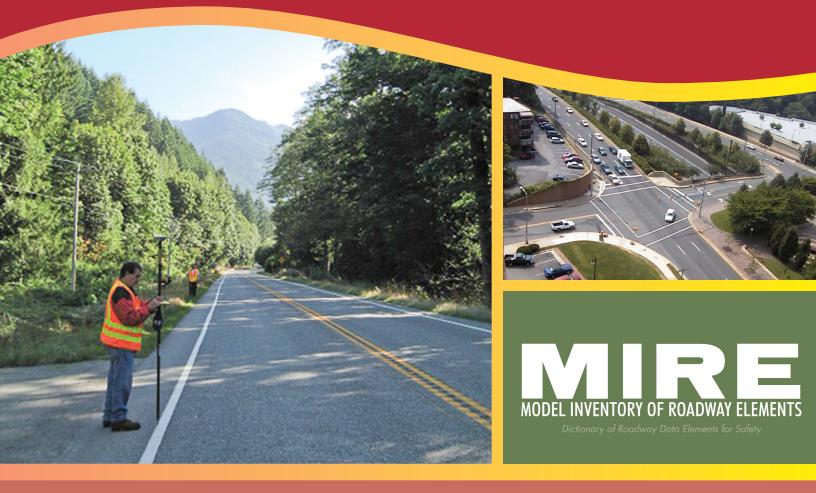
# MIRE Element Collection Mechanisms and Gap Analysis



# FHWA Safety Program





http://safety.fhwa.dot.gov

#### FOREWORD

The Federal Highway Administration's (FHWA's) Highway Safety Improvement Program (HSIP) is a data driven program that relies on crash, roadway, and traffic data for States to conduct effective analyses for problem identification and evaluation. The FHWA developed the Model Inventory of Roadway Elements (MIRE) to provide a recommended listing and data dictionary of roadway and traffic data elements critical to supporting highway safety management programs. MIRE is intended to help support the states' HSIPs and other safety programs.

The MIRE Management Information System (MIRE-MIS) was a project to explore better means of collecting MIRE data elements, using and integrating the data and identifying optimal data file structures. The resulting products include reports on the findings from the MIRE-MIS Lead Agency Program, a MIRE Guidebook on the collection of MIRE, a suggested MIRE data file structure report and a report on Metrics to Assess Quality that will assist the states in conducting a more effective safety program. The intent of the MIRE-MIS project is the integration of MIRE into States' safety management processes.

The *MIRE Element Collection Mechanisms and Gap Analysis* report is one of the products of the MIRE-MIS effort. This document presents the findings of the effort to explore existing and emerging data collection technologies to narrow the gaps between the elements in the MIRE listing and the current data available from agencies' inventories and supplemental databases. This report will provide data managers and collectors with potential techniques for advancing future data collection of roadway and traffic inventory data.

Michael S. Filleth

Michael S. Griffith Director, Office of Safety Technologies

Mongae R. Evans

Monique R. Evans Director, Office of Safety Research and Development

## Notice

This document is disseminated under the sponsorship of the U.S. Department of Transportation in the interest of information exchange. The U.S. Government assumes no liability for the use of the information contained in this document.

The U.S. Government does not endorse products or manufacturers. Trademarks or manufacturers' names appear in this report only because they are considered essential to the objective of the document.

## **Quality Assurance Statement**

The Federal Highway Administration (FHWA) provides high-quality information to serve Government, industry, and the public in a manner that promotes public understanding. Standards and policies are used to ensure and maximize the quality, objectivity, utility, and integrity of its information. FHWA periodically reviews quality issues and adjusts its programs and processes to ensure continuous quality improvement.

#### TECHNICAL DOCUMENTATION PAGE

IECHNICAL DOCUMENTATION TAG						
1. Report No. FHWA-SA-11-49	2. Government Accession No.	3. Recipient's Catalog No.				
4. Title and Subtitle MIRE Element Collection Mechanisms and Ga	5. Report Date September 2012					
	6. Performing Organ	nization Code				
7.Author(s) Jagannath Mallela, Suri Sadasivam, and Nancy	8. Performing Organ	nization Report No.				
9. Performing Organization Name and Address		10. Work Unit No.				
Applied Research Associates 4300 San Mateo Blvd. NE, Suite A-220 Albuquerque, NM 87110	11. Contract or Grant No. DTFH61-05-D-00024 (VHB)					
Vanasse Hangen Brustlin, Inc (VHB) 8300 Boone Blvd., Suite 700 Vienna, VA 22182-2626						
12. Sponsoring Agency Name and Address Federal Highway Administration Office of Safe 1200 New Jersey Ave., SE	ety	<ul><li>13. Type of Report and Period</li><li>Final Report, August 2009 – September</li><li>2012</li></ul>				
Washington, DC 20590		14. Sponsoring Agency Code FHWA				
15. Supplementary Notes The contract managers for this report were Dr.	Carol Tan (HRDS-06) and Ro	obert Pollack (HSA).				
16. Abstract Quality data are the foundation for making imp roadways. FHWA developed the Model Invente of what a comprehensive roadway and traffic d step toward acceptance and implementation of into a Management Information System (MIS). roadway inventory data collection practices, ide filling those gaps in order to support the MIRE	ory of Roadway Elements (M ata inventory should be for ef MIRE is the conversion of M This report provides an asses entifying gaps in current pract	IRE) to provide a reco fective safety manage IRE, which is now a l sment of State highw	ommended model ement. A critical isting of variables, ay agencies'			
17. Key Words: safety data, MIRE, MIS, roadway inventory data, traffic data, data collection	18. Distribution Statement No restrictions.					
19. Security Classif. (of this report) Unclassified	is 21. No. of 22. Price Pages 59					

Form DOT F 1700.7 (8-72)

Reproduction of completed pages authorized

			ERSION FACTORS	
		ATE CONVERSION		
Symbol	When You Know	Multiply By	To Find	Symbol
la.	inches	LENGTH 25.4	millimaters	
in ft	feet	25.4	millimeters meters	mm m
yd	yards	0.914	meters	m
mi	miles	1.61	kilometers	km
		AREA		
in <sup>2</sup>	square inches	645.2	square millimeters	mm <sup>2</sup>
ft <sup>2</sup>	square feet	0.093	square meters	m <sup>2</sup>
yd <sup>2</sup>	square yard	0.836	square meters	m <sup>2</sup>
ac	acres	0.405	hectares	ha
mi²	square miles	2.59	square kilometers	km <sup>2</sup>
		VOLUME		
fl oz	fluid ounces	29.57	milliliters	mL
gal	gallons	3.785	liters	L
ft <sup>3</sup>	cubic feet	0.028	cubic meters	m³
yd <sup>3</sup>	cubic yards	0.765	cubic meters	m³
	NOTE: volun	nes greater than 1000 L sha	all be shown in m <sup>-</sup>	
		MASS		
oz	ounces	28.35	grams	g
lb	pounds	0.454	kilograms	kg
Т	short tons (2000 lb)	0.907	megagrams (or "metric ton")	Mg (or "t")
0-		PERATURE (exact d		80
°F	Fahrenheit	5 (F-32)/9	Celsius	°C
		or (F-32)/1.8		
		ILLUMINATION		
fc	foot-candles	10.76	lux	lx
fl	foot-Lamberts	3.426	candela/m <sup>2</sup>	cd/m <sup>2</sup>
		E and PRESSURE or		
lbf lbf/in <sup>2</sup>	poundforce	4.45	newtons	N
Ibt/in-	poundforce per square inch	6.89	kilopascals	kPa
	APPROXIMA	<b>TE CONVERSIONS</b>	FROM SI UNITS	
Symbol	When You Know	Multiply By	To Find	Symbol
		LENGTH		
mm	millimeters	0.039	inches	in
m	meters	3.28	feet	ft
m	meters	1.09	yards	yd
km	kilometers	0.621	miles	mi
		AREA		
mm²	square millimeters	0.0016	square inches	in <sup>2</sup>
m <sup>2</sup>	square meters	10.764	square feet	ft <sup>2</sup>
m <sup>2</sup>	square meters	1.195	square yards	yd <sup>2</sup>
ha km²	hectares	2.47 0.386	acres	ac mi <sup>2</sup>
NIII	square kilometers		square miles	m
		VOLUME	8	£
mL	milliliters	0.034	fluid ounces	floz
L m <sup>3</sup>	liters cubic meters	0.264 35.314	gallons cubic feet	gal ft <sup>3</sup>
m m <sup>3</sup>	cubic meters	1.307	cubic feet cubic yards	π yd <sup>3</sup>
		MASS		yu
a	arama	0.035	0110000	07
g kg	grams	2.202	ounces pounds	oz Ib
кg Mg (or "t")	kilograms megagrams (or "metric ton")	1.103	short tons (2000 lb)	T
		PERATURE (exact d		
°C	Celsius	1.8C+32	Fahrenheit	°F
0	Celsius		Famemieit	F
6.e	have	ILLUMINATION	fact can ill.	6
lx cd/m²	lux candela/m <sup>2</sup>	0.0929 0.2919	foot-candles	fc fl
cu/m			foot-Lamberts	11
	FORC	E and PRESSURE or	SIRESS	
N kPa	newtons kilopascals	0.225 0.145	poundforce poundforce per square inch	lbf lbf/in <sup>2</sup>

\*SI is the symbol for the International System of Units. Appropriate rounding should be made to comply with Section 4 of ASTM E380. (Revised March 2003)

# **TABLE OF CONTENTS**

EXECUTIVE SUMMARY	IX
CHAPTER I: BACKGROUND	I
CHAPTER 2: MODEL INVENTORY OF ROADWAY ELEMENTS	3
CHAPTER 3: MIRE MANAGEMENT INFORMATION SYSTEM	5
CHAPTER 4: REVIEW OF DATA INVENTORIES OF STATE DOTS	6
HSIS and Non-HSIS Data Inventories	7
Summary of MIRE Workshop Polls	
NCHRP Safety Data Collection Survey	14
HPMS Data Inventory	14
Gap Analysis of MIRE Data Elements	18
CHAPTER 5: POTENTIAL SUPPLEMENTAL DATA SOURCES	22
Pavement Management Systems	
Roadway Hardware Management Systems	24
Supplemental Databases	
CHAPTER 6: TECHNOLOGIES FOR COLLECTION OF ROADWAY INVENTORY DATA	29
Need for Advanced Technologies	
Data Collection and Presentation Technologies	
Use of Automation in Roadway Inventory Data Collection	
CHAPTER 7: COMPATIBILITY OF COLLECTION TECHNOLOGIES WIT ROADWAY ELEMENTS	
CHAPTER 8: CONCLUSIONS AND RECOMMENDATIONS	
Recommendations	55
REFERENCES	

# LIST OF TABLES

Table I. Number of MIRE elements in HSIS State databases.	9
Table 2. Number of MIRE elements in non-HSIS State databases	10
Table 3. MIRE workshop poll summary for roadway segment descriptors	12
Table 4. MIRE workshop poll summary for roadway alignment descriptors	13
Table 5. MIRE workshop poll summary for roadway junction descriptors	13
Table 6. Commonality between the HPMS dataset and MIRE	17
Table 7. Number of States that have an inventory and tracking system for roadway hardware	
assets	24
Table 8. State collection of roadway hardware asset inventory.       2	26
Table 9. Inventory of selected assets.         2	27
Table 10. Number of agencies using automated pavement distress data collection	36
Table 11. Potential of technologies to collect MIRE elements	

## LIST OF FIGURES

Figure 1. Frequency of data elements in pavement management inventory	. 23
Figure 2. Frequency of pavement condition data availability	. 23
Figure 3. Methods used for original inventory of roadway hardware assets	. 25
Figure 4. Schematic representation of the airborne LIDAR system.	. 31
Figure 5. Caltrans DHIPP image showing roadway features.	. 35

# ACRONYMS

3D	Three-dimensional
AADT	Average annual daily traffic
AASHTO	American Association of State Highway and Transportation Officials
AHMCT	Advanced Highway Maintenance and Construction Technology Research Center
C&P	Condition and performance
Caltrans	California Department of Transportation
CARMS	Centre for Applied Remote Sensing, Modeling, and Simulation
CFR	Code of Federal Regulations
DHIPP	Digital Highway Inventory Photography Program
DHMS	Digital Highway Measurement System
DMI	Distance measuring instruments
DOT	Department of Transportation
EGM96	Earth Gravitational Model of 1996
FDE	Fundamental Data Elements
FHWA	Federal Highway Administration
G-Rail	Guardrail Management System
GIS	Geographic information system
GPS	Global positioning system
HMMS	Highway Maintenance Management System
HOV	High-occupancy vehicle
HPMS	Highway Performance Monitoring System
HSIP	Highway Safety Improvement Program
HSIS	Highway Safety Information System
HSM	Highway Safety Manual
ICAS	Inventory and Condition Assessment System
INS	Inertial navigation systems
IRI	International Roughness Index

KML	Keyhole Markup Language
LIDAR	Light detection and ranging
MIRE	Model Inventory of Roadway Elements
MIS	Management information system
MMS	Maintenance management system
MMUCC	Model Minimum Uniform Crash Criteria
N.A.	No additional collection needed
NASA	National Aeronautics and Space Administration
NCHRP	National Cooperative Highway Research Program
NHS	National Highway System
OAV	Oblique Aerial View
PMS	Pavement management system
PSR	Pavement Serviceability Rating
RFI	Road Feature Inventory
RFIP	Roadside Features Inventory Program
STRAHNET	Strategic Highway Network
TIAMS	Transportation infrastructure asset management system
TMG	Traffic Monitoring Guide
TMS	Traffic Monitoring System
WGS84	World Geodetic System of 1984
XML	Extensive Markup Language

# **EXECUTIVE SUMMARY**

The Highway Safety Improvement Program (HSIP) is a core program of the Federal Highway Administration (FHWA) and the agency's principal safety program. The HSIP requires that States use a data-driven process in selecting and implementing effective countermeasures for reducing fatalities and serious injuries on public roads. Regulations governing the HSIP (23 Code of Federal Regulations [CFR] Part 924) specify that the HSIP planning process shall incorporate the collection and analyses of crash, roadway, and traffic data on all public roads (1). Crash data have long been a key component of State safety planning processes. However, fewer States have demonstrated an ability to integrate roadway inventory and traffic data with crash data to conduct more effective safety analyses for their safety programs.

The FHWA recognizes that current trends of constrained resources, competing priorities, and shrinking workforces will likely be the norm for at least the near future. States will need to find better ways to identify, prioritize, and treat safety problems to address these issues. One potential solution is for States to enhance their capabilities regarding the collection, maintenance and use of roadway data as part of normal business practices.

The FHWA encourages States to collect and use roadway inventory and traffic data in their analytical processes for safety and other transportation programs. Several tools and methodologies are available to conduct more rigorous approaches for traffic safety analyses and countermeasure selection. These include tools developed at the national level such at the Highway Safety Manual (HSM) (2), as well as many tools that States have developed in-house. These tools require detailed crash, roadway, and traffic data to achieve the most accurate results. The FHWA developed the Model Inventory of Roadway Elements (MIRE) to provide a recommended listing and data dictionary of roadway and traffic data elements critical to supporting highway safety management programs (3). For cases where resource limitations prohibit the collection of the total list of MIRE data elements on all public roads, FHWA issued the "Guidance Memorandum on Fundamental Roadway and Traffic Data Elements to Improve the Highway Safety Improvement Program" on August 1, 2011 (4). This memorandum identifies a subset of MIRE elements - the Fundamental Data Elements (FDE) - that FHWA recommends States collect to help support their safety programs. This guidance notwithstanding, FHWA still recommends that States collect as many of the MIRE data elements as possible on as many roadways as possible.

