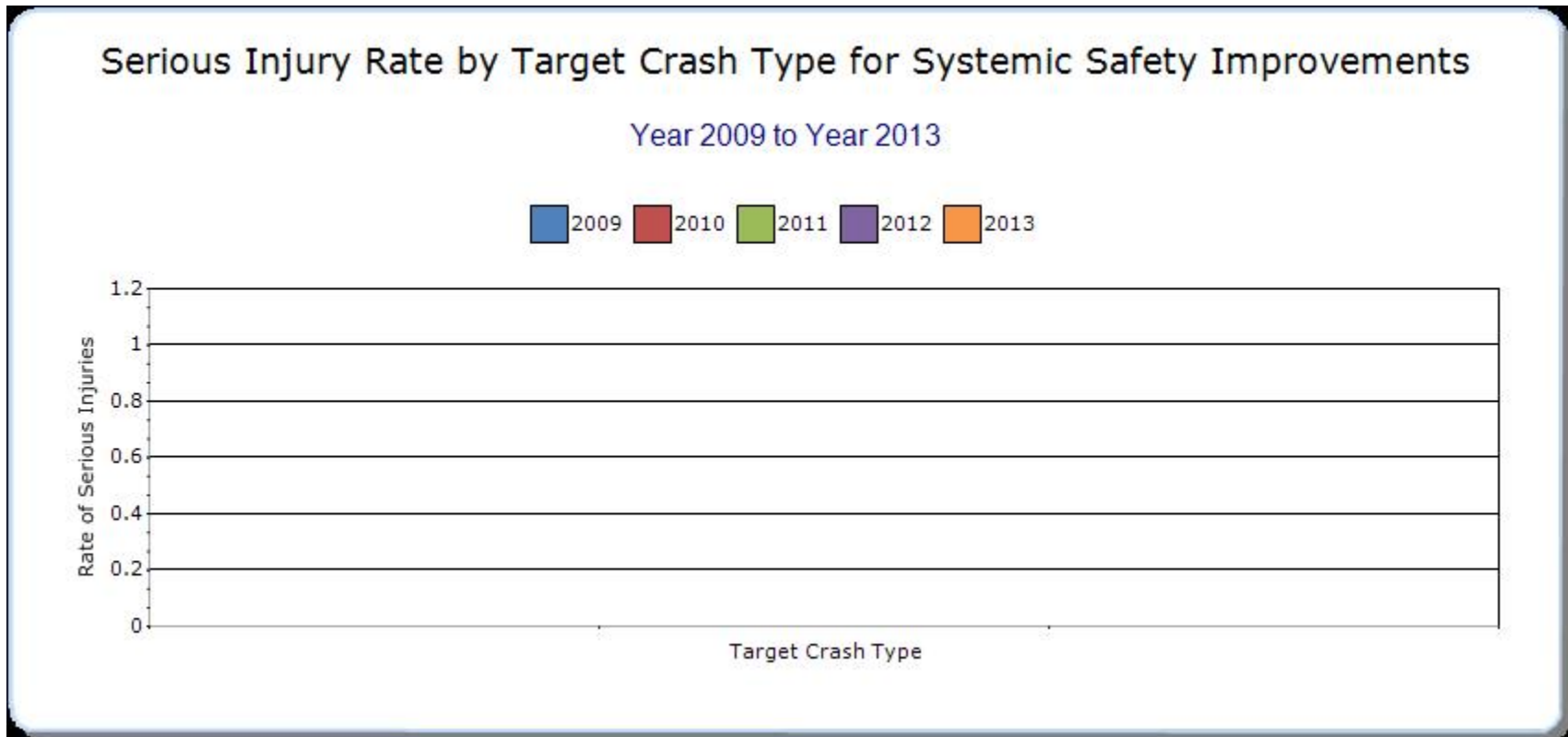
Present the overall effectiveness of systemic treatments.

Systemic improvement	Target Crash Type	Number of fatalities	Number of serious injuries	Fatality rate (per HMVMT)	Serious injury rate (per HMVMT)	Other-1	Other-2	Other-3









**Describe any other aspects of the overall Highway Safety Improvement Program effectiveness on which you would like to elaborate.**

No additional items to report at this time.

Provide project evaluation data for completed projects (optional).

Location	Functional Class	Improvement Category	Improvement Type	Bef-Fatal	Bef-Serious Injury	Bef-Other Injury	Bef-PDO	Bef-Total	Aft-Fatal	Aft-Serious Injury	Aft-Other Injury	Aft-PDO	Aft-Total	Evaluation Results (Benefit/Cost Ratio)
Optional question.				N/A				0	N/A				0	

## **Optional Attachments**

### **Sections**

**Progress in Implementing Projects: General  
Listing of Projects**

### **Files Attached**

[HSIP-HRRR Obligations and Adv Const 2006-  
Present \(5\).xlsx](#)



## Glossary

**5 year rolling average** means the average of five individual, consecutive annual points of data (e.g. annual fatality rate).

**Emphasis area** means a highway safety priority in a State's SHSP, identified through a data-driven, collaborative process.

**Highway safety improvement project** means strategies, activities and projects on a public road that are consistent with a State strategic highway safety plan and corrects or improves a hazardous road location or feature or addresses a highway safety problem.

**HMVMT** means hundred million vehicle miles traveled.

**Non-infrastructure projects** are projects that do not result in construction. Examples of non-infrastructure projects include road safety audits, transportation safety planning activities, improvements in the collection and analysis of data, education and outreach, and enforcement activities.

**Older driver special rule** applies if traffic fatalities and serious injuries per capita for drivers and pedestrians over the age of 65 in a State increases during the most recent 2-year period for which data are available, as defined in the Older Driver and Pedestrian Special Rule Interim Guidance dated February 13, 2013.

**Performance measure** means indicators that enable decision-makers and other stakeholders to monitor changes in system condition and performance against established visions, goals, and objectives.

**Programmed funds** mean those funds that have been programmed in the Statewide Transportation Improvement Program (STIP) to be expended on highway safety improvement projects.

**Roadway Functional Classification** means the process by which streets and highways are grouped into classes, or systems, according to the character of service they are intended to provide.

**Strategic Highway Safety Plan (SHSP)** means a comprehensive, multi-disciplinary plan, based on safety data developed by a State Department of Transportation in accordance with 23 U.S.C. 148.

**Systemic safety improvement** means an improvement that is widely implemented based on high risk roadway features that are correlated with specific severe crash types.

**Transfer** means, in accordance with provisions of 23 U.S.C. 126, a State may transfer from an apportionment under section 104(b) not to exceed 50 percent of the amount apportioned for the fiscal year to any other apportionment of the State under that section.