## **MIRE MIS PROJECT**

To assist States in developing and integrating the MIRE into a management information system structure that will provide greater utility in collecting, maintaining, and using MIRE data, FHWA has undertaken the MIRE Management Information System (MIRE MIS) project.

This report provides an assessment of State highway agencies' roadway inventory data collection practices, identifying gaps in current practices and providing recommendations for filling those gaps in order to support States' implementation of the MIRE MIS. The research team assessed State roadway inventory data collection practices by reviewing Highway Safety Information Systems (HSIS) data from nine States and roadway inventory databases from 14 States. The results of this review are based on information readily available to the project team and not an exhaustive review of each States' entire data systems. Most of the databases contain administrative information, such as location, classification, pavement cross section, and traffic volume, and a few contain information on alignment features. However, these databases lack information on elements such as intersections, interchanges, circular intersections, ramps, signs, rumble strips, sidewalks, pedestrians, bicycles, and motorcycles. Overall, the HSIS and non-HSIS databases contain only one-sixth to one-third of the MIRE data elements. The FHWA polled safety professionals during a series of MIRE Webinars held in 2009 and 2010 and found that the data elements considered most difficult to collect tend to be those that are most often missing from data inventories.

The research team also reviewed data elements collected for the Highway Performance Monitoring System (HPMS) to identify other possible sources for MIRE data. The HPMS requires States to collect roadway characteristic data on Federal-aid highways and report those data to FHWA. Federal-aid highways include all functional systems excluding rural minor collectors and locals. FHWA uses HPMS data for several purposes including apportioning Federal-aid funds back to the States. Although FHWA only requires States collect HPMS data on Federal-aid roads, and safety programs focus on all public roads, there often is a strong correlation between HPMS data requirements and State highway agency data collection practices. In this sense, the HPMS data can help in understanding future trends in data availability in State databases. The Full Extent dataset of HPMS contains data for 21 MIRE elements, while the Sample dataset contains data for 24 MIRE elements. This does not cover the entire public roadway system unless data collection practices change over time, but collection of these sample variables may lead to collection of the same variables on a wider network basis.

The researchers conducted a literature search to identify potential supplemental databases that contain information on MIRE data elements. Literature on States' pavement management practices confirmed that a majority of States collect and store pavement condition data (e.g. roughness and surface friction) in their databases. Some States also collect rumble strip information. Only one-third of the States use hardware management systems for signs, signals, guardrails, and lighting. States maintain these databases either as a separate system or as part of a broader management system. While the supplemental databases cannot supply all the MIRE information for safety analysis, they could serve as alternate sources for some categories of MIRE elements. Many of the elements in the MIRE listing either do not exist in current database systems or are contained in a series of disparate systems that are not accessed easily. Agencies

interested in collecting MIRE elements would need to collect data not found in existing datasets or, in some cases, compile data that may already exist but cannot be integrated with other datasets.

The research team evaluated the potential for existing and emerging data collection technologies to narrow the gaps between the elements in the MIRE listing and the current data available from agency inventory and supplemental databases. Many State agencies use semi- or fully automated systems for data collection. In semi-automated systems, only the data collection is fully automated through the use of imaging and/or sensor technologies, with the reduction of raw data into useful information being done manually by the operator. In fully automated systems, both data collection and processing are automated using software with no or minimal manual intervention. Among the automated systems in practice, the mobile mapping systems have emerged as a promising choice for automated data collection.

While these systems have the flexibility to accommodate a custom set of geo-referencing and descriptive technologies, and can collect data at highway speeds, post-processing data and cross-referencing to other linear referencing systems still appear to be time-consuming and labor-intensive. Technologies such as light detection and ranging (LIDAR) and satellite imagery show promise. There are continual improvements in technical capabilities, such as the availability of high-resolution imagery that should help overcome any current limitations. The Keyhole Markup Language (KML) browsers, including Google Earth and Bing, could serve as an intuitive visualization tools for data presentation in roadway inventory applications.

The research team evaluated the ability of four existing and emerging technologies to collect the required information for MIRE data elements: mobile mapping, airborne LIDAR, terrestrial LIDAR, and satellite imagery. While these technologies can collect most of the MIRE data elements, they are not without their limitations. Moreover, there are no accepted industry standards at this time that establish the desired accuracy of roadway measurement systems. Transportation agencies will need to conduct significant work in this regard. The airborne technologies cannot collect information on signs, whereas the terrestrial technologies have issues with collecting data on steep slopes. Neither can identify smaller objects, such as the pedestrian signalization type. The system accuracy, defined by either image resolution or point density of laser scans, is a critical factor in selecting an appropriate technology for inventory data collection. Irrespective of the technology used for data collection, significant postprocessing efforts are anticipated for data extraction. Terrestrial LIDAR systems have demonstrated the potential to collect many of the MIRE elements through case studies.

Collecting additional roadway safety data can be a large undertaking. Based on the results of this effort, the research team developed several recommendations to help States implement a MIRE MIS. States should consider using available information systems as an alternative source of data. Integrating the available data systems and data needs within the agency will help minimize the data collection and processing efforts of various internal departments. States should also standardize data collection methods to ensure quality and simplify transfer between information

systems. While there are multiple technologies available to assist States in data collection, public and private sectors should explore the development of automated techniques for extracting inventory feature data from advanced collection techniques into a usable database format. These steps can help States implement a MIRE MIS to help support their safety programs through data-driven decision-making.

## **CHAPTER I: BACKGROUND**

Quality data are the foundation for making important decisions regarding the design, operation, and safety of roadways. While crash data have been a consistent element of highway safety analysis, in recent years there has been an increased focus on the combination of crash, roadway, and traffic data to make more precise and prioritized safety decisions. The application of advanced highway safety analysis processes and tools requires a comprehensive inventory of roadway safety data combined with crash data to better identify and understand problems, prioritize locations for treatment, apply appropriate countermeasures, and evaluate the effectiveness of those countermeasures. Comprehensive roadway safety data include information on roadway and roadside features, traffic operations, traffic volumes, and crashes. In search of new strategies for an improved safety information system, the Federal Highway Administration (FHWA), the National Cooperative Highway Research Program (NCHRP), and the American Association of State Highway and Transportation Officials (AASHTO) sponsored a scanning tour to study the existing systems in Europe and Australia. The expert scan team identified the following strategies to improve traffic safety information in the U.S. (5):

- Increase support for both safety programs and safety information systems from top-level administrators in State and local transportation agencies.
- Improve safety data by defining good inventory data and institutionalizing continual improvement toward established performance measures.
- Improve safety data by making them easier to collect, store, and use.
- Improve safety data by increasing the use of critical safety analysis tools, which themselves require good data.
- Improve and protect safety data by storage and linkage with critical non-safety data.

To develop good safety inventory data, the expert scan team recommended a list of roadway inventory and operations data elements as a companion to the Model Minimum Uniform Crash Criteria (MMUCC) (6). Furthermore, Federal safety programs support data-driven decision-making. For example, the Highway Safety Improvement Program (HSIP) requires that States use a data-driven process in selecting and implementing effective countermeasures for reducing fatalities and serious injuries on public roads. Regulations governing the HSIP (23 Code of Federal Regulations [CFR] Part 924) specify that the HSIP planning process shall incorporate the collection and analyses of crash, roadway, and traffic data on all public roads (1). To this end, FHWA developed the Model Inventory of Roadway Elements (MIRE), a recommended listing and data dictionary of roadway and traffic data elements critical to supporting highway safety management (3).

For cases where resource limitations prohibit the collection of the total list of MIRE data elements on all public roads, FHWA issued the "Guidance Memorandum on Fundamental Roadway and Traffic Data Elements to Improve the Highway Safety Improvement Program" on August 1, 2011 (4). This memorandum identifies a subset of MIRE elements—the Fundamental Data Elements (FDE)—that FHWA recommends the States collect to help support their safety programs. This guidance notwithstanding, FHWA still recommends that States collect as many of the MIRE data elements as possible on as many roadways as possible.

## **CHAPTER 2: MODEL INVENTORY OF ROADWAY ELEMENTS**

MIRE is a recommended listing and associated data dictionary of roadway inventory and traffic elements that are critical to the safety management of highways. The initial version of MIRE was released in 2007 (7), and a revised version, MIRE Version 1.0, was released in late 2010 (3). MIRE Version 1.0 consists of 202 data elements divided into the following categories:

- I. Roadway Segment Descriptors:
  - a. Segment Location/Linkage Elements.
  - b. Segment Roadway Classification.
  - c. Segment Cross Section.
    - i. Surface Descriptors.
    - ii. Lane Descriptors.
    - iii. Shoulder Descriptors.
    - iv. Median Descriptors.
  - d. Roadside Descriptors.
  - e. Other Segment Descriptors.
  - f. Segment Traffic Flow Data.
  - g. Segment Traffic Operations/Control Data.
  - h. Other Supplemental Segment Descriptors.

#### II. Roadway Alignment Descriptors:

- a. Horizontal Curve Data.
- b. Vertical Grade Data.

#### III. Roadway Junction Descriptors:

- a. At-Grade Intersection/Junctions.
  - i. At-Grade Intersection/Junction General Descriptors.
  - ii. At-Grade Intersection/Junction Descriptors (Each Approach).
- b. Interchange and Ramp Descriptors.
  - i. General Interchange Descriptors.
  - ii. Interchange Ramp Descriptors.

FHWA recognizes that current trends of constrained resources, competing priorities, and shrinking workforces will likely be the norm for at the least the near future. In order to address these issues, States need to find better ways to identify, prioritize, and treat safety problems. One potential solution is for States to enhance their capabilities regarding the collection, maintenance, and use of roadway data as part of normal business practices.

## CHAPTER 3: MIRE MANAGEMENT INFORMATION SYSTEM

A critical step toward acceptance and implementation of MIRE is the conversion of MIRE (which is now a listing of variables) into a management information system (MIS). To assist States in developing and integrating the MIRE into an MIS structure that will provide greater utility in collecting, maintaining, and using MIRE data, FHWA has undertaken the MIRE MIS project.

The proposed MIRE MIS design will include the exploration, development, and documentation of the following:

- Mechanisms for data collection.
- A highly efficient process for data handling and storage.
- Details of data file structure.
- Methods to assure the integration of MIRE data with crash data and other data types.
- Performance metrics to assess and assure MIRE data quality and MIS performance.

This report represents the first step in the MIS effort—mechanisms for data collection. The purpose of this report it to provide an assessment of roadway inventory data collection efforts by State Departments of Transportation (DOTs), identify gaps in current practices, and provide recommendations for filling those gaps in order to support States' implementation of the MIRE MIS.

## **CHAPTER 4: REVIEW OF DATA INVENTORIES OF STATE DOTS**

Existing roadway inventory and traffic data serve as potential resources agencies could use when developing a data management inventory system for MIRE. Therefore, it is necessary to review DOT roadway data collection and inventory practices and identify whether MIRE elements exist in the DOT's databases. The research team reviewed the databases of 9 States that have contributed to the Highway Safety Information System (HSIS) and reviewed the roadway inventories of 14 non-HSIS States. The team also reviewed the data requirements as outlined in the "Highway Performance Monitoring System (HPMS) Reassessment 2010+ Data Specifications" (8). In addition, the researchers conducted a literature review to obtain information on whether there are supplemental databases—such as pavement and other asset management databases-that could supply any of the MIRE data elements. Finally, the team compiled information on emerging automated roadway data collection methodologies to understand future possibilities with regard to the MIRE dataset. The research team explored methodologies with varying levels of automation. In fully automated systems, both data collection and processing are automated using software with no or minimal manual intervention. In semi-automated systems, the data collection is only wholly automated through the use of imaging and/or sensor technologies, while an operator manually reduces the raw data to useful information.

The research team reviewed the roadway inventory databases of 23 DOTs to ascertain whether they contain the MIRE data elements. The review included only the inventories that were readily available to the research team, such as the FHWA's HSIS database, and did not include all data systems maintained by each of the State agencies. The HSIS is a multistate safety database that contains crash data, roadway inventory data, traffic volume data, and other inventory-related elements, such as intersections, interchanges, and roadside hardware. The States that have provided inventory data to the HSIS database include California, Illinois, Maine, Michigan, Minnesota, North Carolina, Ohio, Utah, and Washington. (Michigan and Utah are no longer active contributors due to changes in their data systems.) The HSIS databases are known to have extensive roadway inventory data and should not be considered as representative samples. The research team also reviewed 14 non-HSIS States roadway inventory databases, which included Arizona, Arkansas, Florida, Indiana, Maryland, Massachusetts, Mississippi, Missouri, New Hampshire, Oklahoma, Pennsylvania, South Carolina, Texas, and Virginia. The results presented in this section reflect only an overview of the inventory information that was readily available to the research team as of late 2009, as this is not an exhaustive review of all current data systems maintained by all State agencies.

In addition to reviewing the inventory databases of HSIS and non-HSIS States, the researchers investigated the following sources of information to further understand the extent of information that may be available to support the development of a MIRE MIS.

- NCHRP 20-5/Topic 36-03, NCHRP Synthesis 367: Technologies for Improving Safety Data. This included a survey conducted to document the roadway data inventory practices of 20 State DOTs (8).
- Documentation on reporting requirements of HPMS. Although it is expected that most of the HPMS data pertinent to MIRE will be collected on a sample basis, the future data collection plans were reviewed because of the strong tendency of DOTs to collect full extent and sample data required by HPMS on a wider network basis (8).

## HSIS AND NON-HSIS DATA INVENTORIES

Table I and Table 2 summarize the MIRE data elements available in the HSIS databases of the 9 participating States and the roadway inventories of the 14 non-HSIS States, respectively. The tables show that these databases contain only about one-sixth to one-third of the elements in the MIRE listing. The databases contain a fair amount of roadway segment elements but lack most of the alignment and junction descriptors.

The key findings of the database review can be summarized as follows:

- A majority of databases include data elements in the roadway segment location/linkage subcategory, except for government ownership, coinciding routes, and direction of inventory. Most HSIS databases have data for route signing and route signing qualifiers.
- Most databases have roadway classification elements, such as highway functional class, urban/rural designation, and Federal-aid or National Highway System (NHS) route type.
- In the surface description subcategory, information on surface type and width exists in the majority of the databases. Only a very few HSIS databases have data on pavement condition and roughness, whereas several of the non-HSIS roadway inventories have the same information. Among the non-HSIS State databases, only Indiana and Pennsylvania include surface friction in their databases.
- In the lane description subcategory, most States include the number of through lanes; only a few include the presence of auxiliary lanes, reversible lanes, high-occupancy vehicle (HOV) lanes, and number of peak period through lanes. None of the States include cross slopes and lengths of auxiliary lanes. Only Washington records bike lanes and facilities in its HSIS database. Only 6 of the 23 States record the width of inner and outer lanes, while others record the average or total width of all lanes by direction.
- In the shoulder description subcategory, most States record shoulder type and width. A few States record the presence of curb but not the curb type, and none of the States record data on rumble strips. Five non-HSIS States and Minnesota (in the HSIS group) record the presence of sidewalks.

- Most State databases have data elements for median width and type; a few have information on median barrier type, but none have data elements for rumble strips, side slopes, inner paved shoulder width, or crossover/left lane turn types.
- None of the States have roadside elements that include clear zone width, side slopes, and driveways. Washington's Roadside Features Inventory Program (RFIP) collects information on roadside slopes and ditches.
- In the other segment descriptors category, approximately half of the States' databases contain roadway terrain type. Only Florida, New Hampshire, and Utah have the number of intersection types in a roadway segment (i.e., the number of signalized, stop-controlled, or uncontrolled intersections in a roadway segment).
- In the traffic flow category, the databases of most States contain traffic volume data; however, only a few databases contain information on traffic characteristics such as percent trucks, traffic growth and forecasts, and directional and K-factors. None of the State databases contain information on hourly traffic volumes or counts of motorcycles, bicycles, or pedestrians.
- In the traffic operations/controls subcategory of roadway segments, several States
  include data on one-way/two-way operations, speed limits, and truck route designations.
  Nearly all databases lack data on the presence of edgelines, centerlines, passing zone
  percentage, 85th percentile speed, nighttime speed limit, and truck speed limit. Only a
  few databases contain information on school zone indicator, presence/type of on-street
  parking, and toll facilities. Only Mississippi includes roadway lighting in its inventory.
- In the roadway alignment category, only four of the nine HSIS States provide data on horizontal curves that include the curve length, radius, and identifiers. Similarly, only three of the nine HSIS States have data on vertical alignment and grades. In the non-HSIS group, only Florida has data on horizontal curve radius, identifiers, deflection angle, and vertical alignment feature type. Most States do not include superelevation, transition curves, deflection angles, or length of vertical grade and horizontal curves. In general, the State databases lack roadway alignment elements.
- In the roadway junction category, the majority of HSIS databases contain limited data on one or more of the three junction descriptors: interchanges, intersections, and ramps. These States have limited data on descriptors such as location identifiers, number of lanes, traffic volume, and traffic control. Only two have full intersection inventory files. None of the HSIS databases have data to describe pedestrian features, turn counts, and turn prohibitions, rumble strips, intersection geometry, and circular intersections. Only a few HSIS States have data on location identifiers and traffic volume on interchanges and ramps. None of the non-HSIS databases includes junction-related data elements.

MIRE Data Subcategories		Number of Elements for MIRE Inventory in HSIS Databases by State							
(total elements in a subcategory)	NC	CA	IL	ME	MI	MN	ОН	UT	WA
I. ROADWAY SEGMENT DESCRIPTORS (Total number of MIRE Elements = 106)									
I.a. Segment location/linkage variables (18)	12	9	12	10	8	8	16	9	9
I.b. Segment roadway classification (4)	4	4	4	4	3	4	4	4	4
I.c. Segment cross-section (39)	13	14	16	7	12	17	15	7	17
I.d. Segment roadside descriptors (13)	0	0	0	0	0	0	0	0	5
I.e. Other segment descriptors (4)	I	I	0	0	I	0	0	4	I
I.f. Segment traffic flow data (12)	4	I	2	2	2	3	3	4	3
I.g. Segment traffic operations/control data (15)	3	2	3	I	4	4	3	5	4
I.h. Other supplemental descriptors (1)	0	0	0	0	0	0	0	0	I
II. ROADWAY ALIGNMENT DESCRIPTOR	5 (Tota	al num	ber o	f MIRE	Elem	nents =	=  3)	I	1
II.a. Horizontal curve data (8)	0	0	5	0	4	0	4	0	5
II.b. Vertical grade data (5)	0	0	0	0	0	0	4	0	5
III. ROADWAY JUNCTION DESCRIPTORS	(Total	numb	er of	MIRE	Eleme	ents =	83)		1
III.a. At-grade intersection/junctions (58)	0	23	I	12	2	22	4	I	2
III.b. Interchange and ramp descriptors (25)	0	4	0	9	0	7	3	0	12
Total Number of Elements (202)	37	58	43	45	36	65	56	34	68

#### Table I. Number of MIRE elements in HSIS State databases.

9

MIRE Data Subcategories		Number of Elements for MIRE Inventory in Other State Databases by State												
(total elements in a subcategory)	FL	MO	NH	ОК	MS	SC	ТХ	IN	VA	AR	MD	ΡΑ	AZ	MA
I. ROADWAY SEGMENT DESCRIPTORS (To	tal nu	mber	of MII	RE Ele	ement	:s = 10	)6)		•	•			•	
I.a. Segment location/linkage variables (18)	9	9	8	9	11	7	14	8	5	8	12	11	10	12
I.b. Segment roadway classification (4)	3	2	3	3	4	2	3	2	2	4	3	4	3	4
I.c. Segment cross-section (39)	18	8	9	10	10	2	12	8	13	13	18	16	13	16
I.d. Segment roadside descriptors (13)	0	0	0	0	0	0	0	0	0	0	0	0	0	0
I.e. Other segment descriptors (4)	4	0	2	I	0	0	0	0	0	I	0	0	I	I
I.f. Segment traffic flow data (12)	5	2	0	I	5	I	7	I	0	2	2	7	5	2
I.g. Segment traffic operations/control data (15)	3	I	3	2	5	2	4	I	2	I	2	4	4	2
I.h. Other supplemental descriptors (1)	I	I	I	0	0	0	0	0	0	I	0	0	0	0
II. ROADWAY ALIGNMENT DESCRIPTORS	(Total	numl	ber of	MIRE	Elen	nents	= 13)		ı	ı	1	1	ı	
II.a. Horizontal curve data (8)	3	0	0	0	0	0	0	0	0	0	0	0	0	0
II.b. Vertical grade data (5)	I	0	0	0	0	0	0	0	0	0	0	0	0	0
III. ROADWAY JUNCTION DESCRIPTORS (Total number of MIRE Elements = 83)														
III.a. At-Grade intersection/junctions (58)	5	2	2	I	0	0	0	2	0	0	I	0	I	0
III.b. Interchange and ramp descriptors (25)	3	I	0	0	0	0	0	2	0	I	0	0	0	0
Total Number of Elements (202)	55	26	28	27	35	14	40	24	22	31	38	42	37	37

## Table 2. Number of MIRE elements in non-HSIS State databases.

#### SUMMARY OF MIRE WORKSHOP POLLS

FHWA hosted a series of workshops from November 2009 through January 2010 (via Webinar) to present the proposed MIRE elements and receive feedback from safety professionals and roadway data inventory specialists in State and local highway agencies. The MIRE elements were organized by topic and were presented in each of the three workshops: roadway segment and alignment variables, intersection-related variables, and interchange and ramp variables. For each of the workshops, the MIRE elements were grouped into several categories, and the participants were asked to respond to two questions:

- 1) Which elements are very important to your safety program/decisions?
- 2) Which elements will be very difficult to collect?

Table 3 through Table 5 summarizes the participant responses. Notably, most elements that the participants considered important to safety analyses were the ones identified as difficult to collect and were unavailable in the HSIS and non-HSIS data. This implies that States may lack critical roadway inventory data required to make effective decisions in highway safety management, further confirming the necessity for States to address these data gaps and overcome difficulties in their current data collection practices.

Descriptors Category	Elements Difficult to Collect	Elements Important to Safety Programs
Segment roadway classification and surface	<ul> <li>Surface friction</li> </ul>	• Surface friction
Lane	<ul> <li>Width of marked bicycle lane or bike path</li> </ul>	• Auxiliary lane length
Shoulder	<ul> <li>Sidewalk presence</li> <li>Rumble strip type</li> </ul>	<ul> <li>Right/left paved shoulder width</li> <li>Rumble strip presence</li> </ul>
Median	<ul> <li>Median rumble strip type</li> <li>Median (inner) paved shoulder width</li> </ul>	<ul> <li>Median shoulder rumble strip presence</li> <li>Median (inner) paved shoulder width</li> </ul>
Segment roadside	<ul> <li>Side slope roadside rating</li> <li>Roadside rating</li> <li>Roadside clear zone width</li> <li>Driveway information</li> </ul>	<ul> <li>Roadside clear zone width</li> <li>Driveway information</li> </ul>
Other segment descriptors	<ul> <li>Number of signalized intersections in sections</li> <li>RR grade crossing</li> </ul>	<ul> <li>Number of uncontrolled / other intersections</li> <li>Number of stop-controlled intersections in segments</li> </ul>
Traffic flow data	<ul> <li>Total daily two-way pedestrian count/ exposure</li> <li>Bicycle count/exposure</li> </ul>	<ul> <li>Hourly traffic volumes</li> <li>Percent combination trucks</li> </ul>
Traffic operations/ control data	<ul> <li>85th percentile speed</li> <li>Roadway lighting</li> </ul>	<ul> <li>85th percentile speed</li> <li>Roadway lighting</li> <li>Edgeline presence/type</li> </ul>

## Table 3. MIRE workshop poll summary for roadway segment descriptors.

Descriptors Category	Elements Difficult to Collect	Elements Important to Safety Programs
Horizontal curve data	<ul> <li>Curve superelevation or superelevation adequacy</li> </ul>	<ul> <li>Curve superelevation</li> <li>Curve identifiers and linkage variables</li> <li>Curve feature type</li> <li>Horizontal curve length</li> </ul>
Vertical grade data	· Percent of gradient	Percent of gradient

# Table 4. MIRE workshop poll summary for roadway alignment descriptors.

## Table 5. MIRE workshop poll summary for roadway junction descriptors.

Descriptors Category	Elements Difficult to Collect	Elements Important to Safety Programs
Intersection – general (identifiers)		<ul> <li>Location identifiers for road</li> <li>I &amp; 2 crossing point</li> </ul>
Intersection – general (geometry)	<ul> <li>Intersection skew angle</li> </ul>	<ul> <li>Intersection/junction geometry</li> </ul>
Intersection/junction – general (traffic control)	<ul> <li>Number of quadrants with limited sight distance</li> </ul>	<ul> <li>Traffic control</li> <li>Number of quadrants with limited sight distance</li> </ul>
Intersection/junction – general (circular intersections)	<ul> <li>Bicycle facility</li> <li>Circulatory width</li> </ul>	<ul> <li>Number of circulatory lanes</li> </ul>
Intersection approach	<ul> <li>Length of exclusive left/right turn lanes</li> </ul>	<ul> <li>Approach average annual daily traffic (AADT)</li> </ul>
Intersection approach	<ul> <li>Crossing pedestrian count/ exposure</li> <li>Signal progression</li> </ul>	• Approach traffic control
Intersection approach	• Left/right turn counts/ percent	<ul> <li>Left/right turn counts/percent</li> <li>Left/right turn prohibitions</li> </ul>
Intersection approach (circular intersections)	• Entry/exit radius	• Number of entry/exit lanes
General interchange descriptors	• Interchange lighting	<ul> <li>Unique intersection identifier</li> <li>Interchange type</li> </ul>
Interchange ramp	<ul> <li>Ramp AADT</li> <li>Ramp posted speed limit</li> </ul>	<ul> <li>Ramp AADT</li> <li>Unique ramp identifier</li> <li>Ramp length</li> </ul>

#### NCHRP SAFETY DATA COLLECTION SURVEY

NCHRP 20-5/Topic 36-03, NCHRP Synthesis 367: Technologies for Improving Safety Data appraised state-of-the-practice and state-of-the-art use of technologies for the efficient and effective collection and maintenance of roadway inventory, crash, and traffic operations data for highway safety analyses (8). Researchers obtained responses from 20 States, 11 of which are included in the list of 23 State databases reviewed for this study. The inventory data defined in the NCHRP Synthesis 367 survey included roadway structure elements, cross-sectional elements, geometric elements, traffic control devices, and pavement-related information.

Some of the key findings of this survey are as follows:

- Most States collect bridge and pavement elements using automated data collection systems.
- Some of the key design elements that are critical to safety analyses were missing in roadway inventory databases. For example, fewer than half of the responding States collected roadway geometric elements (i.e., horizontal and vertical curvature) comprehensively. Most States collect this information as samples or on an as-needed basis.
- While most States collected some cross-sectional elements pertaining to the lane, shoulder, and medians, other cross-sectional elements (e.g., barriers and cross slopes) were underreported. Data elements for signs, signals, and pavement markings were collected sporadically.
- Generally speaking, States collect the required data more comprehensively and efficiently for data collection programs that are Federally mandated (8).

The findings of this study are similar to the review of the 23 State databases discussed in the previous sections.

#### HPMS DATA INVENTORY

The HPMS is a national-level highway information system program that provides data on the extent, condition, performance, use, and operating characteristics of U.S. highways. The FHWA Office of Highway Policy Information manages the HPMS. Through the HPMS program, FHWA requires that States collect roadway characteristic data on Federal-aid highways and report those data to FHWA. Federal-aid highways include all functional systems excluding rural minor collectors and locals. Currently, the HPMS database contains over 110,000 highway sample segments. The major purpose of the HPMS is to support a data-driven decision-making process at the national, state and local level for meeting the Nation's transportation needs. FHWA uses the HPMS data for highway system performance assessment, condition and performance (C&P)

reporting, apportionment of Federal-aid highway funds, reporting of highway statistics, and other transportation related analyses.

Although safety programs should consider all public roads, and HPMS is only collected on a portion of the roadway network, there is often a strong correlation between HPMS data requirements and State highway agency data collection practices. In this sense, the HPMS data can help in understanding future trends in data availability in State databases. Additionally, the collection of these sample variables may lead to the collection of the same variables on a wider network basis.

The current HPMS database (HPMS 2010+) contains numerous datasets sorted into six different catalogs (8):

- Shapes This catalog stores geographic data for use in geospatial analyses and includes such features as routes and county and state boundaries.
- Sections This catalog stores the roadway attributes submitted by the States for the entire network or sampled sections.
- Summaries This catalog contains summaries of travel, highway system length, and demographic data for a defined area, such as a State, county, or urban area.
- References This catalog stores line reference data that identifies highway sections as the NHS, Strategic Highway Network (STRAHNET), and National Freight Network. It also contains point data to identify location and type of grade-separated interchanges on the Federal-aid system.
- Estimates This catalog stores information that can be used as inputs in FHWA's pavement deterioration models to describe the pavement condition estimates at the National or State level.
- *Metadata* This catalog contains data that captures and explains variability in the collection and reporting of traffic and pavement data in HPMS.

The roadway-section-level attributes reported in the Sections catalog are the primary interest for highway safety analysis. The section-level data in the catalog contain 63 data elements provided by the States describing the following attributes of a roadway section: inventory, route, traffic, roadway geometry, and pavement. In addition, the Sections catalog includes seven items calculated internally by the HPMS software, seven for sample panel identification, four reported by FHWA, and two coded by FHWA based on data provided by the States.

FHWA requires States to report the section-level data for all highway functional classes within the Federal-aid highway program and all NHS routes. States report a limited number of data elements within the Sections catalog for the entire system (referred to as *Full Extent* data items). States report more detailed information for samples of roadway sections (referred to as *Sample* data items). States select the samples randomly to represent various attributes at a system-wide level. The geographic coverage of Full Extent and Sample data items may vary with the functional classes within the Federal-aid Highway Program.

Of 70 data items (63 elements collected by States and 7 software-calculated elements) in the Sections catalog, the Full Extent dataset contains data items ranging from a maximum of 21 items for NHS sections to a minimum of 13 items for collector roads. The Sample dataset contains data items ranging from a maximum of 47 data items for rural minor arterial sections to a minimum of 38 items for NHS sections.

The researchers compared the HPMS dataset to MIRE Version 1.0 to identify common data items between the two systems. The HPMS Sample dataset covers up to 22 elements in the MIRE listing; however, because this dataset contains data for only a limited number of sampled sections, it does not cover all public roads. The Full Extent dataset includes 19 elements in the MIRE listing for all functional systems and for 7 elements for certain functional systems. Table 6 presents a list of MIRE data elements that are common to both the Full Extent and Sample datasets. The table indicates how many data elements these datasets can contribute to a potential MIRE MIS for any possible integration.

HPMS Full Extent Data Items	HPMS "Limited Full Extent" Data Items	HPMS Sample Panel Data Items
· Year_Record	· Route_Number	· At_Grade_Other
· State_Code	· Route_Signing	· Curves
· Route_ID	· Route_Qualifier	· Dir_Factor
· Begin_Point	· International Roughness	· Grades
· End_Point	Index (IRI)	· K_Factor
<ul> <li>Section Length</li> </ul>	<ul> <li>AADT_Single</li> <li>AADT_Combination</li> </ul>	· Lane_Width
· F_System		· Median_Type
· Urban_Code	· Access_Control	· Median_Width
· Urban Name		· Peak_Lanes
· County_Code		· Peak_Parking
· County Name		· Shoulder_Type
· Facility_Type		· Shoulder_Width_L
· Ownershp		· Shoulder_Width_R
· Through_Lanes		· Signal_Type
· HOV_Type		· Signals
· HOV_Lanes		· Speed_Limit
· Toll_Charged		<ul> <li>Stop_Signs</li> </ul>
· Toll_Type		· Surface_Type
· AADT		· Terrain_Type
		· Turn_Lanes_L
		· Turn_Lanes_R
		· PSR

#### Table 6. Commonality between the HPMS dataset and MIRE.

In this comparison, the Sample dataset was included to emphasize the capability of the States to collect certain data items, whether mandated or not. The review indicated the following:

- The HPMS contains MIRE data elements for segment location, linkage, classification, and cross-sectional descriptors for surface, lanes, shoulders, and medians. However, it does not contain specific data for surface friction, cross slopes, median side slopes, rumble strips for medians and shoulders, curbs, sidewalks, or bicycle facilities.
- The HPMS has route numbers, route signing, and route qualifiers in the Full Extent dataset for Interstates, other freeways, and principal and minor arterials; it does not require reporting for collector and local roads.

- International Roughness Index (IRI) is reported to Full Extent only for routes classified as Interstates and principal arterials, whereas the Pavement Serviceability Rating (PSR) is reported for Sample dataset sections on all urban minor arterials, urban and rural major collectors, and urban minor collector routes. The HPMS does not require a PSR value for a sample section if a measured IRI value is reported for that section.
- The HPMS does not include any MIRE data elements that describe roadside attributes.
- The HPMS contains data items for location, length, number of lanes, AADT, and functional classification data for ramps and interchanges.
- The HPMS contains traffic volume in the Full Extent dataset, while other traffic data items, such as the annual escalation based on future traffic forecasts, K-factor, directional factor, and truck traffic, are contained in the Sample dataset. The HPMS requires the State agencies to report motorcycle travel data as a percentage of total travel. However, it lacks information on pedestrians and bicycles.
- Although the HPMS includes some data items for traffic operations and control (e.g., speed limits and toll facilities), it lacks information on the presence of school zones, pavement markings, and lighting.
- In the HPMS, required Sample data include the number of horizontal curves within categories of degree/radius and the number of grades within categories of percent gradient. The States may submit the location and descriptors of each specific curve or grade within the sample section (as compliance with MIRE would require), but it is not required for the HPMS.
- The HPMS Sample dataset contains data items for signal type and percent green time, but only for the "governing" or "typical" intersection within a sample section. It includes the number of signalized, stop-controlled, and uncontrolled intersections in a sample section. However, unlike MIRE, it does not include an intersection database that contains inventory data on each approach to each intersection.
- The HPMS lacks information on circular intersections and pedestrian facilities.

## GAP ANALYSIS OF MIRE DATA ELEMENTS

The review of databases from HSIS and non-HSIS States, the MIRE workshop survey results, and the HPMS data requirements indicated that some MIRE elements are not collected or planned for collection. Most State databases have MIRE elements for roadway location, linkage, and classification categories. These databases contain most of the elements that describe surface, lanes, shoulders, and medians. However, they lack information on elements such as surface friction, presence of curbs, rumble strips, sidewalks, and bicycle facilities. While almost all databases contain traffic volume, only a few databases contain data elements that describe traffic growth, forecast, percent trucks, and directional factors. Only a few State databases contain information on basic alignment features, traffic operations, traffic control in intersections, and parking restrictions. Most databases lack information on roadside features, roadside hardware, pavement marking, lighting, intersections, interchanges, ramps, circular intersections, and in-depth alignment features. None of the databases contain information on traffic counts or facilities for pedestrians, bicycles, and motorcycles.

The research team identified the following MIRE Version 1.0 elements as gaps in traditional roadway inventories based on the review of existing data sources:

- Roadway Cross-Sectional Elements:
  - Cross slope.
  - Length of auxiliary lanes.
  - Presence/type of bicycle facility.
  - Width of bicycle facility.
  - Number of peak period through lanes.
  - Type of rumble strips on shoulders and medians.
  - Type of curbs on shoulders and barriers on medians.
- Roadway Alignment Description:
  - Direction, degree, transition, and deflection angle of horizontal curves.
  - Length of vertical curves.
  - o Curve and grade identifiers.
  - Percent grades.
- Roadside Elements:
  - Clear zone width and side slopes.
  - Lighting on roadways, intersections, interchanges.
  - Driveway counts.
  - Roadside rating.
- Traffic Flow and Operations/Control:
  - Edgeline presence.
  - o Centerline presence and centerline rumble strips.
  - Speed limits trucks, night time travel, mean speed, and 85th percentile speed.
  - Passing zone percentage.

- Pedestrian/Bicycle Related Information:
  - Pedestrian facilities sidewalks & crosswalks.
  - Bicycle lanes and bike paths.
  - Pedestrian and bicycle counts on through lanes and intersections.
  - Pedestrian and bicycle facilities at intersections and circular intersections.
  - o School zone indicator on through lanes and junctions.
  - Pedestrian signals.
- Intersections and Circular Intersections:
  - Intersection identifiers (e.g., intersection milepost or coordinates).
  - Geometry of intersection number of legs, geometry, offset distance.
  - Cross-sectional elements at junctions medians, splitter island, rumble strips.
  - Geometry of circular intersections number of entry, exit and circulatory lanes, entry and exit radius, width of entry, exit, and circulatory lanes.
  - Traffic control at intersections and circular intersections.
  - AADT at non-State crossing routes.

In general, HPMS includes data elements that describe the administrative, cross-sectional, and traffic characteristics of highways and their condition. Similarly, the databases of HSIS and non-HSIS States have data categories pertaining to vehicular traffic only. This could be the reason why these databases have very little or no specific information on exposure and facilities for pedestrians, bicycles, and motorcycles.

The coverage of MIRE elements in the States' databases is similar to that of the HPMS. There is a strong correlation between the data availability in most State databases and HPMS reporting requirements. Although the HPMS has a limited number of samples from the entire roadway network, the review of HPMS gives an indication of what data the States may have in their databases and their capability to collect these elements. This observation is similar to a conclusion drawn in *NCHRP Synthesis 367*—that Federally mandated data programs, such as HPMS and those for bridge structures and railroad crossings, have a strong effect on the States' comprehensive collection of data (8). This study also concluded that States have adopted efficient data collection methods where Federal programs required more comprehensive data. For instance, most State databases contain information on pavement condition and traffic volume, whereas they lack information on pedestrian facilities and circular intersections. The HPMS and similar Federally mandated programs (e.g., the Traffic Monitoring System [TMS]), require States to report on pavement conditions and traffic volumes but not on pedestrian facilities or circular intersections.

The review of databases from HSIS and non-HSIS States indicated that these databases lack information on various safety elements, such as pavement markings (including centerlines and edgelines), traffic signals, and roadway lighting. There is a possibility that these elements might exist in other data inventories maintained by the States. In addition, technological advancements in data collection methodologies could aid State agencies in filling the data gaps for MIRE.

## **CHAPTER 5: POTENTIAL SUPPLEMENTAL DATA SOURCES**

The research team explored supplemental data sources that potentially could be used to obtain MIRE data elements. Examples of supplementary databases include pavement management systems, sign management systems, and other asset inventories. This exploration focused primarily on roadway inventory data and does not include sources of traffic counts. FHWA provides information on traffic data collection in the Traffic Monitoring Guide (TMG) (10). FHWA is currently updating the TMG with updated methodologies and expanded count types (e.g., pedestrian and bicycle counts).

## **PAVEMENT MANAGEMENT SYSTEMS**

Most States maintain a pavement management system (PMS) database. According to a 2004 survey on State PMS practices, typical PMS data elements include pavement type, shoulder type, pavement width, shoulder width, layer thickness, and number of lanes (11); these are also MIRE data elements. Figure 1 presents the frequency of specific data elements that States maintain in PMS databases. Figure 2 presents the frequency of pavement condition data in these databases, which include MIRE elements such as roughness, friction, and pavement condition.

About 80 percent of the 2004 PMS survey respondents indicated they collect surface friction data (11). Surface friction data, identified as a gap in the HSIS and non-HSIS databases, can thus be obtained from PMS.

Several States measure pavement surface friction at the network level for a variety of reasons, including simple evaluations of crash rates, detailed crash analyses, restoration of friction performance, and friction-related design strategies. The frequency or extent of data collection may be determined by factors such as prioritizing testing sites based on special request from their maintenance unit, local concerns, crash rates, or scheduled measurements for a network-level friction management program. States store the collected information primarily for inhouse use, and this information may not be available in the public domain. State agencies developing roadway inventory for MIRE can coordinate within their agency, as necessary, for friction data.

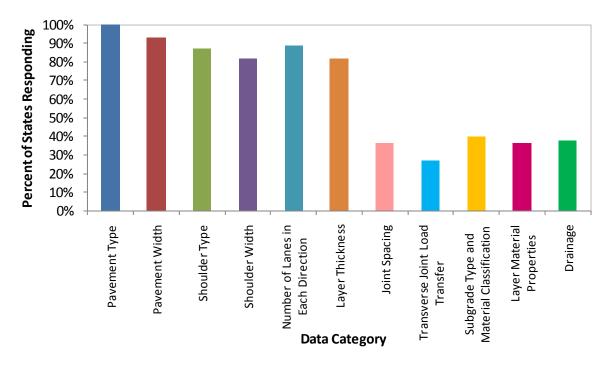
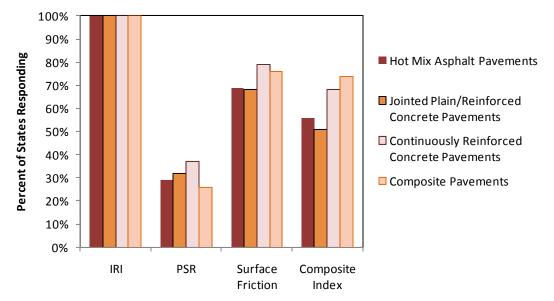


Figure 1. Frequency of data elements in pavement management inventory (11).



Note: Percentages calculatd by dividing the number of States that collect each type of data by the number of States that have that type of pavement.



#### **ROADWAY HARDWARE MANAGEMENT SYSTEMS**

AASHTO conducted a survey in 2000 to evaluate States' roadway safety hardware asset management systems. The AASHTO study, as reported by Hensing and Rowshan, included the following categories of assets in the survey (12):

- Roadway signs.
- Signals.
- Roadway lighting.
- Supports and structures for signs, signals, and lighting.
- Guardrails, barriers, and crash cushions.
- Pavement markings and treatments.
- Detectors.

Of the 40 State agencies that responded to the AASHTO survey, only one-third use hardware management systems. Table 7 presents the number of States that have an inventory system for the seven roadway hardware assets listed above. The table also provides the number of States that track the location of all or some or none of the inventoried items. For instance, of the 25 States that have an inventory for signs, 15 track the location of all inventoried items, while 7 others track the location of only some items, and the remaining 3 do not track any items at all. Similarly, of the 14 States that have an inventory for lighting assets, 9 track the location of all items, 3 track some items, and 2 do not track any items.

# Table 7. Number of States that have an inventory and tracking system for roadway hardware assets (12).

Inventory	States Having an Inventory	States Tracking Location of All Inventory Items	States Tracking Location of Some Inventory Items	States Not Tracking Location of Any Items
Signs	25	15	7	3
Signals	26	24	2	0
Lighting	14	9	3	2
Supports	19	9	9	I
Guardrails	19	13	2	4
Pavement Markings	21	9	9	2
Detectors	17	12	3	2

Figure 3 shows the data collection methods the States reported that they used to develop their hardware asset inventories. As shown, States collect an overwhelming majority of the data through "manual surveys." This study selected eight States for case studies on roadway safety hardware management systems to provide information to other State agencies that would help increase their use of state-of-the-practice techniques. It reviewed the agency practices that use fully or semi-integrated asset management systems leading to higher-level databases and presented two State models—New Mexico and Virginia—in detail.

#### Figure 3. Methods used for original inventory of roadway hardware assets (12).

Table 8 summarizes the roadway hardware asset data collected in States included in the AASHTO study (12). For example, Virginia uses the Inventory and Condition Assessment System (ICAS) to collect inventory and condition data for all roadway assets that exist within the State's highway fenceline boundaries. Virginia captures a global positioning system (GPS)-referenced digital videolog along every segment of highway for ICAS and uses these data to develop centerline coordinates of the roadway network.

Inventory	NM RFI	VA ICAS	CA IMMS	FL RCI	GA HSMS	MD GIS	MD TSIIM	MN AFMS	TN TRIMS
Signs	✓	✓		✓	~				✓
Signals	~	~	✓	~		✓		✓	
Lighting		✓	✓	✓			✓	✓	
Supports	~	✓		✓			✓		
Guardrails	~	✓		~					~
Pavement Markings	~	~		~					~
Detectors		~	✓	~					

#### Table 8. State collection of roadway hardware asset inventory (12).

Note:

RFI- Road Feature Inventory

ICAS-Inventory and Condition Assessment System IMMS-Inventory Maintenance Management System RCI -Roadway Characteristics Inventory HSMS-Highway Sign Management System TSIIM-Traffic Structure Inventory Inspection and Maintenance AFMS-Automated Facilities Management System TRIMS-Tennessee Road Information Management System

The New Mexico State Highway and Transportation Department has two primary asset management systems that are fully integrated into a single asset management system: the Road Feature Inventory (RFI) and the Highway Maintenance Management System (HMMS). The RFI system includes an extensive database on the entire New Mexico roadway system, while the HMMS includes information on highway labor, equipment, commodities, and maintenance management processes. New Mexico uses a video system to capture roadway assets and manually extracts detailed data for each asset from the video image. The data collection van is fully equipped with cameras, computer equipment, and lasers to capture pavement conditions and road geometry.

Markow explored the state of the practice for managing transportation infrastructure assets other than pavements and bridges for the NCHRP Project 20-05/Topic 37-03, NCHRP Synthesis 371: Managing Selected Transportation Assets: Signals, Lighting, Signs, Pavement Markings, Culverts, and Sidewalks (13). This study collected and synthesized information on managing selected infrastructure assets, such as traffic signals, lighting, signs, pavement markings, drainage culverts and pipes, and sidewalks. Table 9 summarizes survey responses from State and city agencies, indicating whether the respondents have a separate management system for a given asset type or an inventory that is part of a broader system covering several assets. Examples of such broader systems include the maintenance management system (MMS) or the transportation infrastructure asset management system, while other States have a broader asset management system that includes an inventory of roadway lighting. This study indicated that many agencies, if

not all, have location information in their inventories that could be useful in any possible data integration for MIRE.

Inventory	States/Cities that Have Separate Management Systems	States/Cities that Include Their Maintenance/Asset Management System
Signs	AR, MI, SC, VT, CO (Region 2), Dakota County, NE, City of Tampa, FL	FL, NM, NC, ND, UT, CO(Region 5), City of Cape Coral, FL City of Portland, OR
Signals	MI, MN, NC, OH, OR, CO (Region 4)	MD, NM, OH, OR, VA, CO (Regions I and 5), City of Portland, OR
Lighting	CO (Region 4)	FL, IO, MN, OH, OR, CO (Regions 1, 3 and 5), City of Portland, OR
Pavement Markings	IA, KS, MN, OH, CO (Region 4), City of Tampa, FL	FL, MD, NM, NC, OH, TX, UT, Dakota County, CO (Regions 2 and 5), NE, City of Portland, OR

Table 9. Inventory of selected assets (	(13	).
	,	, ·

The Idaho Transportation Department has developed a new system called Guard Rail Management System (G-Rail). The G-Rail system uses Microsoft Access Visual Basic<sup>T</sup> as an interface between the photo log data sources and the guardrail inventory database. The system uses a van that is capable of collecting high-quality images, GPS location data, and the distance traveled. Idaho is in the process of implementing an enterprise-wide data system that connects all of its business functions with available information. Roadside features included in this system are guardrail, signs, sign structures, culverts, railroad crossings, bridges, and approaches (14).

#### SUPPLEMENTAL DATABASES

Overall, the review of supplemental databases indicates that these could serve as an excellent source of information for MIRE data. For instance, a majority of DOTs collect surface condition data that are not present in the HSIS and non-HSIS databases. Some agencies also store rumble strip information in their databases. Similarly, some DOTs maintain separate databases for other roadway elements like signs, signals, lighting, and pavement markings. The AASHTO survey conducted in 2005 indicated that only one-third of responding DOTs use hardware management systems (12).

It should be noted that the information on supplemental databases, as extracted from available published literature, is limited. Future research should focus on how many States collect and maintain inventories of supplemental information (e.g., physical assets). Key details of these

databases, such as their attributes, structure, and the ability to link with other data inventories, remain largely unknown. An extensive survey of such supplemental databases would be required to ascertain what data are being collected and how they are stored.

While large volumes of data may exist at State and other agencies, the data managers may store these data in a wide variety of databases, reports, and other documents. Furthermore, disparate groups within each agency may be collecting or maintaining these various data sets. There are significant technological and institutional barriers to the cost-effective mining of these data sources for information applicable to safety. Additionally, the accuracy of the data will vary widely based on the method of collection, method of data mining, and the overall age of the datasets being used. Field data collection will be required to collect data not found in existing datasets and may be more effective in some cases where data already exist.

# CHAPTER 6: TECHNOLOGIES FOR COLLECTION OF ROADWAY INVENTORY DATA

#### **NEED FOR ADVANCED TECHNOLOGIES**

As noted previously, many of the data gaps that were identified correspond to data elements that the MIRE workshop participants considered difficult to collect. The use of advanced technologies could help fill the data gaps for safety analyses. The expert scan team that proposed the need for MIRE also recommended the increased use of validated automated technologies that can collect roadway and roadside data at highway speeds to improve the quality of safety data.

The use of automated technologies can be cost-effective over time by minimizing the need for labor-intensive and time-consuming processes associated with manual methodologies. Considering the fact that data collection is expensive, the automated technologies can help integrate the data needs of various internal business units within an agency and minimize replication of the process. For instance, a mobile mapping system can be used to collect information for pavement management and roadside inventory effort with a single data collection operation. The use of these technologies could also help manage some inadequacies in existing practices, such as the lack of precision measurement and reporting, lack of automated tools, inadequate coverage of the roadway network, incomplete data or missing data elements, and integration issues among databases (15).

## DATA COLLECTION AND PRESENTATION TECHNOLOGIES

Highway agencies invest a significant amount of resources to collect, maintain, and update roadway inventories, and they employ a host of methods and technologies for data collection to suit their inventory requirements. Many agencies currently deploy semi-or fully automated systems—such as the mobile mapping systems or light detection and ranging (LIDAR)—for roadway inventory data collection.

With technological advancements, the use of high-resolution satellite imagery in transportation applications has gained widespread attention. Though not a data collection technology, Keyhole Markup Language (KML)-based geobrowsers are increasingly being used as visualization tools for better presentation in roadway inventory applications.

While there is an array of technologies available for roadway inventory data collection and processing, the usefulness of inventory data significantly depends on the data accuracy of both georeference and descriptive information obtained from these technologies, the economic viability of data collection, and post-processing efforts. The conventional wisdom from the comparative studies reported in the literature indicates that no single technology can collect all

roadway inventory elements efficiently (8, 16, 17). For instance, digital imaging appears to be promising for filling the MIRE data gaps; however, it still requires labor-intensive methods to identify false positives and missed assets and produce good results. Furthermore, the level of precision for MIRE data elements is largely undefined at this point. In other words, the sensitivity of data precision levels (as collected using various techniques) to safety analysis is unknown.

The following section provides a discussion on various existing and emerging data collection technologies.

#### Mobile Mapping Systems

A mobile mapping system is a specially instrumented vehicle that has the flexibility to accommodate a wide array of sensors to collect georeferenced and descriptive data, as well as computers to synchronize the data collection activities and store the data. These vehicles can collect data at near highway speeds with a high degree of accuracy. They are usually configured with a combination of technologies for georeferencing that include differential GPS, distance measuring instruments (DMI), and inertial navigation systems (INS). Differential correction techniques are also applied to enhance the quality of location data gathered using GPS receivers in real time or during post-processing. INS uses accelerometers and gyroscopes that measure vehicle pitch, roll, and heading, which are then converted into roadway alignment and superelevation data.

Some systems are equipped with additional sensors to collect pavement condition and roadside inventories at highway speeds. Typical technologies include laser-based road profilers (for measuring pavement roughness), pavement imaging systems (for measuring pavement distress), scanning lasers (for measuring transverse profile/rutting), GPS, and macro-texture sensors. Agencies can also use optical character recognition for the classification of features like guardrails and signs. FHWA's Digital Highway Measurement System (DHMS) involved an instrumented vehicle that used this approach (18).

The mobile mapping systems are typically configured with digital video cameras to collect highresolution stereo images for roadway inventory. The use of digital video cameras or videologs allows an agency to store and analyze captured images with ease on computers with no further need for digitization. Users can enter descriptive data into the system by viewing the images, with a lower risk of errors caused by digitization.

The collected data are processed using photogrammetric software packages to obtain the desired georeferencing and descriptive data elements for the items being inventoried. Image processing packages are also used to detect and extract the selected inventory data automatically from the digital images. These packages have been used successfully in processing selected pavement distresses, traffic monitoring images, and traffic signs with a high level of accuracy (19-22).

#### LIDAR

LIDAR is an optical remote sensing system that utilizes an airborne or terrestrial platform integrated with GPS, precision INS, laser range finders, and high-speed computing for data collection. The integrated system is capable of acquiring data to produce accurate digital elevation models. In a LIDAR system, a laser scans the footprint of an object using optical pulses that are transmitted, reflected off the object, and returned to a receiver. The receiver measures the travel time of the pulse accurately from its start to its return. The GPS measures the range, angle, and position of the laser, and the INS measures the laser orientation (23). Figure 4 presents the schematic representation of an airborne LIDAR system.



LASER-SCANNING

Figure 4. Schematic representation of the airborne LIDAR system.

#### Airborne LIDAR

The airborne LIDAR systems, also called *airborne laser swath mapping*, can collect data at high altitudes and, thus, can cover even remote locations relatively quickly. Applications like flood mapping, coastal zone mapping, and atmospheric studies use airborne LIDAR systems, but the applications in highway engineering are limited.

LIDAR produces high-resolution three-dimensional (3D) surfaces whose accuracy depends on the density of cloud points. The cloud point collected from LIDAR can be as dense as millions of points per square mile, depending on the point spacing (distance between two points), pulse repetition rate, flying height, and flying speed. The airborne systems can produce elevation models with an accuracy of 2 inches and point spacing (indicator of horizontal accuracy) as small as I foot. These accuracy standards may not be adequate for typical roadway inventory purposes. A higher cloud density may have useful applications but involves considerable time and cost for data collection and processing.

With LIDAR, a significant data processing effort is required to generate digital elevation models using automated processes. Several software packages are available in the market that process LIDAR cloud point data. Fernandez et al. provide a comparison of the processing capabilities of various software packages available in the market (24). Typical operations performed on LIDAR data include georeferencing, gridding, data visualization, measurement of distances and angles, primitive fitting of geometric shapes, segmentation, classification, merging of several point clouds of the same object, and filtering. Inventory applications require some manual editing and quality control.

In its current form, the true potential of airborne LIDAR in highway applications appears to be a supplemental form of traditional mapping practices. Unable to completely replace existing methods, the airborne systems only find increasing utility in roadway inventory applications when combined with traditional photogrammetry (25-29).

#### **Terrestrial LIDAR**

Terrestrial LIDAR systems use a motorized vehicle instrumented with lasers, GPS, and INS. The Centre for Applied Remote Sensing, Modeling, and Simulation (CARMS) at the University of Victoria, British Columbia, released a white paper on the various applications of LIDAR data and the general state of the LIDAR market and commercial vendors (30).

There have been several demonstrations on the use of terrestrial LIDAR for inventory data collection (31). Oregon tested a system on approximately 77 miles of highway on Interstate 5 to collect an inventory of roadside features to support various safety improvements and pavement projects. Tennessee has implemented a terrestrial LIDAR-based survey for its entire State-maintained network. A commercial vendor scanned a 6.6-mile stretch of an urban Interstate in Kansas. Similar use of terrestrial systems has been demonstrated in the U.K. and Denmark (32). Washington State DOT conducted a field pilot study to collect empirical data on the feasibility of collecting inventory information using terrestrial LIDAR. The pilot study demonstrated the potential positive impacts on business processes, and it also highlighted the need for best practices documentation for using mobile LIDAR to ensure consistent and accurate results (33).

The Minnesota DOT conducted a survey to find out which U.S. and Canadian agencies were seriously considering an investment in mobile laser systems, as well as those that already have purchased mobile scanner technology (34). The survey indicated that only Florida has purchased a terrestrial LIDAR system; Oregon and the Province of British Columbia have used this system for surveying or highway inventory purposes.

The literature provided by terrestrial LIDAR vendors indicates that these systems can collect typical MIRE elements, such as signs, pavement markings, and lighting (35-38). However, there is no peer-reviewed literature or proven track record to demonstrate the capability or evaluate the accuracy of terrestrial LIDAR. The utility of terrestrial LIDAR systems in roadway inventory collection is expected to be seen in the near future.

#### **Satellite Imagery**

High-resolution satellite imagery holds potential for inexpensive, real-time collection of largearea roadway inventory elements. The digital images captured using satellite imagery can be used for collecting data involving plan view measurement and feature recognition.

Hallmark et al. conducted a pilot study on a 4.1-mile corridor with four panchromatic<sup>1</sup> imagery datasets with resolutions of 2, 6, 24, and 40 inches for feature recognition, accuracy of linear measurements, and positional accuracy of roadway inventory elements (*39*). The feature recognition elements included signs, traffic signals, geometric design of intersections, lane elements, roadside hardware, cross-sectional elements, pedestrian facilities, and bicycle facilities. This study included roadway elements similar to those included in the MIRE listing. The elements identified from imagery sets of varying resolutions were then compared with those identified in the field. The study found that the elements identified in the high-resolution datasets (2 and 6 inches) were consistent with those in the field, while there was a significant reduction in the number of features identified and higher measurement errors in the low-resolution datasets (24 and 40 inches). This study gives an indication as to the requirements of image resolution appropriate for roadway inventory data collection.

The positional accuracy of satellite images obtained from IKONOS, a commercial earth observation satellite launched in 1999, provided a spatial resolution of 40-inch panchromatic and 160-inch multispectral imagery<sup>2</sup> in 7-mile swaths. The resolution of these images provided only a handful of data elements; thus, these images are inadequate for inventory applications (17). Launched in 2008, the GeoEye-I satellite provides a spatial resolution of 16.14-inch panchromatic and 65-inch multispectral imagery in 9.44-mile swaths. These images can yield geopositioning accuracy of close to 4 inches in planimetry (i.e., the measurement of plane areas) and 10 inches in height through the use of a single ground control point (40). However, the feasibility of using GeoEye-I imagery for roadway inventory purposes is yet to be established.

<sup>&</sup>lt;sup>1</sup> An image collected in the broad visual wavelength spectrum range (i.e., wavelengths from about 390 to 750 nm) but rendered in black and white.

<sup>&</sup>lt;sup>2</sup> A multi-spectral image is one that captures image data at specific frequencies across the electromagnetic spectrum. The electromagnetic spectrum extends from low frequencies used for modern radio to gamma radiation at the short-wavelength end, covering wavelengths from thousands of kilometers down to a fraction of the size of an atom.

The positional accuracy of satellite imaging is expected to improve in the foreseeable future. Planned to launch in 2013, the GeoEye-2 satellite is expected to provide better images with a panchromatic resolution of 10 inches. The resolution of GeoEye-2 imagery may still be inadequate for routine inventory data collection purposes, but it can be used in conjunction with aerial or LIDAR imagery to produce better terrain models with minimal editing.

Oblique Aerial View (OAV) imagery is fast emerging as a supplement for orthoimagery. Orthoimagery is a map-quality aerial image corrected for topographic relief, lens distortion, and camera tilt. The biggest difference between OAV images and normal oblique images used in orthoimagery is perspective, with the latter offering more of an overhead image of a ground feature compared to the former. OAV finds applications in image analysis by providing multiple angled views of ground features and helps mitigate some of the limitations of traditional orthoimagery. While several commercial vendors offer this technology, information on largescale, network-level implementation is yet to be seen.

While the satellite imagery provides an economic advantage to users, the available image resolution capacities often are inadequate for roadway inventory applications. However, the potential for satellite imagery applications is expected to improve as the technology improves. Nevertheless, these applications require significant post-processing and manual manipulation of data.

#### Applications of Keyhole Markup Language (KML) and Geobrowsers

KML is a file format that is used to display geographic data in a geobrowser. A geobrowser is a 3D virtual representation of the Earth that allows the superimposition of images obtained from satellite imagery, aerial photography, and geographic information system (GIS) 3D globe. Examples of geobrowsers include Google Earth, ESRI ArcGIS Explorer, Microsoft Virtual Earth, and the National Aeronautics and Space Administration (NASA) World Wind.

Geobrowsers use a coordinate system based on the World Geodetic System of 1984 (WGS84) for latitudinal and longitudinal components and the Earth Gravitational Model of 1996 (EGM96) Geoid vertical datum for altitude. Many browsers offer free services to view surface terrain, satellite imagery, and maps, and their commercial editions include expanded functionalities to host information internally on an agency's own servers and datasets, as well as to use advanced geocoding capabilities with greater volume and speed in a secure domain.

The application of KML and geobrowsers would serve primarily as a visualization tool for elements in the MIRE listing. Once an agency completes data collection efforts, the agency can use these tools to enable geographic annotation and intuitive visualization of geospatial data. Agencies can also use these applications for overlaying different data sources, including the roadway network, pavement condition, sign and signal inventories, bridge inventory, traffic data, etc.

The California DOT's (Caltrans') Digital Highway Inventory Photography Program (DHIPP) uses Google Earth with the imagery and elevation data for a wide variety of applications, such as an intuitive visualization of survey control points, asset management, right-of-way record maps, and real-time traffic conditions (41). Figure 5 shows an image from Caltrans' DHIPP showing roadway features of interest to MIRE. The Advanced Highway Maintenance and Construction Technology Research Center (AHMCT) at the University of California, Davis, is working on identifying and recommending best practices in roadway inventory for Caltrans. As part of this study, researchers are expected to develop a software-based asset management tool for tracking inventory of roadside features using a KML client (42). Similarly, HPMS is developing a data visualization using Microsoft Bing maps.



Figure 5. Caltrans DHIPP image showing roadway features (41).

## USE OF AUTOMATION IN ROADWAY INVENTORY DATA COLLECTION

Prior to promoting the use of automated systems or other advanced technologies for collecting MIRE data elements, it is important to assess the extent of use and application of automated data collection by various State agencies. Most State agencies employ some form of automation to serve a particular purpose but have not yet extended it to other objectives. For example, many State agencies use automated data collection systems for pavement management purposes. This project did not determine whether these agencies use such systems for collecting inventory of roadside hardware or signs.

Under NCHRP Synthesis 20-05/Topic 34-04, NCHRP Synthesis 334: Automated Pavement Distress Collection Techniques, survey responses were collected from 43 State highway agencies, 2 FHWA offices, 10 Canadian provinces or territories, and Transport Canada regarding their automated data collection processes (19).

Table 10 presents the number of respondents utilizing automation for data collection using either images or sensors for different pavement distresses. As shown in the table, the respondents overwhelmingly use automation techniques for collecting pavement distress and condition data.

Distress	Agency	Vendor	Total
Cracking	10	20	30
Roughness	31	23	54
Rutting	30	21	51
Joint Faulting	21	12	33

Table 10. Number of agencies using	g automated pa	avement distress data co	ollection.
------------------------------------	----------------	--------------------------	------------

NCHRP Synthesis 367 found a similar trend (8). Over half of the responding States reported the use of specialized vans to collect pavement data. However, the States used the collected data only for pavement management applications. Although several States use automation techniques for collecting pavement distress or condition data, fewer use these advanced technologies for collecting other roadway inventory data. NCHRP Synthesis 367 found that only four States use videologging for collecting cross-sectional elements (e.g., barriers and clear zone maintenance), while others conduct field surveys using pen and paper, and still others do not collect these data elements at all (8).

Similarly, of the States who collect sign and pavement marking inventories, *NCHRP Synthesis 367* found that most use as-built plans or videologs to obtain this information (8). Colorado and Washington use GPS technology for their sign inventories, while four other States collect retroreflectivity data for pavement markings and inventoried signs. Seven of the responding States indicated that they use sensor technology to collect geometric data elements (e.g., roadway grades and cross slope), while seven others extract these data from as-built or construction plans. Collection of geometric alignment data involves post-processing raw spatial data using specialized software packages. For instance, Florida estimates horizontal curvature information by processing centerline data using its GIS application, and Massachusetts estimates vertical alignment data using its GIS application. Five of the responding States use videologging for geometry data, two States use pen and paper, and two States do not collect this information at all.

DeGray and Hancock synthesized the practice of ground-based imaging technologies in the six New England States (43). These States collect and extract several roadway elements (typical of those in the MIRE list) from imagery for inventory purposes. This study discussed the economic viability issues in implementing these systems. As every State is concerned with a cost-benefit ratio, small and predominantly rural States, such as New Hampshire, will typically see the least "bang for their buck" and may be inclined to keep the current data collection systems in place. This study also addressed the issue of underutilizing systems like videologging and the need to demonstrate its potential to users. The authors observed that the maximum effectiveness of advanced systems could be realized in a single, well-managed, well-promoted data collection system that provides nearly all of the data collection needs of the State at a relatively low cost. While these systems can generate large volumes of data in relatively short lengths of time with limited field exposure, they also require fairly large volumes of labor to extract useful data from the raw field files. Even well-researched systems that perform automated pavement distress ratings require significant operator supervision to resolve issues that are not addressed by the processing algorithms. This situation is far more pronounced as the data extraction moves from pavements to 3D environment assets, such as signs and guardrails. While the effort to collect data by automated means is far safer and less time-consuming than manual field surveys, it is still a major undertaking.

In summary, the literature review indicates that the application of advanced technologies is limited to pavement management and videologs. However, some States have demonstrated the use of these systems for collecting other roadway elements, such as alignment features, signs, pavement markings, and guardrails. The obstacles to using these technologies are both technical and institutional. The technical difficulties include data accuracy issues and automatic information extraction from images, and the institutional challenges include a mediocre costbenefit ratio and under-utilization.

# CHAPTER 7: COMPATIBILITY OF COLLECTION TECHNOLOGIES WITH MIRE ROADWAY ELEMENTS

There a variety of existing and emerging technologies that an agency can employ to collect data elements that are compatible with those included in the MIRE listing. Multiple factors come into play in the selection of these data collection technologies, including cost, availability of skilled personnel, institutional challenges, and policies. To help identify appropriate technologies for MIRE, the project team categorized the data elements into different groups based on the characteristics/type of data:

- Administrative (route number, functional class, etc.).
- Surface measurement elements (surface friction, roughness and pavement condition).
- Shape elements (curb type, hardware descriptors, lighting, etc.).
- Sign elements (school zone indicator, speed limit, on-street parking presence, etc.).
- Visual identification elements (surface type, rumble strip type).
- Marking elements (edgeline, crosswalk presence, median left-turn lane type, etc.).
- Plan view identification (curve identifiers, number of through lanes).
- Plan view measurement elements (interchange type, shoulder width, etc.).
- Traffic elements (AADT, bicycle count, etc.).
  - FHWA provides information on traffic data collection in the TMG (10) and is currently revising it with updated methodologies and expanded count types (e.g., pedestrian and bicycle counts); traffic count collection methodologies will not be covered in this section.

These categories define the type of information needed for an element (e.g., visual identification, shape, measurement, etc.) and the functional requirements for a technology to collect such information. For example, a sign indicates the presence of on-street parking, and the technology used should be capable of recognizing the location and description (i.e., the content) of the sign. Similarly, for collecting the on-street parking type, the surface marking or striping defines the attributes (i.e., angle or parallel). Surveyors should identify the surface type (e.g., asphalt or concrete) and the rumble strip type (e.g., milled or rolled) from either high-resolution imagery or an onsite assessment. The technology that is used for collecting such elements should be able to identify surface markings. Aerial imagery could be capable of identifying striping but not the content of a sign.

It should be noted that the elements in the list above are not mutually exclusive. Some elements can be defined by two or more categories, depending on the required information.

For instance, location identifiers can be obtained from spatial coordinates, as well as signs. Similarly, the presence of HOV lanes can be obtained from pavement striping, signs, and plan view measurements.

The following four existing and emerging technologies were evaluated for their ability to collect the required information for MIRE:

- Ground-based imagery (e.g., mobile mapping systems).
- Aerial imagery.
- Airborne LIDAR with imagery.
- Terrestrial LIDAR.

Table 11 shows whether a particular technology has the potential to collect a certain type of data. Note that there is no additional data collection (indicated by *N.A.*) needed for administrative and pavement condition data items, as these elements are either already available within agencies that manage the roadway network or already being collected by the same agency for other purposes. For example, an agency can use information on pavement condition for roadways within its jurisdiction on which it already routinely collects the same information for asset management purposes.

For the shape category, where the attributes of the elements can be recognized from their shape, elements like curb type, barrier type, roadside hardware, and lighting can be recognized by all four technologies. However, there is a significant amount of post-processing involved in using these technologies where these elements have to be manually tied with imaging/LIDAR data. Automation may help to reduce the labor required in these efforts, but the problem is not a trivial one to solve, given the number of variables involved. Because the raw data must be collected from a moving platform, the imaging angle will vary greatly. Additional variables induced by weather conditions, sun glare, material variability, partial obstruction due to vegetation, passing traffic, etc. create major obstacles to automation. The trained human eye is able to manage these conditions with relative ease.

The primary problem of collecting sufficient data to locate and classify assets has largely been solved. The cost-effective reduction of these data into a database of classified and attributed assets remains a work in progress. Using these technologies requires a laborious and time-consuming effort to collect data on smaller "shape" elements, such as signalization type or pedestrian signal special features. Information on median type can be obtained from their shape and plan view.

MIRE Element No.	MIRE Data Elements	Туре	Ground- based Imaging	Aerial Imaging	Ground- based LIDAR	Airborne LIDAR with Imagery	Remarks
I	County Name	Administrative	N.A.	N.A.	N.A.	N.A.	
2	County Code	Administrative	N.A.	N.A.	N.A.	N.A.	
3	Highway District	Administrative	N.A.	N.A.	N.A.	N.A.	
4	Type of Governmental Ownership	Administrative	N.A.	N.A.	N.A.	N.A.	
5	Governmental Ownership	Administrative	N.A.	N.A.	N.A.	N.A.	
6	City/Local Jurisdiction Name	Administrative	N.A.	N.A.	N.A.	N.A.	
7	City/Local Jurisdiction Urban Code	Administrative	N.A.	N.A.	N.A.	N.A.	There is no
8	Route Number	Administrative	N.A.	N.A.	N.A.	N.A.	additional collection
9	Route/Street Name	Administrative	N.A.	N.A.	N.A.	N.A.	needed for
10	Begin Point Segment Descriptor	Administrative	N.A.	N.A.	N.A.	N.A.	these elements (N.A) as this
11	End point Segment Descriptors	Administrative	N.A.	N.A.	N.A.	N.A.	information is
12	Segment Identifier	Administrative	N.A.	N.A.	N.A.	N.A.	already available with an agency
13	Segment Length	Administrative	N.A.	N.A.	N.A.	N.A.	for roadways within its
14	Route Signing	Administrative	N.A.	N.A.	N.A.	N.A.	jurisdiction.
15	Route Signing Qualifier	Administrative	N.A.	N.A.	N.A.	N.A.	
16	Coinciding Route Indicator	Administrative	N.A.	N.A.	N.A.	N.A.	
17	Coinciding Route – Minor Route Info.	Administrative	N.A.	N.A.	N.A.	N.A.	
18	Direction of Inventory	Administrative	N.A.	N.A.	N.A.	N.A.	
19	Functional Class	Administrative	N.A.	N.A.	N.A.	N.A.	
20	Rural/Urban Designation	Administrative	N.A.	N.A.	N.A.	N.A.	

 Table II. Potential of technologies to collect MIRE elements.

MIRE Element No.	MIRE Data Elements	Туре	Ground- based Imaging	Aerial Imaging	Ground- based LIDAR	Airborne LIDAR with Imagery	Remarks
21	Federal Aid/ Route Type	Administrative	N.A.	N.A.	N.A.	N.A.	
22	Access Control	Administrative	N.A.	N.A.	N.A.	N.A.	-
26	Surface Friction Date	Administrative	N.A.	N.A.	N.A.	N.A.	
28	Pavement Roughness Date	Administrative	N.A.	N.A.	N.A.	N.A.	
30	Pavement Condition (PSR) Date	Administrative	N.A.	N.A.	N.A.	N.A.	
67	Roadside Rating	Administrative	N.A.	N.A.	N.A.	N.A.	-
101	Toll Facility	Administrative	N.A.	N.A.	N.A.	N.A.	-
106	Bridge Numbers for Bridges in Segment	Administrative		National Bridg	ge Inventory	1	
128	Railroad Crossing Number	Administrative	USD	OT Railroad C	rossing Directo	ory	
23	Surface Type	Visual	Yes	No	No	No	
43	Right Shoulder Type	Visual	Yes	No	No	No	
47	Left Shoulder Type	Visual	Yes	No	No	No	
46	Right Shoulder Rumble Strip Presence and Type	Visual	Yes	Yes	Yes	Yes	
58	Median Shoulder Rumble Strip Presence and Type	Visual	Yes	Yes	Yes	Yes	Type Depends
50	Left Shoulder Rumble Strip Presence and Type	Visual	Yes	Yes	Yes	Yes	on the resolution of
104	Centerline Rumble Strip Presence and Type	Visual	Yes	Yes	Yes	Yes	- camera
167	Transverse Rumble Strip Presence	Visual	Yes	Yes	Yes	Yes	1
99	On-Street Parking Type	Marking	Yes	Yes	Yes	Yes	

Table 11. Potential of technologies to collect MIRE elements (cont.).

MIRE Element No.	MIRE Data Elements	Туре	Ground- based Imaging	Aerial Imaging	Ground- based LIDAR	Airborne LIDAR with Imagery	Remarks
102	Edge Line Presence	Marking	Yes	Yes	Yes	Yes	
103	Centerline Presence	Marking	Yes	Yes	Yes	Yes	
105	Passing Zone Percentage	Marking	Yes	Yes	Yes	Yes	
157	Crosswalk Presence/Type	Marking	Yes	Yes	Yes	Yes	
175	Circular Intersection - Pedestrian Facility	Marking	Yes	Yes	Yes	Yes	
61	Median Crossover/Left Turn Lane Type	Marking	Yes	Yes	Yes	Yes	
37	HOV Lane Types	Plan view ID	Yes	Yes	Yes	Yes	
51	Sidewalk Presence	Plan view ID	Yes	Yes	Yes	Yes	
52	Curb Presence	Plan view ID	Yes	Yes	Yes	Yes	
75	Terrain Type	Plan view ID	Yes	Yes	Yes	Yes	Operator judgment
108	Curve Feature Type	Plan view ID	Yes	Yes	Yes	Yes	
112	Horizontal Transition/Spiral Curve Presence	Plan view ID	Yes	Yes	Yes	Yes	
114	Horizontal Curve Direction	Plan view ID	Yes	Yes	Yes	Yes	
116	Vertical Alignment Feature Type	Plan view ID	Yes	Yes	Yes	Yes	
121	Type of Intersection/Junction	Plan view ID	Yes	Yes	Yes	Yes	
125	Intersection/Junction No of legs	Plan view ID	Yes	Yes	Yes	Yes	
126	Intersection/Junction Geometry	Plan view ID	Yes	Yes	Yes	Yes	
137	Circular Intersection - Bicycle Facility	Plan view ID	Yes	Yes	Yes	Yes	
144	Number of Approach Through Lanes	Plan view ID	Yes	Yes	Yes	Yes	

Table 11. Potential of technologies to collect MIRE elements (cont.).

MIRE Element No.	MIRE Data Elements	Туре	Ground- based Imaging	Aerial Imaging	Ground- based LIDAR	Airborne LIDAR with Imagery	Remarks
145	Left turn Lane Type	Plan view ID	Yes	Yes	Yes	Yes	
146	Number of Exclusive Left Turn Lanes	Plan view ID	Yes	Yes	Yes	Yes	
148	Right Turn Channelization	Plan view ID	Yes	Yes	Yes	Yes	
150	Number of Exclusive Right Turn Lanes	Plan view ID	Yes	Yes	Yes	Yes	
182	Interchange Type	Plan view ID	Yes	Yes	Yes	Yes	
190	Ramp Number of Lanes	Plan view ID	Yes	Yes	Yes	Yes	
31	Number Of Through Lanes	Plan view ID / Marking	Yes	Yes	Yes	Yes	
134	Circular Intersection - Number of Circulatory Lanes	Plan view ID / Marking	Yes	Yes	Yes	Yes	
170	Circular Intersection – Presence and Type of Exclusive Right turn Lane	Plan view ID / Marking	Yes	Yes	Yes	Yes	
24	Total Paved Surface Width	Plan view measurement	Yes	Yes	Yes	Yes	
32	Outside Through Lane Width	Plan view measurement	Yes	Yes	Yes	Yes	
33	Inside Through Lane Width	Plan view measurement	Yes	Yes	Yes	Yes	
34	Cross Slope	Plan view measurement	Yes	Yes	Yes	Yes	Curve fitting
59	Median Side Slope	Plan view measurement	Yes	Yes	Yes	Yes	or tracing; post-
60	Median Side Slope Width	Plan view measurement	Yes	Yes	Yes	Yes	processing needed
36	Auxiliary Lane Length	Plan view measurement	Yes	Yes	Yes	Yes	

Table 11. Potential of technologies to collect MIRE elements (cont.).

MIRE Element No.	MIRE Data Elements	Туре	Ground- based Imaging	Aerial Imaging	Ground- based LIDAR	Airborne LIDAR with Imagery	Remarks
41	Width of Bicycle facility	Plan view measurement	Yes	Yes	Yes	Yes	
44	Right Shoulder Total Width	Plan view measurement	Yes	Yes	Yes	Yes	
45	Right Paved Shoulder Width	Plan view measurement	Yes	Yes	Yes	Yes	
48	Left Shoulder Total Width	Plan view measurement	Yes	Yes	Yes	Yes	
49	Left Paved Shoulder Width	Plan view measurement	Yes	Yes	Yes	Yes	
55	Median Width	Plan view measurement	Yes	Yes	Yes	Yes	
57	Median (Inner) Paved Shoulder Width	Plan view measurement	Yes	Yes	Yes	Yes	
62	Roadside Clear Zone Width	Plan view measurement	Yes	Yes	Yes	Yes	
63	Right Side Slope	Plan view measurement	No	Yes	Yes	Yes	Measurable using ground
64	Right Side Slope Width	Plan view measurement	No	Yes	Yes	Yes	based technologies if
65	Left Side Slope	Plan view measurement	No	Yes	Yes	Yes	not steep
109	Horizontal Curve Degree or Radius	Plan view measurement	Yes	Yes	Yes	Yes	Curve fitting
110	Horizontal Curve Length	Plan view measurement	Yes	Yes	Yes	Yes	or tracing; post-
111	Curve Superelevation	Plan view measurement	Yes	Yes	Yes	Yes	processing needed

Table 11. Potential of technologies to collect MIRE elements (cont.).

MIRE Element No.	MIRE Data Elements	Туре	Ground- based Imaging	Aerial Imaging	Ground- based LIDAR	Airborne LIDAR with Imagery	Remarks
113	Horizontal Curve Intersection/Deflection Angle	Plan view measurement	Yes	Yes	Yes	Yes	
117	Percent Of Gradient	Plan view measurement	Yes	Yes	Yes	Yes	
118	Grade Length	Plan view measurement	Yes	Yes	Yes	Yes	
119	Vertical Curve Length	Plan view measurement	Yes	Yes	Yes	Yes	
129	Intersection Skew Angle	Plan view measurement	Yes	Yes	Yes	Yes	
130	Intersection/Junction Offset Distance	Plan view measurement	Yes	Yes	Yes	Yes	
135	Circular Intersection - Circulatory Width	Plan view measurement	Yes	Yes	Yes	Yes	
136	Circular Intersection - Inscribed Diameter	Plan view measurement	Yes	Yes	Yes	Yes	
147	Amount of Left turn Lane Offset	Plan view measurement	Yes	Yes	Yes	Yes	
151	Length of Exclusive Left Turn Lanes	Plan view measurement	Yes	Yes	Yes	Yes	
152	Length of Exclusive Right Turn Lanes	Plan view measurement	Yes	Yes	Yes	Yes	
168	Circular Intersection - Entry Width	Plan view measurement	Yes	Yes	Yes	Yes	
169	Circular Intersection - Number of Entry lanes	Plan view measurement	Yes	Yes	Yes	Yes	
171	Circular Intersection - Entry Radius	Plan view measurement	Yes	Yes	Yes	Yes	

Table 11. Potential of technologies to collect MIRE elements (cont.).

MIRE Element No.	MIRE Data Elements	Туре	Ground- based Imaging	Aerial Imaging	Ground- based LIDAR	Airborne LIDAR with Imagery	Remarks
172	Circular Intersection - Exit Width	Plan view measurement	Yes	Yes	Yes	Yes	
173	Circular Intersection - Number of Exit lanes	Plan view measurement	Yes	Yes	Yes	Yes	
174	Circular Intersection - Exit Radius	Plan view measurement	Yes	Yes	Yes	Yes	
176	Circular Intersection - Crosswalk Location (distance from yield line)	Plan view measurement	Yes	Yes	Yes	Yes	
177	Circular Intersection – Island Width	Plan view measurement	Yes	Yes	Yes	Yes	
187	Ramp Length	Plan view measurement	Yes	Yes	Yes	Yes	
188	Ramp Acceleration Lane Length	Plan view measurement	Yes	Yes	Yes	Yes	
189	Ramp Deceleration Lane Length	Plan view measurement	Yes	Yes	Yes	Yes	
53	Curb Type	Shape	Yes	Yes	Yes	Yes	Aerial data
56	Median Barrier Type	Shape	Yes	Yes	Yes	Yes	depend on vegetation
100	Roadway Lighting	Shape	Yes	Yes	Yes	Yes	cover; manual
132	Signalization Type	Shape	No	No	No	No	post-
133	Intersection/Junction Lighting	Shape	Yes	Yes	Yes	Yes	processing; labor-
183	Interchange Lighting	Shape	Yes	Yes	Yes	Yes	intensive; can be obtained as derivatives based on rules
159	Pedestrian Signal Special Features	Shape	No	No	No	No	

Table 11. Potential of technologies to collect MIRE elements (cont.).

MIRE Element No.	MIRE Data Elements	Туре	Ground- based Imaging	Aerial Imaging	Ground- based LIDAR	Airborne LIDAR with Imagery	Remarks
158	Pedestrian Signalization Type	Shape	No	No	No	No	
54	Median Type	Shape/Plan view	Yes	Yes	Yes	Yes	
153	Median Type at Intersection	Shape/Plan view	Yes	Yes	Yes	Yes	Tie back to linear referencing
93	Truck Speed Limit	Signs	Yes	No	Yes	No	
94	Nighttime Speed Limit	Signs	Yes	No	Yes	No	
97	School Zone Indicator (Segment)	Signs	Yes	No	Yes	No	
98	On-Street Parking Presence	Signs	Yes	No	Yes	No	
127	School Zone Indicator (Intersection/Junction)	Signs	Yes	No	Yes	No	
131	Intersection/Junction Traffic Control	Signs	Yes	No	Yes	No	
49	Traffic Control of Exclusive Right Turn Lanes	Signs	Yes	No	Yes	No	
154	Approach Traffic Control	Signs	Yes	No	Yes	No	
155	Approach Left Turn Protection	Signs	Yes	No	Yes	No	
161	Left/Right Turn Prohibitions	Signs	Yes	No	Yes	No	
162	Right Turn-On-Red Prohibitions	Signs	Yes	No	Yes	No	
193	Ramp Metering	Signs	Yes	No	Yes	No	
194	Ramp Advisory Speed Limit	Signs	Yes	No	Yes	No	
195	Feature at Beginning Ramp Terminal	Signs	Yes	Yes	Yes	Yes	
199	Feature at Ending Ramp Terminal	Signs	Yes	Yes	Yes	Yes	

Table 11. Potential of technologies to collect MIRE elements (cont.).

MIRE Element No.	MIRE Data Elements	Туре	Ground- based Imaging	Aerial Imaging	Ground- based LIDAR	Airborne LIDAR with Imagery	Remarks
68	Major Commercial Driveway Count	Signs /Plan view ID	Yes	Yes	Yes	Yes	Manual intervention necessary; Significant
69	Minor Commercial Driveway Count	Signs /Plan view ID	Yes	Yes	Yes	Yes	
70	Major Residential Driveway Count	Signs /Plan view ID	Yes	Yes	Yes	Yes	post- processing
71	Minor Residential Driveway Count	Signs /Plan view ID	Yes	Yes	Yes	Yes	effort needed; QA/QC necessary
72	Major Industrial/Institutional Driveway Count	Signs /Plan view ID	Yes	Yes	Yes	Yes	
73	Minor Industrial/Institutional Driveway Count	Signs / Plan view ID	Yes	Yes	Yes	Yes	-
74	Other Driveway Count	Signs / Plan view ID	Yes	Yes	Yes	Yes	
107	Curve Identifiers and Linkage Elements	Signs / Plan view ID	Yes	Yes	Yes	Yes	Manual intervention
115	Grade Identifiers and Linkage Elements	Signs / Plan view ID	Yes	Yes	Yes	Yes	necessary; Significant
120	Unique Junction Identifier	Signs / Plan view ID	Yes	Yes	Yes	Yes	post- processing effort needed; quality
122	Location Identifier for Road I Crossing Point	Signs / Plan view ID	Yes	Yes	Yes	Yes	
123	Location Identifier for Road 2 Crossing Point	Signs / Plan view ID	Yes	Yes	Yes	Yes	assurance/qual ity control
124	Location Identifier for Road 3, 4, etc	Signs / Plan view ID	Yes	Yes	Yes	Yes	(QA/QC) necessary

Table 11. Potential of technologies to collect MIRE elements (cont.).

48

MIRE Element No.	MIRE Data Elements	Туре	Ground- based Imaging	Aerial Imaging	Ground- based LIDAR	Airborne LIDAR with Imagery	Remarks
138	Intersection Identifier for this Approach	Signs / Plan view ID	Yes	Yes	Yes	Yes	
139	Unique Approach Identifier	Signs / Plan view ID	Yes	Yes	Yes	Yes	
142	Approach Mode	Signs / Plan view ID	Yes	Yes	Yes	Yes	
143	Approach is Two-Way, One-Way	Signs / Plan view ID	Yes	Yes	Yes	Yes	
178	Unique Interchange Identifier	Signs / Plan view ID	Yes	Yes	Yes	Yes	
179	Location Identifier for Road I Crossing Point	Signs / Plan view ID	Yes	Yes	Yes	Yes	Manual
180	Location Identifier for Road 2 Crossing Point	Signs / Plan view ID	Yes	Yes	Yes	Yes	intervention necessary;
181	Location Identifier for Road 3, 4, etc	Signs / Plan view ID	Yes	Yes	Yes	Yes	Significant post-
185	Interchange Identifier for this Ramp	Signs / Plan view ID	Yes	Yes	Yes	Yes	processing effort needed; QA/QC necessary
186	Unique Ramp Identifier	Signs / Plan view ID	Yes	Yes	Yes	Yes	
197	Location Identifier for Roadway At Beginning Ramp Terminal	Signs / Plan view ID	Yes	Yes	Yes	Yes	
201	Location Identifier For Roadway At Ending Ramp Terminal	Signs / Plan view ID	Yes	Yes	Yes	Yes	
196	Ramp Descriptor at Beginning Ramp Terminal	Signs / Plan view ID	Yes	No	Yes	No	
198	Location of Beginning Ramp Terminal Relative to Mainline Flow	Signs / Plan view ID	Yes	Yes	Yes	Yes	

Table 11. Potential of technologies to collect MIRE elements (cont.).

MIRE Element No.	MIRE Data Elements	Туре	Ground- based Imaging	Aerial Imaging	Ground- based LIDAR	Airborne LIDAR with Imagery	Remarks
200	Ramp Descriptor at Ending Ramp Terminal	Signs / Plan view ID	Yes	No	Yes	No	
202	Location of ending Ramp Terminal Relative to Mainline Flow	Signs / Plan view ID	Yes	Yes	Yes	Yes	
76	Number of Signalized Intersections in Segment	Signs & Plan view ID	Yes	Yes	Yes	Yes	Derivative / Query from GIS
77	Number of Stop-Controlled Intersections in Segment	Signs & Plan view ID	Yes	Yes	Yes	Yes	
78	Number of Uncontrolled/Other Intersections	Signs & Plan view ID	Yes	Yes	Yes	Yes	
35	Auxiliary Lane Presence/Type	Signs/Plan view ID/ Marking	Yes	Yes	Yes	Yes	
38	HOV Lanes	Signs/Plan view ID/ Marking	Yes	Yes	Yes	Yes	
39	Reversible Lanes	Signs/Plan view ID/ Marking	Yes	Yes	Yes	Yes	
40	Presence/Type of Bicycle Facility	Signs/Plan view ID/ Marking	Yes	Yes	Yes	Yes	
25	Surface Friction	Surface	N.A.	N.A.	N.A.	N.A.	This
27	Pavement Roughness/Condition	Surface	N.A.	N.A.	N.A.	N.A.	information is typically
29	Pavement Condition (Present Serviceability Rating)	Surface	Yes	Yes	Yes	Yes	collected by an agency for asset management purposes.

Table 11. Potential of technologies to collect MIRE elements (cont.).

50

The required information for "sign" elements includes the location, shape, and content of the signs. The ground-based imaging and LIDAR technologies are capable of collecting this information. FHWA's DHMS uses optical character recognition to read the content of signs, while the terrestrial LIDAR can recognize sign content from the reflectivity of laser pulses. An agency can derive certain elements, such as the number of signalized or stop-controlled or uncontrolled intersections in a segment, through a GIS-based query if information is available on the presence/type of signs and the number of intersections in a segment obtained from plan views. An agency can obtain location identifiers for curves, grades, intersecting roads, and ramps from signs or plan views, but it requires significant post-processing efforts and quality checks.

The reviewed technologies can collect all elements in the pavement markings category. However, only terrestrial imaging can collect visual data, such as surface type, shoulder type, and the presence and type of rumble strips. An agency would need high-resolution cameras to identify lane elements (e.g., auxiliary, HOV, and reversible lanes) using signs, pavement striping, or plan views, or some combination thereof. An agency can identify the presence and type of bicycle facilities by signs, marking, or plan views.

Plan views obtained from imagery or LIDAR techniques with GPS data can identify as many as 18 elements. The spatial data serve to identify attributes for elements like the type of interchanges and alignment features and the number of different lane types. Similarly, plan views can provide the means to measure as many as 40 elements, provided accurate spatial data are available. All four technologies can provide x-, y-, and z-coordinates. Using the spatial data coordinates, such as length, width, and height, an agency can measure directly or indirectly in computation of features. An agency can obtain elements like the length of ramps, curves, and turn lanes directly from the longitudinal measurements.

Similarly, elements such as the surface width, shoulder width, and entry and exit widths of circular intersections can be measured from transverse measurements. Side slopes and roadside clear zone widths can also be obtained; however, there are limitations with ground-based techniques if the sides are too steep beyond the reach of the camera or lasers. Terrain information, whether flat, mountainous, or rolling, can be gathered using the operator's judgment.

Some elements (e.g., intersection skew angles or the entry and exit radii of circular intersections) must be derived from further computations of spatial coordinates. Roadway alignment elements (e.g., the radius of a horizontal curve or percent vertical gradient) need further computations and post-processing work such as tracing and curve fitting to obtain more accurate information.

In summary, these technologies can collect most of the data elements in MIRE. However, significant post-processing efforts, including manual intervention and quality checks, are necessary in most cases. These technologies have limitations too. The airborne technologies are

not appropriate for collecting information on signs, whereas the ground-based technologies have limitations in collecting information on side slope and width if the slope is too steep. Only terrestrial imaging can collect information on image elements where high-resolution is necessary. None of these technologies can collect information on smaller objects for traffic control, such as the type of pedestrian signals. It also should be noted that the scope of information provided in Table 11 is restricted to the capabilities of these four technologies. However, other important factors, including the accuracy of information and cost considerations, were not taken into account.

The fact that a system is capable of extracting an asset with accurate attributes is not a direct indication that the system is capable of producing these results in a cost-effective manner. Each system has a different set of requirements for field data collection and subsequent office work to extract asset results. The efforts required to produce high-quality results in a timely manner are significant but difficult to quantify. The true time and cost to extract assets is proprietary for most data collection vendors.

# **CHAPTER 8: CONCLUSIONS AND RECOMMENDATIONS**

The following conclusions are drawn from this study:

- The HSIS and non-HSIS State databases reviewed in this study do not contain all of the inventory data needed for conducting sophisticated safety analyses. The HSIS and non-HSIS databases include a reasonable number of data elements for MIRE's roadway segment location/linkage, classification, cross section (lane, shoulders, and medians), surface condition, and traffic volume subcategories. However, most of these databases lack some specific data elements (e.g., surface friction, rumble strips, curbs, and sidewalks) in these subcategories.
- None of the databases reviewed herein included any information on traffic volumes, facilities, and other features for pedestrians and bicycles. HPMS provides only statewide summaries of motorcycle data (percent of total travel related to motorcycles) for each functional classification.
- Only a few State databases have information on basic alignment features for horizontal curves and vertical grades. Often these databases lack geometric features that are critical to safety analyses.
- While only a few of the HSIS State databases contain limited information on location and traffic volumes, supplementary analyses of available traffic and spatial data may help establish information for intersections, interchanges, and ramps.
- Among the HSIS states, only California and Minnesota have intersection files that contain information on both intersection geometry and intersection traffic control. None of the non-HSIS inventories contain data to describe traffic control at intersections, turn counts and prohibitions, rumble strips, intersection geometry, and circular intersections.
- Most of the State databases reviewed in this study do not include information on roadside elements, safety hardware, signs, signals, pavement markings, or lighting.
- Per the results of the polls conducted as part of the MIRE workshops, the data elements that are considered difficult to collect tend to be the data elements that are missing from existing data inventories.
- The HPMS inventory contains 21 Full Extent and 24 Sample data items in MIRE. While HPMS only requires a large number of data items for sample segments rather than for the Full Extent file, the review of HPMS could indicate what data the States may have in their databases. There appears to be a strong correlation between the data available in State databases and HPMS reporting requirements.

- Automated data collection techniques seem to have an edge over manual surveys in terms of improved efficiency and accuracy. Adoption of these techniques for data collection would help to ensure crew safety and cost-efficiency, which might make agencies more likely to collect the data elements necessary for MIRE. However, most of the automated techniques require further development before specific MIRE elements can be collected.
- Most States have used automated data collection technologies successfully for pavement management purposes. However, only a few have used these techniques for collecting other roadway inventory data, such as alignment features. Some States still extract data from as-built plans, and others do not collect the data at all.
- The specialized instrumented vehicles and the mobile mapping system appear to be the most popular and most promising choice for States' inventory data collection. These systems are well-equipped to collect MIRE data elements and for other inventory purposes.
- Agencies are increasingly using technologies (e.g., LIDAR and satellite imagery) for data collection purposes. However, they require a concentrated effort for automated data extraction and post-processing. The increased use of these technologies in both research and production-level projects, as well as technological advancements, will help move these issues forward.
- Agencies can use the KML-based browsers, such as Google Earth, as a visualization tool for presenting roadway inventory data.
- Agencies can collect most of the elements in the MIRE listing using the technologies evaluated in this study; however, there are limitations in their capabilities. Significant post-processing will be required to extract the desired MIRE data elements using manual, fully automated, or semi-automated methods. Since agencies do not routinely collect many of the MIRE data elements during the automated data collection surveys, agencies may need to develop standard formulas or analysis methods to ensure that the data collected meet MIRE requirements.
- While much of the data required for MIRE exists in the record sets of agencies, particularly larger agencies, often the agency has the data scattered among disparate databases and paper record sets. The data may be inaccurate due to either poor data collection or data aging. An up-to-date, accurate, and comprehensive dataset is needed.
- This research effort includes a thorough review of all readily available resources; however, it was not an exhaustive examination of each State's collection practices. Additional potential research could include a more comprehensive review of all State data inventories and systems.

#### RECOMMENDATIONS

The key recommendations for addressing the MIRE data gaps are as follows:

- Agencies should consider using available information systems, such as asset management systems, to serve as alternate sources of data for MIRE.
- Other supplemental systems that contain inventories of physical assets (e.g., roadside hardware, signs, and signals) can also be used for filling the data gaps. Future research should focus on exploring other supplemental databases to determine their value for collecting MIRE data.
- States should consider integrating the information collected for various internal purposes (e.g., planning, asset management, etc.) across the entire agency to create linkages and export data between systems. In this vein, States should explore cloud computing solutions and data warehousing techniques to achieve better integration.
- States should also consider integrating their data needs to save time and resources.
- States should develop data standards for MIRE collection to ensure data quality and facilitate transfer between information systems.
- The public and private sector should explore the development of automated techniques for extracting inventory feature data from advanced collection techniques into a usable database format.

Quality data are essential for making informed decisions regarding the design, operation, and safety of roadways. However, collecting additional roadway safety data can be a large undertaking. Integrating existing data systems, standardizing data collection and storage, and utilizing automated technologies are just some of the recommendations for States to move forward in expanding their data capabilities. These steps can help States implement a roadway inventory system, such as MIRE MIS, to help support their safety programs through data-driven decision-making.

## REFERENCES

- 1) Federal Highway Administration, *Highway Safety Improvement Program Reporting Guidance*, Federal Highway Administration, Washington, DC, May 2009.
- 2) American Association of State Highway and Transportation Officials, *Highway Safety Manual*, 1<sup>st</sup> Edition, American Association of State Highway and Transportation Officials, Washington, DC, 2010.
- Lefler, N., F. Council, D. Harkey, D. Carter, H. McGee, and M. Daul. "Model Inventory of Roadway Elements – MIRE Version 1.0," Federal Highway Administration, FHWA-HRT-10-048, Washington, DC, October 2010.
- 4) Furst, A. "Guidance Memorandum on Fundamental Roadway and Traffic Data Elements to Improve the Highway Safety Improvement," Federal Highway Administration, August 1, 2011.
- 5) Council, F.M. and D.L. Harkey. *Traffic Safety Information Systems International Scan:* Strategy Implementation White Paper, Report No. FHWA-HRT-06-099, Federal Highway Administration, McLean, VA, 2006.
- 6) National Highway Traffic Safety Administration. *Model Minimum Uniform Crash Criteria*, Third Edition, Washington, DC, 2008.
- Council, F.M., D.L. Harkey, D.L. Carter, and B. White. Model Minimum Inventory of Roadway Elements—MMIRE, Report No.FHWA-HRT-07-046, Federal Highway Administration, McLean, VA, 2007.
- Federal Highway Administration, HPMS Reassessment 2010+ Data Specifications, Final Report, Federal Highway Administration, Washington, DC, May 2009. <u>http://www.tfhrc.gov/safety/pubs/05055/05055.pdf</u> (Accessed August 6, 2009.)
- 9) Ogle, J.H. Technologies for Improving Safety Data, NCHRP Synthesis 367, Transportation Research Board, Washington, DC, 2007.
- 10) Federal Highway Administration, *Traffic Monitoring Guide*, FHWA-PL-010-021, Federal Highway Administration, Washington, DC, 2001.
- Federal Highway Administration, State Pavement Management Survey, Technical Memorandum 3, Federal Highway Administration, Washington, DC, 2004.
- 12) Hensing, D.J. and S. Rowshan. Roadway Safety Hardware Asset Management Systems Case Studies, Report No. FHWA-HRT-05-073, Federal Highway Administration, McLean, VA, 2005.

- 13) Markow, M.J. Managing Selected Transportation Assets: Signals, Lighting, Signs, Pavement Markings, Culverts, and Sidewalks, NCHRP Synthesis 371, Transportation Research Board, Washington, DC, 2007.
- 14) Federal Highway Administration, Transportation Asset Management System for Roadway Safety: Idaho's Guardrail Management System Saves Lives, Time, and Money, Publication No. FHWA-HRT-05-055, Federal Highway Administration, McLean, VA, 2005.
- 15) Pfefer, R.C. and T.R. Neuman. *Improved Safety Information to Support Highway Design*, NCHRP Report 430, Transportation Research Board, Washington, DC, 1999.
- 16) Hummer, J.E., C.R. Scheffler, A.J. Khattak, and H.A. Karimi. "Choosing an Inventory Data Collection System," *Transportation Research Record No. 1690*, Journal of the Transportation Research Board, Washington, DC, 1999.
- 17) Karimi, H.A., J.E. Hummer, and A.J. Khattak. Collection and Presentation of Roadway Inventory Data, NCHRP Report 437, Transportation Research Board, Washington, DC, 2000.
- 18) Trentecoste, M. "FHWA's Digital Highway Measurement and Ground Penetrating Radar Technologies," 5th international Visualization in Transportation Symposium and Workshop, Denver, CO, 2006.
- 19) McGhee, K.H. Automated Pavement Distress Collection Techniques, NCHRP Synthesis 334, Transportation Research Board, Washington, DC, 2004.
- 20) Wang, K.C.P., W. Gong, and Z. Hou. "Automated Inventory and Analysis of Highway Assets," Report No. MBTC 2065, Mack-Blackwell Transportation Center, University of Arkansas, Fayetteville, AR, 2007.
- 21) Rosenbaum, D., B. Charmette, F. Kurz, S. Suri, U. Thomas, and P. Reinartz. "Automatic Traffic Monitoring from an Airborne Wide Angle Camera System," Proceedings of Commission III, ISPRS Congress, The International Society for Photogrammetry and Remote Sensing, Beijing, 2008. <u>http://www.isprs.org/congresses/beijing2008/proceedings/3b\_pdf/105.pdf</u> (Accessed August 6, 2009.)
- 22) Tsai, Y. Using Image Pattern Recognition Algorithms for Processing Video Log Images to Enhance Roadway Infrastructure Data Collection, NCHRP-IDEA Program Project Final Report, National Cooperative Highway Research Program, Transportation Research Board, Washington, DC, 2009.
- 23) Mosaic Mapping Systems, A White Paper on LIDAR Mapping, 2001. <u>ftp://ftp-</u> <u>fc.sc.egov.usda.gov/NCGC/products/elevation/lidar-applications-whitepaper.pdf</u> (Accessed August 6, 2009.)

- 24) Fernandez, J.C., Singhania, A., Caceres, J., Slatton, K.C., Starek, M., Kumar, R., "An Overview of LIDAR Point Cloud Processing Software," GEM Center Report No, Rep\_2007\_12\_001, University of Florida, Gainesville, 2007. http:// www.aspl.ece.ufl.edu/reports/GEM\_Rep\_2007\_12\_001.pdf (Accessed May 14, 2010.)
- 25) Pottle, D. "Asset Maintenance Management," Earth Observation Magazine, Vol. 7, 1998.
- 26) Khattak, A.J., S.L. Hallmark, and R.R. Souleyrette. "Application of Light Detection and Ranging (LIDAR) Technology to Highway Safety," *Transportation Research Record No.* 1836, Journal of the Transportation Research Board, Washington, DC, 2003, pp. 7-15.
- 27) Shamayleh H., and A.J. Khattak. "Utilization of LiDAR Technology for Highway Inventory," *Proceedings of the 2003 Mid-Continent Transportation Research Symposium*, Iowa State University, August 2003.
- 28) Souleyrette, R.R., S.L. Hallmark, and D. Veneziano. "Comparison of LIDAR and Conventional Mapping Methods for Highway Corridor Studies," Final Report, Iowa State University, Prepared for the National Consortium on Remote Sensing in Transportation for Infrastructure, 2002.
- 29) Veneziano, D., R. Souleyrette, and S. Hallmark. "Integrating LIDAR and Photogrammetry in Highway Location and Design," *Transportation Research Record No. 1836*, Journal of the Transportation Research Board, Washington, DC, 2003.
- 30) Centre for Applied Remote Sensing, Modeling and Simulation (CARMS), "LIDAR Overview of Technology, Applications, Market Features & Industry," University of Victoria, British Columbia, 2006. <u>http://carms.geog.uvic.ca/LiDAR%20Web%20Docs/LiDAR%20paper%20june%202006.pdf</u> (Accessed August 6, 2009.)
- 31) Hohner, L.N. "Opportunities on the Move," Point of Beginning, Troy, MI, 2009. <u>http://www.pobonline.com/Articles/Features/BNP\_GUID\_9-5-</u> 2006 A 10000000000537476 (Accessed August 6, 2009.)
- 32) Haala, N., M. Peter, J. Kremer, and G. Hunter. "Mobile LIDAR Mapping for 3D Point Cloud Collection in Urban Areas - A Performance Test," Proceedings of the XXI ISPRS Congress, Beijing, 2008. <u>http://www.isprs.org/proceedings/XXXVII/congress/5\_pdf/191.pdf</u> (Accessed November 23, 2010.)
- 33) Yes, K., B. Ravani, and T. Lasky. *LiDAR for Data Efficiency,* WA-RD 778.1, Washington State Department of Transportation, September 2011.
- 34) AASHTO RAC Member Survey, Mobile Laser Scanner Survey, American Association of State Highway and Transportation Officials, Washington, DC, 2009. <u>http://research.transportation.org/?siteid=55&pageid=3076</u> (Assessed August 6, 2009.)

- 35) Streetmapper, "LIDAR Application: Accurate Terrestrial Laser Scanning from a Moving Platform," Geomatics World, Herts, United Kingdom, 2006. <u>http://www.streetmapper.net/articles/Paper%20-%20Geomatics%20World%20-%20Accurate%20Terrestrial%20Laser%20Scanning%20-%20July%202006.pdf (Accessed August 6, 2009.)</u>
- 36) Terrametrix, Asset Inventory, Terrametrix, LLC, Omaha, NE, 2008. <u>http://www.terrametrix3d.com/Asset%20Inventory.html</u> (Accessed August 6, 2009.)
- 37) Glennie, C., "A Kinematic Terrestrial LIDAR Scanning System," Terrapoint USA, Inc., The Woodlands, TX, 2009. <u>http://ambercore.com/files/Paper%20-%20A%20Kinematic%20Terrestrial%20LiDAR%20Scanning%20System.pdf</u> (Accessed August 6, 2009.)
- 38) Mandli, Applications of SRH Systems, Mandli Communications Inc., Madison, WI, 2009. http://www.mandli.com/systems/vba.php (Accessed August 6, 2009.)
- 39) Hallmark, S., K. Matravadi, R.R. Souleyrette, and D. Veneziano. "Use of Remote Sensing for Collection of Data Elements for Linear Referencing Systems," Submitted to the Iowa Department of Transportation, 2001. <u>http://www.ctre.iaState.edu/reports/remote\_sensing\_lrs.pdf</u> (Accessed August 6, 2009.)
- 40) Fraser, C. and M. Ravanbakhsh. "Georeferencing Accuracy of GeoEye-I Imagery," *Photogrammetric Engineering & Remote Sensing*, American Society of Photogrammetry and Remote Sensing, Bethesda, MD, 2009. <u>http://www.asprs.org/publications/pers/2009journal/june/feature.pdf</u> (Accessed August 6, 2009.)
- 41) Ewers, R. "Google Earth Implementation at Caltrans," AASHTO GIS for Transportation Symposium, Nashville, TN, 2007. <u>http://www.gis-t.org/files/vwJpK.pdf</u> (Accessed August 6, 2009.)
- 42) Advanced Highway Maintenance and Construction Technology, "Best Practices in Roadside Inventory Assessment," University of California Davis, 2007. <u>http://www.ahmct.ucdavis.edu/index.php?title=RoadsideInventory</u> (Accessed August 6, 2009.)
- 43) DeGray, J. and K.L. Hancock. Ground-Based Image and Data Acquisition Systems for Roadway Inventories In New England, A Synthesis of Highway Practice, Report No. NETCR 30, Prepared for the New England Transportation Consortium, Storrs, CT, 2002.

#### For More Information:

Visit: http://www.mireinfo.org/

## FHWA, Office of Safety

Robert Pollack Robert.Pollack@dot.gov 202-366-5019